Audit/Status	Not Started	Planning/ TOR	Fieldwork	Fieldwork Complete	Draft Report	Final Report	Reported to Audit Committee	
Risk –Based Reviews			•			-		
LD Services – Financial Monitoring of Contracts	<b>✓</b>						Deferred to 21/22 Plan	
IHMS – Inventory Management – Stock Checks		✓					June 2021	
Homelessness		✓	✓				June 2021	
Management of Rent Arrears		✓					March 2021	
OT Waiting Times	✓						Deferred to 21/22 Plan	
Client Account Administration Process		✓					March 2021	
Financial Assessment Process	✓						Deferred to 21/22 Plan	
Corporate Procurement – up to £50k		✓	✓				June 2021	
Roads Costing System Process		✓	✓	✓	✓	✓	March 2021	
Pupil Equity Funding		✓	✓				June 2021	
Limited Scope Financial System Reviews						•		
Council Tax – Discounts and Exemptions		✓	✓	✓	✓	✓	November 2020	
Other Work						•		
Integration Joint Board – Internal Audit Service	The annual audit plan for 2020/21 was approved in April 2020. One audit is complete and was reported to the September 2020 meeting of the IJB Audit Committee. Fieldwork for the second audit is currently underway.							
Valuation Joint Board – Internal Audit Service	Two audit reviews were agreed and both are now complete. Reports have now been issued to management.							
Leisure Trust – Internal Audit Service	Fieldwork in relation to the risk-based review is now underway. The remaining allocation of audit days has been used to undertake a follow up exercise for audit reviews undertaken in prior audit years to provide assurance on the follow up process to the LT Audit Committee. A draft report has now been prepared for the follow up reviews.							
Covid-19 Post Assurance Review	In relation to Business Grants, matches from the National Fraud Initiative are now expected to be received by March 2021. A sub-group of Audit Committee members supported by relevant Chief Officers from the Council have carried out a self-assessment exercise in relation to the Covid-19 Guide for Audit and Risk Committees and a separate report has been included on the agenda for the March 2021 Audit Committee.							
National Fraud Initiative		Matches for the 20-21 exercise have started to be received. A separate report is included on the agenda for the March 2021 Audit Committee in relation to the 20-21 exercise.						