

2023-2024 Internal Audit Annual Audit Plan – Progress to 12 August 2024

Appendix 1

2023-24 Plan Audit/Status	Not Started	Planning	Fieldwork	Fieldwork Complete	Draft Report	Final Report	Date Reported to Audit Committee
<b>Risk-Based Reviews</b>							
Corporate Complaints		✓	✓	✓	✓	✓	February 2024
Participatory Budgeting		✓					December 2024
Supporting Employee Attendance		✓	✓	✓	✓	✓	June 2024
Commercial Waste		✓	✓	✓	✓		December 2024
FM Cleaning – Stock Control		✓	✓	✓	✓	✓	June 2024
FM Catering – Stock Control		✓	✓	✓	✓	✓	February 2024
Corporate Procurement		✓	✓	✓	✓	✓	September 2024
<b>Value for Money Reviews</b>							
Void Management		✓	✓				December 2024
Property Repairs and Maintenance		✓					December 2024
<b>Limited Scope Financial System Reviews</b>							
Debt Recovery		✓	✓	✓	✓	✓	September 2024
<b>Project Assurance Review</b>							
Learning Disability Service – Service Redesign	✓	Deferred to future audit plan as project not yet started.					
<b>Regularity Audits</b>							
Education CSA		✓	✓	✓	✓	✓	September 2024
Payroll High Earners – Quarterly Review		✓	✓	✓	✓		December 2024
HSCP - Imprest Accounts		✓	✓	✓	✓	✓	December 2023
<b>Advisory Reviews</b>							
UK Shared Prosperity Fund	Final Report June 2024 issued to management.						
Roads – Route Optimisation	No audit input required to date.						
Finance/HR Establishment Controls	Audit advice and support provided to working group.						
<b>Other Work</b>							
Integration Joint Board – Internal Audit Service	The internal audit annual plan for 2023/24 audit plan is almost complete with one review finalised and the other review at fieldwork stage.						
Valuation Joint Board – Internal Audit Service	Audit Follow Up completed.						
Leisure Trust – Internal Audit Service	Audit work complete and final report issued.						
National Fraud Initiative	Matches for the 2022/23 Exercise have been received and investigations are ongoing.						
2022/2023 Audit Plan C/f	Complete.						