

Audit/Status	Not Started	Planning/ TOR	Fieldwork	Fieldwork Complete	Draft Report	Final Report	Date Reported to Audit Committee
Risk –Based Reviews							
LD Services – Financial Monitoring of Contracts	✓						Deferred to 21/22 Plan
IHMS – Inventory Management – Stock Checks		✓	✓	✓	✓	✓	June 2021
Homelessness		✓	✓	✓	✓	✓	September 2021
Management of Rent Arrears		✓	✓	✓	✓	✓	September 2021
OT Waiting Times	✓						Deferred to 21/22 Plan
Client Account Administration Process		✓	✓				November 2021
Financial Assessment Process	✓						Deferred to 21/22 Plan
Corporate Procurement – up to £50k		✓	✓				November 2021
Roads Costing System Process		✓	✓	✓	✓	✓	March 2021
Pupil Equity Funding		✓	✓	✓	✓	✓	September 2021
Limited Scope Financial System Reviews							
Council Tax – Discounts and Exemptions		✓	✓	✓	✓	✓	November 2020
Other Work							
Integration Joint Board – Internal Audit Service	The IJB internal audit annual plan for 2020/21 was approved in April 2020. Both audits are complete and reported to the HSCP Board Audit and Performance Committee.						
Valuation Joint Board – Internal Audit Service	Two audit reviews were completed. Reports have been issued to management.						
Leisure Trust – Internal Audit Service	Fieldwork in relation to the risk-based review is being finalised. The remaining allocation of audit days was used to undertake a follow up exercise for audit reviews undertaken in prior audit years to provide assurance on the follow up process to the LT Audit Committee. This follow up work is now complete and a status report has been issued to management.						
Covid-19 Post Assurance Review	Not started. New matches from the National Fraud Initiative are still to be received.						
National Fraud Initiative	Matches for the 2020-21 exercise have been received. An update on the exercise is on the agenda for the September 2021 Audit Committee meeting.						
B/f 2019/2020 Audit Plan Completion	Five audit reports from the 2019/20 audit plan were finalised during 2020/21 including: Social Work – Case Management; Social Work Attendance Management; Housing Voids; Taxi Licensing and Cyber Security.						