

Audit Plan 2021/22: Completed Audits

<u>Report Title</u>	<u>Status</u>	<u>Findings</u>			<u>Control Environment Opinion</u>
		<u>Red</u>	<u>Amber</u>	<u>Green</u>	
Risk Based Reviews					
IHMS Stores and Stock Management	Complete	1	4	2	Satisfactory
Employee Expenses	Complete	0	3	3	Satisfactory
Pupil Equity Funding	Complete	0	2	4	Satisfactory
Homelessness	Complete	0	1	0	Satisfactory
Management of Rent Arrears	Complete	0	2	2	Satisfactory
Corporate Procurement – Up to £50k spend	Complete	0	2	3	Satisfactory
Vehicle Tracking System	Draft report being prepared. Indicative overall control environment opinion is Satisfactory				
Project Management Arrangements	Draft report being prepared. Indicative overall control environment opinion is Satisfactory				
Overtime	Fieldwork underway.				
LD Services – Financial Monitoring of Contracts	C/f to 2022/23 Audit Plan				
OT Waiting Times	C/f to 2022/23 Audit Plan				
Financial Assessment Process	C/f to 2022/23 Audit Plan				
Corporate Procurement – Supplier Management	C/f to 2022/23 Audit Plan				
Advisory Reviews					
Building Services – Work In Progress	Advisory work complete. No significant issues arising.				
Building Services – Price Checks	Advisory work complete. No significant issues arising.				
Limited Scope Finance Reviews					
Debtors	Draft report being prepared. Indicative overall control environment opinion is Satisfactory				
Control Self-Assessment					
CSA Client Administration Process – Care Homes	CSA exercise complete to establish levels of compliance with agreed process. Some recommendations for improvement were made which will be followed up in 2022/23.				
Other Work					
IJB Internal Audit Service	21/22 Audit Plan – Fieldwork almost complete				
Valuation Joint Board	21/22 Audit Plan - Fieldwork almost complete				
WD Leisure Trust	21/22 Audit Plan – One planned audit is complete				