

| Audit/Status | Not Started | Planning/ TOR | Fieldwork | Fieldwork Complete | Draft Report | Final Report | Date Reported to Audit Committee |
|--------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|-----------|--------------------|--------------|--------------|----------------------------------|
| Risk –Based Reviews | | | | | | | |
| LD Services – Financial Monitoring of Contracts | ✓ | | | | | | Deferred to 21/22 Plan |
| IHMS – Inventory Management – Stock Checks | | ✓ | ✓ | ✓ | ✓ | ✓ | June 2021 |
| Homelessness | | ✓ | ✓ | ✓ | ✓ | ✓ | September 2021 |
| Management of Rent Arrears | | ✓ | ✓ | ✓ | ✓ | ✓ | September 2021 |
| OT Waiting Times | ✓ | | | | | | Deferred to 21/22 Plan |
| Clients Account Administration Process | | ✓ | ✓ | ✓ | ✓ | | March 2022 |
| Financial Assessment Process | ✓ | | | | | | Deferred to 21/22 Plan |
| Corporate Procurement – up to £50k | | ✓ | ✓ | ✓ | ✓ | | March 2022 |
| Roads Costing System Process | | ✓ | ✓ | ✓ | ✓ | ✓ | March 2021 |
| Pupil Equity Funding | | ✓ | ✓ | ✓ | ✓ | ✓ | September 2021 |
| Limited Scope Financial System Reviews | | | | | | | |
| Council Tax – Discounts and Exemptions | | ✓ | ✓ | ✓ | ✓ | ✓ | November 2020 |
| Other Work | | | | | | | |
| Integration Joint Board – Internal Audit Service | The IJB internal audit annual plan for 2020/21 was approved in April 2020. Both audits are complete and reported to the HSCP Board Audit and Performance Committee. | | | | | | |
| Valuation Joint Board – Internal Audit Service | Two audit reviews were completed. Reports have been issued to management. | | | | | | |
| Leisure Trust – Internal Audit Service | Fieldwork in relation to the risk-based review is being finalised. The remaining allocation of audit days was used to undertake a follow up exercise for audit reviews undertaken in prior audit years to provide assurance on the follow up process to the LT Audit Committee. This follow up work is now complete and a status report has been issued to management. | | | | | | |
| Covid-19 Post Assurance Review | Not started. New matches from the National Fraud Initiative are still to be received. | | | | | | |
| National Fraud Initiative | Matches for the 2020-21 exercise have been received. An update on the exercise will be provided to the March 2022 Audit Committee meeting. | | | | | | |
| B/f 2019/2020 Audit Plan Completion | Five audit reports from the 2019/20 audit plan were finalised during 2020/21 including: Social Work – Case Management; Social Work Attendance Management; Housing Voids; Taxi Licensing and Cyber Security. | | | | | | |