

2022-2023 Internal Audit Annual Audit Plans – Progress to 18 January 2023

Audit/Status 2022-23 Plan	Not Started	Planning	Fieldwork	Fieldwork Complete	Draft Report	Final Report	Date Reported to Audit Committee
<b>Risk-Based Reviews</b>							
Learning Disabilities Services – Financial Monitoring of Contracts	There is currently a redesign planned for LDS financial monitoring processes. Audit resource will be provided to the project and terms of reference will be agreed.						
Fleet Management		✓	✓				May 2023
Occupational Therapy Waiting Times		✓	✓				May 2023
Financial Assessment Process		✓	✓	✓	✓	✓	February 2023
Housing Repairs and Maintenance	✓						May 2023
Corporate Procurement – Supplier Management		✓	✓	✓	✓		May 2023
Vehicle Maintenance	✓						May 2023
Void Management	✓						May 2023
Housing Waiting Lists		✓	✓	✓	✓	✓	February 2023
Parent Pay		✓	✓				May 2023
Off Payroll Working		✓	✓	✓	✓		May 2023
Corporate Purchase Cards		✓	✓	✓	✓	✓	February 2023
<b>Limited Scope Financial System Reviews</b>							
Non-Domestic Rates	✓						May 2023
Creditors		✓	✓				May 2023
<b>Compliance Reviews</b>							
Health and Wellbeing Policy	✓						May 2023
<b>Other Work</b>							
Integration Joint Board – Internal Audit Service	The internal audit annual plan for 2022/23 is underway. Progress updates will be provided to the HSCP Board Audit & Performance Committee.						
Valuation Joint Board – Internal Audit Service	The internal audit annual plan for 2022/23 will be carried out in Q4.						
Leisure Trust – Internal Audit Service	The internal audit annual plan for 2022/23 will be carried out in Q4.						
National Fraud Initiative	Data sets have been uploaded. Matches will start to be received in January 2023.						
2021/2022 Audit Plan Completion	Four audits were finalised during 2022/23 –Debtors, Project Management Arrangements; Vehicle Tracking System and Overtime.						