

2023-2024 Internal Audit Annual Audit Plan – Progress to 18 July 2023

2023-24 Plan Audit/Status	Not Started	Planning	Fieldwork	Fieldwork Complete	Draft Report	Final Report	Date Reported to Audit Committee
Risk-Based Reviews							
Corporate Complaints		✓	✓				December 2023
Participatory Budgeting	✓						June 2024
Attendance Management	✓						December 2023
Commercial Waste	✓						June 2024
FM Cleaning – Stock Control	✓						December 2023
FM Catering – Stock Control	✓						February 2024
Corporate Procurement	✓						June 2024
Value for Money Reviews							
Void Repairs and Maintenance		✓	✓				December 2023
Property Repairs and Maintenance		✓	✓				December 2023
Limited Scope Financial System Reviews							
Debt Recovery	✓						February 2024
Project Assurance Review							
Learning Disability Service – Service Redesign	✓						February 2024
Regularity Audits							
Education CSA	✓						February 2024
Payroll High Earners – Quarterly Review	✓						June 2024
HSCP - Imprest Accounts	✓						February 2024
Advisory Reviews							
UK Shared Prosperity Fund	✓						February 2024
Roads – Route Optimisation	✓						December 2023
Finance/HR Establishment Controls		✓	✓				February 2024
Other Work							
Integration Joint Board – Internal Audit Service	The internal audit annual plan for 2022/23 is almost complete. Progress updates are provided to the HSCP Board Audit & Performance Committee. Planning for the 2023/24 audit has now started.						
Valuation Joint Board – Internal Audit Service	The 2022/23 audit is complete. Planning for the 2023/24 audit has not started.						
Leisure Trust – Internal Audit Service	The 2022/23 audit is complete. Planning for the 2023/24 audit has not started.						
National Fraud Initiative	Matches for the 2022/23 Exercise have been received and investigations are underway.						
2022/2023 Audit Plan Completion	There are 3 audits being finalised in 2023/24. Two audits are at draft report stage; one audit is at fieldwork stage.						