

APPENDIX A

Audit Plan 2020/21: Completed Audits

<u>Report Title</u>	<u>Status</u>	<u>Findings</u>			<u>Control Environment Opinion</u>
		<u>Red</u>	<u>Amber</u>	<u>Green</u>	
Risk Based Reviews					
IHMS Stock Checks	Complete	0	2	1	Satisfactory
LD Services – Financial Monitoring of Contracts	C/f to 21/22				
Client Account Administration	Fieldwork	N/a	N/a	N/a	
Pupil Equity Funding	Fieldwork	N/a	N/a	N/a	
Financial Assessment Process	C/f to 21/22				
Homelessness	Fieldwork	N/a	N/a	N/a	
Management of Rent Arrears	Fieldwork	N/a	N/a	N/a	
Corporate Procurement – Up to £50k spend	Fieldwork	N/a	N/a	N/a	
Roads Costing System	Complete	0	2	2	Satisfactory
OT Waiting Times	C/f to 21/22				
Limited Scope Finance Reviews					
Council Tax – Discounts and Exemptions	Complete	0	0	8	Satisfactory
Other Work					
IJB Internal Audit Service	20/21 Audit Plan - Complete				
Valuation Joint Board	20/21 Audit Plan - Complete				
WD Leisure Trust	20/21 Audit Plan – one complete, one fieldwork stage				

B/f from 2019/20 Audit Plan					
<u>Report Title</u>	<u>Status</u>	<u>Grading</u>			<u>Audit Opinion</u>
		<u>H</u>	<u>M</u>	<u>L</u>	
Social Care - Attendance Management	Complete	1	1	1	Generally satisfactory
Social Work – Case Management	Complete	1	5	3	Generally satisfactory
Taxi Licensing	Complete	6	1	0	Generally satisfactory
Housing Voids	Complete	0	3	0	Generally satisfactory
Cyber Security	Complete	0	4	4	Satisfactory

