

Audit Plan 2022/23: Completed Audits

<u>Report Title</u>	<u>Status</u>	<u>Findings</u>			<u>Control Environment Opinion</u>
		<u>Red</u>	<u>Amber</u>	<u>Green</u>	
Risk Based Reviews					
Vehicle Tracking System	Complete	0	3	2	Satisfactory
Project Management Arrangements	Complete	0	1	1	Satisfactory
Overtime	Complete	0	5	1	Satisfactory
Occupational Therapy – Waiting Times	Complete	1	2	0	Requires Improvement
Financial Assessment Process	Complete	0	3	3	Satisfactory
Supplier Management	Complete	0	1	2	Satisfactory
Fleet Management	Complete	0	5	1	Requires Improvement
Housing Waiting Lists	Complete	0	1	2	Satisfactory
Parent Pay	Complete	0	2	1	Satisfactory
Corporate Purchase Cards	Complete	0	1	3	Satisfactory
Vehicle Maintenance	Draft report is being prepared. Will be finalised in 2023/24.				
Off Payroll Working	Draft report is being prepared. This is being reviewed in line with Annual Governance Statement self-assessment returns. Will be finalised in 2023/24.				
Void Maintenance and Property Repairs	C/f to 2023/24 Audit Plan. These areas will be included in a value for money review.				
Learning Disability Services	Deferred to 2023/24 Audit Plan. There is currently a redesign planned for LDS financial monitoring processes. Audit resource will be provided to the project and terms of reference will be agreed.				
Limited Scope Finance Reviews					
Debtors	Complete	0	0	3	Satisfactory
Creditors	Complete	0	0	3	Satisfactory
Non-Domestic Rates	Fieldwork is underway. Will be finalised during 2023/24.				
Other Work					
IJB Internal Audit Service	2022/23 Audit Plan – Two audits were planned. One audit complete, other audit at fieldwork stage.				
Valuation Joint Board	2022/23 Audit Plan - One audit was planned and the draft report is being prepared.				
WD Leisure Trust	2022/23 Audit Plan Complete.				
Health and Wellbeing Policy Compliance Review	Deferred to 2023/24 audit plan – will be reviewed as part of Attendance Management audit.				