

## 2022-2023 Internal Audit Annual Audit Plans – Progress to 31 March 2023

2022-23 Plan Audit/Status	Not Started	Planning	Fieldwork	Fieldwork Complete	Draft Report	Final Report	Date Reported to Audit Committee
<b>Risk-Based Reviews</b>							
Learning Disabilities Services – Financial Monitoring of Contracts	Deferred to 2023/24 Audit Plan. There is currently a redesign planned for LDS financial monitoring processes. Audit resource will be provided to the project and terms of reference will be agreed.						
Fleet Management		✓	✓	✓	✓		June 2023
Occupational Therapy Waiting Times		✓	✓	✓	✓		June 2023
Financial Assessment Process		✓	✓	✓	✓	✓	February 2023
Housing Repairs and Maintenance		✓					June 2023
Corporate Procurement – Supplier Management		✓	✓	✓	✓	✓	May 2023
Vehicle Maintenance		✓	✓				June 2023
Void Management		✓					June 2023
Housing Waiting Lists		✓	✓	✓	✓	✓	February 2023
Parent Pay		✓	✓	✓	✓	✓	May 2023
Off Payroll Working		✓	✓	✓	✓		June 2023
Corporate Purchase Cards		✓	✓	✓	✓	✓	February 2023
<b>Limited Scope Financial System Reviews</b>							
Non-Domestic Rates		✓	✓				June 2023
Creditors		✓	✓	✓	✓		June 2023
<b>Compliance Reviews</b>							
Health and Wellbeing Policy	Deferred to 2023/24 Audit Plan.						
<b>Other Work</b>							
Integration Joint Board – Internal Audit Service	The internal audit annual plan for 2022/23 is nearing completion. Progress updates will be provided to the HSCP Board Audit & Performance Committee.						
Valuation Joint Board – Internal Audit Service	The internal audit annual plan for 2022/23 is nearing completion.						
Leisure Trust – Internal Audit Service	The internal audit annual plan for 2022/23 is nearing completion.						
National Fraud Initiative	Matches for the 2022/23 Exercise have been received and investigations are underway.						
2021/2022 Audit Plan Completion	Four audits were finalised during 2022/23 –Debtors, Project Management Arrangements; Vehicle Tracking System and Overtime.						