

Audit/Status	Not Started	Planning/TOR	Fieldwork	Fieldwork Complete	Draft Report	Final Report	Date Reported to Audit Committee
Risk –Based Reviews							
IHMS – Stock Control		✓					November 2021
LD Services – Financial Monitoring of Contracts (c/f)	✓						June 2022
Building Services	✓						June 2022
OT Waiting Times	✓						June 2022
Financial Assessment Process	✓						June 2022
Corporate Procurement – Supplier Management	✓						March 2022
Overtime	✓						March 2022
Vehicle Tracking System	✓						March 2022
Project Management Arrangements		✓					March 2022
Employee Expenses	✓						March 2022
Limited Scope Financial System Reviews							
Debtors		✓					November 2021
Other Work							
Integration Joint Board – Internal Audit Service	The IJB internal audit annual plan for 2021/22 was approved in April 2021. Two audits are currently being planned and will be reported to the HSCP Board Audit and Performance Committee.						
Valuation Joint Board – Internal Audit Service	The VJB internal audit annual plan for 2021/22 is at planning stage.						
Leisure Trust – Internal Audit Service	The LT internal audit annual plan for 2021/22 is at planning stage.						
Covid-19 Recovery Assurance Work	Ad hoc advice provided on an ongoing basis.						
National Fraud Initiative	Matches for the 2020-21 exercise have been received. An update on the exercise forms part of the agenda for the September 2021 meeting. We are still awaiting matches relating to the Business Grants process.						
B/f 2020/2021 Audit Plan Completion	Three audit reports from the 2020/21 audit plan were finalised during 2021/22 including: Rent Arrears, Pupil Equity Funding and Homelessness. Fieldwork in relation to Client Money Accounts and Corporate Procurement – Under £50k is ongoing and will be finalised by September 2021.						