

APPENDIX 1

Audit Plan 2023/24: Completed Audits

<u>Report Title</u>	<u>Status</u>	<u>Findings</u>			<u>Control Environment Opinion</u>
		<u>Red</u>	<u>Amber</u>	<u>Green</u>	
Risk Based Reviews					
Corporate Complaints	Complete	1	4	0	Requires Improvement
Supporting Employee Attendance	Complete	0	4	2	Satisfactory
FM Cleaning – Stock Control	Complete	0	5	1	Satisfactory
FM Catering – Stock Control	Complete	0	5	4	Satisfactory
Corporate Procurement – Procurement under £10k	Draft Report	0	1	1	Satisfactory
Commercial Waste	Fieldwork	N/A	N/A	N/A	N/A
Vehicle Maintenance	Fieldwork	N/A	N/A	N/A	N/A
Participatory Budgeting	Not Started	N/A	N/A	N/A	N/A
Value for Money Reviews					
Void Management	Fieldwork	N/A	N/A	N/A	N/A
Property Repairs and Maintenance	Planning	N/A	N/A	N/A	N/A
Limited Scope Finance Reviews					
Non-Domestic Rates	Complete	0	3	4	Satisfactory
Debt Recovery	Fieldwork	N/A	N/A	N/A	N/A
Regularity Audits					
Education Control Self-Assessment	Draft Report	0	3	1	Satisfactory
HSCP – Imprest	Complete	0	2	2	Satisfactory
High Earners – Quarterly check	Fieldwork	N/A	N/A	N/A	N/A
Project Assurance Reviews					
Learning Disability Services	Deferred to future Audit Plan. There is currently a redesign planned for LDS financial monitoring processes. Audit resource will be provided to the project and terms of reference will be agreed.				
Advisory Reviews					
UK Shared Prosperity Fund	Draft Report issued to management for comment. Draft Internal Audit Opinion – Satisfactory.				
Roads – Route Optimisation	No audit input required.				
Finance/HR Establishment Controls	Audit support and advice provided to working group.				
Other Work					
IJB Internal Audit Service	2023/24 Audit Plan – Two assurance reviews were planned. One review is complete with the remaining review at fieldwork stage.				
Valuation Joint Board	2023/24 Audit Plan - One follow up review audit was completed.				
WD Leisure Trust	2023/24 Audit Plan Complete.				