

**APPENDIX 1****Audit Plan 2018/19: Completed Audits**

<b><u>Number of Agreed Actions</u></b>				
<b><u>Report Title</u></b>	<b><u>High</u></b>	<b><u>Medium</u></b>	<b><u>Low</u></b>	<b><u>Comments</u></b>
<b><u>Systems Audits:</u></b>				
Burial Grounds / Crematorium	0 (0)	1 (0)	0 (0)	The one action has been completed.
Central Repairs & Maintenance Budget (from 2016/17 Audit Plan)	0 (0)	3 (1)	1 (1)	One of two outstanding actions is now overdue.
Management of Capital Projects (from 2017/18 Audit Plan)	4 (0)	0 (0)	0 (0)	All actions have been completed.
Housing – Tenancy, Allocations and Lettings (from 2017/18 Audit Plan)	0 (0)	5 (0)	2 (0)	All actions have been completed.
Grants Administration Process Undertaken by CVS	0 (0)	2 (0)	1 (0)	All actions have been completed.
WeBuy	0 (0)	0 (0)	4 (0)	All actions have been completed.
Main Accounting	0 (0)	0 (0)	1 (0)	The one action arising has been completed.
Balance Sheet Reconciliations	0 (0)	0 (0)	4 (2)	The two outstanding actions are not yet due.
Building Standards	3 (1)	4 (2)	3 (1)	The four outstanding actions are not yet due.
Children with additional needs transitioning into adults	0 (0)	1 (1)	0 (0)	The one outstanding action is not yet due
Charging Policy (non-residential services)	1 (1)	5 (5)	1 (1)	This report has recently been issued and none of the actions are yet due.
Asset Management Plan - Fleet	2 (2)	4 (4)	3 (3)	This report has recently been issued and none of the actions are yet due.
Year End Stock Count Visits 2018/19	0 (0)	1 (1)	0 (0)	The one outstanding action is not yet due
Performance Indicators Review – Library Visits	0 (0)	0 (0)	5 (5)	The five outstanding actions are not yet due.
Performance Indicators Review – Museum Visits	0 (0)	1 (1)	6 (6)	The six outstanding actions are not yet due.
<b>Total</b>	<b>10 (4)</b>	<b>27 (15)</b>	<b>31 (19)</b>	

<b><u>Number Of Agreed Actions</u></b>				
<b><u>Report Title</u></b>	<b><u>High</u></b>	<b><u>Medium</u></b>	<b><u>Low</u></b>	<b><u>Comments</u></b>
<b><u>Computer Audits:</u></b>				
ICT Software Management Controls	0 (0)	0 (0)	3 (3)	The three outstanding actions are not yet due
ICT Device Management Controls	0 (0)	1 (0)	1 (1)	The one outstanding action is not yet due
ICT Network Controls	0 (0)	2 (0)	2 (1)	The one outstanding action is not yet due
<b>Total</b>	<b>0 (0)</b>	<b>3 (0)</b>	<b>6 (5)</b>	
<b><u>Investigations</u></b>				
Tendering & Contracting Roads and Greenspace:				
• Specific Actions	7 (0)	7 (1)	0 (0)	One medium risk action is outstanding.
• Generic Actions	7 (0)	5 (0)	0 (0)	All actions have been completed.
Investigation: Fire Detection and Fire Alarm Systems	10 (1)	5 (0)	0 (0)	It is anticipated that the one outstanding action will be completed during June 2019.
Investigation: Overpayment of Wages – Home Care	1 (0)	1 (0)	0 (0)	Both actions have been completed.
Investigation – School Meals	0 (0)	1 (1)	0 (0)	The one outstanding action is not yet due.
Investigation – School Funds	0 (0)	1 (1)	1 (1)	The two outstanding actions are is not yet due.
<b>Total</b>	<b>25 (1)</b>	<b>20 (3)</b>	<b>1 (1)</b>	
<b>OVERALL TOTAL</b>	<b>35 (5)</b>	<b>50 (18)</b>	<b>38 (25)</b>	

**Note:** Figures in ( ) denote actions which remain outstanding as at 29 May 2019