

## Item 5

### Appendix 1

## 2023-2024 Internal Audit Annual Audit Plan – Progress to 24 January 2024

<b>2023-24 Plan Audit/Status</b>	<b>Not Started</b>	<b>Planning</b>	<b>Fieldwork</b>	<b>Fieldwork Complete</b>	<b>Draft Report</b>	<b>Final Report</b>	<b>Date Reported to Audit Committee</b>
<b>Risk-Based Reviews</b>							
Corporate Complaints		✓	✓	✓	✓	✓	February 2024
Participatory Budgeting	✓						June 2024
Attendance Management		✓	✓	✓	✓		June 2024
Commercial Waste		✓					June 2024
FM Cleaning – Stock Control		✓	✓	✓	✓		June 2024
FM Catering – Stock Control		✓	✓	✓	✓	✓	February 2024
Corporate Procurement		✓	✓				June 2024
<b>Value for Money Reviews</b>							
Void Repairs and Maintenance		✓	✓				June 2024
Property Repairs and Maintenance		✓	✓				June 2024
<b>Limited Scope Financial System Reviews</b>							
Debt Recovery		✓	✓				June 2024
<b>Project Assurance Review</b>							
Learning Disability Service – Service Redesign	✓						June 2024
<b>Regularity Audits</b>							
Education CSA		✓	✓				June 2024
Payroll High Earners – Quarterly Review		✓	✓				June 2024
HSCP - Imprest Accounts		✓	✓	✓	✓	✓	December 2023
<b>Advisory Reviews</b>							
UK Shared Prosperity Fund	Planning underway.						
Roads – Route Optimisation	Not yet started.						
Finance/HR Establishment Controls	Audit advice and support provided to working group.						
<b>Other Work</b>							
Integration Joint Board – Internal Audit Service	The internal audit annual plan for 2023/24 audit plan is underway with one review finalised and the other review at fieldwork stage.						
Valuation Joint Board – Internal Audit Service	Planning for the 2023/24 audit has not started.						
Leisure Trust – Internal Audit Service	Fieldwork for the 2023/24 audit is underway.						
National Fraud Initiative	Matches for the 2022/23 Exercise have been received and investigations are underway.						
2022/2023 Audit Plan Completion	There were 3 reviews finalised in 2023/24.						

