

2023-2024 Internal Audit Annual Audit Plan – Progress to 15 November 2023

2023-24 Plan Audit/Status	Not Started	Planning	Fieldwork	Fieldwork Complete	Draft Report	Final Report	Date Reported to Audit Committee
Risk-Based Reviews							
Corporate Complaints		✓	✓	✓	✓		February 2024
Participatory Budgeting	✓						June 2024
Attendance Management		✓	✓				February 2024
Commercial Waste	✓						June 2024
FM Cleaning – Stock Control		✓	✓				February 2024
FM Catering – Stock Control		✓	✓				February 2024
Corporate Procurement		✓					June 2024
Value for Money Reviews							
Void Repairs and Maintenance		✓	✓				February 2024
Property Repairs and Maintenance		✓	✓				February 2024
Limited Scope Financial System Reviews							
Debt Recovery		✓	✓				February 2024
Project Assurance Review							
Learning Disability Service – Service Redesign	✓						June 2024
Regularity Audits							
Education CSA		✓					February 2024
Payroll High Earners – Quarterly Review		✓					June 2024
HSCP - Imprest Accounts		✓	✓	✓	✓	✓	December 2023
Advisory Reviews							
UK Shared Prosperity Fund	Not yet started.						
Roads – Route Optimisation	Not yet started.						
Finance/HR Establishment Controls	Audit advice and support provided to working group.						
Other Work							
Integration Joint Board – Internal Audit Service	The internal audit annual plan for 2022/23 is complete and the 2023/24 audit plan is underway with one review at draft report stage and the other review at planning stage.						
Valuation Joint Board – Internal Audit Service	Planning for the 2023/24 audit has not started.						
Leisure Trust – Internal Audit Service	Planning for the 2023/24 audit is underway.						
National Fraud Initiative	Matches for the 2022/23 Exercise have been received and investigations are underway.						
2022/2023 Audit Plan Completion	There are 3 audits being finalised in 2023/24. One audit is complete and fieldwork is ongoing for 2 audits.						