

APPENDIX A**Audit Plan 2013/14: Risk Based Audits Completed**

<u>Report Title</u>	<u>No. Of Agreed Actions</u>			<u>Comments</u>
	<u>High</u>	<u>Medium</u>	<u>Low</u>	
Contract Requirements - Schools	1 (0)	8 (1)	0 (0)	The one o/s action is not yet due
CHCP Carefirst	0 (0)	3 (1)	0 (0)	The one o/s action is not yet due
Budgetary Controls	0 (0)	2 (0)	2 (2)	The two o/s actions are not yet due
Council Tax Recovery and Enforcement	0 (0)	0 (0)	0 (0)	No issues identified from this assignment
Housing Benefit Performance	0 (0)	0 (0)	3 (0)	All actions completed
NDR Billing	0 (0)	1 (0)	1 (0)	All actions completed
Treasury Management	0 (0)	3 (0)	0 (0)	All actions completed
Housing – Insurance	0 (0)	0 (0)	1 (0)	All actions completed
Housing – Tenancy Allocations & Lettings	0 (0)	2 (0)	3 (0)	All actions completed
Protocol – Protocol for the Appointment of Consultants	0 (0)	0 (0)	4 (4)	The four o/s actions are not yet due
Procurement – Corporate Function	0 (0)	0 (0)	4 (2)	The two o/s actions are not yet due
Procurement – Scheme of Delegation	0 (0)	2 (2)	2 (2)	The four o/s actions are not yet due
School & CHCP Trips	0 (0)	5 (2)	3 (1)	The three o/s actions are not yet due
TOTAL	1 (0)	26 (6)	23 (11)	

NB: Figures in () denote actions which remain outstanding