

West Dunbartonshire Council
Review Of Internal Audit Reports
Audit & Performance Review Committee - 12 Oct 2011

APPENDIX B

Ref	Title of Report	Report Issue Date	Details on Report Actions					Department	Comments	Follow Up in Next Review Yes/No
			No. Of Outstanding Actions b/fwd From / Agreed Since Last A&PR Report	No. Now Implemented	No. Of Outstanding Actions c/fwd	No. Of O/S Actions With Planned Implementation Date Reached	No. Of O/S Actions With Planned Implementation Date Not Yet Reached			
1	Improvement Grants	Jan-09	1	1	0	0	0	Housing, Environmental & Economic Development	All actions now complete.	No
2	Stores	Mar-09	1	0	1	1	0	Housing, Environmental & Economic Development	Store rationalisation is currently being investigated with a number of options including outsourcing which would impact on this outcome.	Yes
3	Procurement	Jun-09	2	1	1	1	0	Corporate Services - Finance & ICT	A revised Procurement strategy is to be completed as part of the ongoing work to improve the Council's procurement capability before the next assessment in November 2011.	Yes
4	Accounting Systems	Oct-09	1	0	1	1	0	Corporate Services - Finance & ICT	The TM code has been reviewed and updated. It will be reported to CMT in Sept.2011 & Corporate & Efficient Governance Committee in Nov 2011.	Yes
5	Network Controls Review	Sep-09	3	0	3	3	0	Corporate Services - Finance & ICT	Risk actions in the Clydebank kit room are ongoing and this action is well underway. Progress on the other two actions has been slow due to restructuring which has resulted in unclear responsibility for these areas.	Yes
6	Sustainability	Feb-10	6	2	4	4	0	Chief Executive	Actions will mainly be covered by the 'Cutting Carbon' campaign due to be launched in October 2011.	Yes
7	Community Planning Partnership	Mar-10	1	0	1	1	0	Chief Executive	The CPP strategic board is undertaking a PSIF evaluation during Aug 2011. A significant part of this is assessing and mitigating risk. This work will inform a future more formal risk assessment.	Yes
8	Cash and Bank	Mar-10	1	0	1	1	0	Corporate Services - Finance & ICT	The review of reconciliations is work in progress.	Yes
9	Civil Contingencies	May-10	5	5	0	0	0	Chief Executive	Actions all now complete.	No
10	Purchasing Cards	Mar-10	1	1	0	0	0	Corporate Services - Finance & ICT	Actions all now complete.	No
11	Security of Data	Oct-10	2	1	1	1	0	Housing, Environmental & Economic Development	Internal restructures are now complete and the file review will be completed in the near future (New target 31.10.11)	Yes
12	Debtors	Jan-11	1	0	1	1	0	Corporate Services - Finance & ICT	This is work in progress which commenced April 2011.	Yes
13	Housing (Right to buy)	Jan-11	1	1	0	0	0	Housing, Environmental & Economic Development	Actions all now complete.	No

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14	Social Work - Tendering & Contracting	Jan-11	3	3	0	0	0	Social Work & Health	Actions all now complete.	No
15	Social Work - Home Care	Jan-11	5	0	5	0	5	Social Work & Health	All the outstanding actions have not reached revised completion dates per Mar. A&PRC	Yes
16	Procurement	Feb-11	2	2	0	0	0	Corporate Services - Finance & ICT	Actions all now complete.	No
17	Housing , Terminations, Transfers, etc.	Mar-11	3	0	3	3	0	Housing, Environmental & Economic Development	One action is part complete and a new target date of 30 Sept 2011 set for another after centralisation. The third action is proving difficult to achieve.	Yes
18	Housing Benefit Overpayments	May-11	2	2	0	0	0	Corporate Services - Finance & ICT	Actions all now complete.	No
19	Main Accounting	Jul-11	1	0	1	0	1	Corporate Services - Finance & ICT	A new policy is being developed for capital processes and once approved the capital guidance manual will be updated with the new review process incorporated.	Yes
20	Planning Applications	Jul-11	6	6	0	0	0	Housing, Environmental & Economic Development	All actions now complete.	No
21	Payroll	Jun-11	4	3	1	1	0	Corporate Services - HR&OD	The outstanding action has taken longer than planned due to restructuring within departments.	Yes
22	Saffron System	Aug-11	25	6	19	2	17	Corporate Services - Finance & ICT & Housing, Environmental & Economic Development	Only two of the actions have passed their implementation date.	Yes
23	ICT Strategy	Sep-09	1	0	1	1	0	Corporate Services - Finance & ICT	We are in the middle of an upgrade to Agresso where we will be looking at all the interfaces and if this has been dealt with by then it will be when we go live in April 2012.	yes
24	Nurseries	Aug-11	1	0	1	0	1	Education Services	On target	Yes

Totals

79 34 45 21 24