Supplementary Agenda

Meeting of
West Dunbartonshire Council

Date:	Wednesday, 29 January 2020
Time:	14:00
Venue:	Council Chamber
Contact:	Christine McCaffary, Senior Democratic Services Officer, Tel. 01389 737186 – christine.mccaffary@west-dunbarton.gov.uk

Dear Member

ITEMS TO FOLLOW

I refer to the agenda for the above meeting that was issued on 16 January and now enclose copies of **Items 7, 8 and 9** which were not available for issue at that time.

Yours faithfully

JOYCE WHITE

Chief Executive

Note referred to:-

7 GENERAL SERVICES BUDGETARY CONTROL REPORT TO PERIOD 9 **37 - 97**

Submit report by the Strategic Lead – Resources advising on the General Services revenue budget and the approved capital programme to 31 December 2019 (Period 9).

8 HOUSING REVENUE ACCOUNT (HRA) BUDGETARY CONTROL REPORT TO PERIOD 9 **99 - 117**

Submit report by the Strategic Lead – Housing & Employability providing an update on the financial performance of the HRA revenue and capital budgets to 31 December 2019 (Period 9).

9 GENERAL SERVICES BUDGET PREPARATION 2020/21 TO 2022/23 **119 - 127**

Submit report by the Strategic Lead – Resources providing an update on the setting of the General Fund budget for 2020/21 and gap projections for 2022/23.

Distribution:-

Provost William Hendrie
Bailie Denis Agnew
Councillor Jim Bollan
Councillor Jim Brown
Councillor Gail Casey
Councillor Karen Conaghan
Councillor Ian Dickson
Councillor Diane Docherty
Councillor Jim Finn
Councillor Daniel Lennie
Councillor Caroline McAllister
Councillor Douglas McAllister
Councillor David McBride
Councillor Jonathan McColl
Councillor Iain McLaren
Councillor Marie McNair
Councillor John Millar
Councillor John Mooney
Councillor Lawrence O'Neill
Councillor Sally Page
Councillor Martin Rooney
Councillor Brian Walker

Chief Executive
Strategic Director - Transformation & Public Service Reform
Strategic Director - Regeneration, Environment & Growth
Chief Officer - West Dunbartonshire Health & Social Care Partnership

Date of issue: 23 January 2020

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WEST DUNBARTONSHIRE COUNCIL

Report by the Strategic Lead - Resources

Council: 29 January 2020

Subject: General Services Budgetary Control Report to 31 December 2019 (Period 9)

1. Purpose

1.1 The purpose of this report is to advise on both the General Services revenue budget and the approved capital programme to 31 December 2019.

2. Recommendations

2.1 Council is asked to:

i) note that the revenue account currently shows a projected annual favourable variance of £0.294m (0.13% of the total budget); and

ii) note that the capital account shows that planned expenditure and resource for 2019/20 is lower than budgeted by £17.752m (22.84% of the budget), made up of £18.210m relating to project slippage, partially offset by £0.457m relating to an in year overspend (as noted within appendices 5 to 8).

3. Background

Revenue

3.1 At the meeting of West Dunbartonshire Council on 27 March 2019, Members agreed the revenue estimates for 2019/2020. A total net budget of £219.079m was approved for General Services, before use of balances.

3.2 Since the budget was set a revised Corporate structure has been agreed. The overall General Services budget did not change, however the changes to the service structure of the budget have been implemented within this report.

3.3 Since the budget was agreed, additional funding has been provided by Scottish Government and a total net budget of £223.253m is now being monitored:

Base Budget/

	£m
Base Budget 19/20	219.079
Teachers Pensions – increase in employers rate (Scottish Government element)	1.132
Teachers pay award from 1 April 2019 (Scottish Government element)	2.624
Additional RSG – Licensing	0.003
Early years funding now part of RSG (previously in Education)	0.415
Revision to Government funding assumption for counselling	0.009
Additional funding for probationers	0.265
Current Revised budget	**223.527**

3.4 The additional earmarked reserve set aside for adverse weather contingency (general and for the General Election) has not yet been adjusted for as no costs have been identified through the ledger as at period 9. This may change for future months.

3.5 Following the completion of the Annual Accounts 2018/19, an exercise was completed to identify recurring variances in all service areas. This has resulted in movement between services and the Contingency Fund, which has been incorporated into the report.

Capital

3.6 At the meeting of Council on 27 March 2019, Members also agreed the updated 10 year General Services Capital Plan for 2019/2020 to 2028/29. The next three years from 2019/20 to 2021/22 have been approved in detail with the remaining years being indicative at this stage. After adjusting for anticipated slippage from 2018/19 into 2019/20, the budget agreed for 2019/20 was £62.714m.

3.7 Since then, budget adjustments have taken place (through further 2018/19 capital slippage and additional external funding), revising the 2019/20 annual budget to £77.724m, as follows:

	£m
Base Budget 2019/20	33.140
Anticipated Slippage from 2018/19 – March 2019	29.574
Anticipated budget 2019/20 (Council – March 2019)	62.714
Additional slippage from 2019/20 – following year end	5.323
Revised Base Budget 2019/20 – following year end	68.037
New Funding:	
Town Centre Fund (Scottish Govt)	0.859
Electrical Charging Points (Transport Scotland)	0.170
Public Transport Projects (SPT)	0.500
Dalreoch/ Balloch Park & Ride (SPT)	0.050
CWSS additional grant	0.030
Improvement Cyber Security (S.Govt)	0.023
Sports pitches (Sports Scotland)	0.020
Acceleration of Project:	
Heritage Capital Fund	0.247

Additional budget approved:	
District Heating Network	4.400
Acceleration of Regeneration Budget for District Heating Commercial Costs	3.509
Funding no longer available (time limited):	
Footways Funding	(0.116)
Reduction in CFCR required	(0.005)
Revised Budget 2019/20	**77.724**

4. Main Issues

Revenue

4.1 The summary report at Appendix 1 currently identifies a projected annual favourable variance (underspend) of £0.294m (0.13% of the total budget) and service reports by Strategic Leads are attached as Appendix 2.

4.2 Notes on the projected annual variances in excess of £0.050m are highlighted and noted within Appendix 3, with additional information on action being taken to minimise or mitigate overspends where possible.

4.3 Agreed savings and management adjustments actioned within 2019/20 are monitored with current indications showing that of the total target being monitored (£2.739m) and £0.152m is currently projected not to be achieved.

4.4 The latest budgetary control report to Health and Social Care Partnership Board 13 November 2019 reported a projected overspend of £0.527m. The report advised that management were working on plans to mitigate this overspend, including a draft recovery plan and drawdown from earmarked reserves. It is not anticipated at this time that there would be an impact on the Council.

Capital

4.5 The current progress on the capital plan is shown in Appendices 5 to 8.

4.6 The overall programme summary report at Appendix 5 shows that planned expenditure and resource for 2019/20 is lower than budgeted by £17.752m (22.84% of the budget), made up of £18.210m relating to project slippage, partially offset by £0.457m relating to an in year overspend.

4.7 Appendix 5 also provides both an analysis of the overall programme at each alert status and a summary budgetary control report. The tables at the top detail both the number of projects and the corresponding spend as a percentage of the overall programme currently at red, amber or green alert status for project life and the current year.

4.8 Appendix 6 details financial analysis of projects at red status and Appendix 7 is amber, with additional information on action being taken to minimise or mitigate under or overspends where possible. Appendix 8 provides an analysis of projects at green status (please note that, where appropriate,

some of the project end dates were revised at start of the year). Appendix 9 provides an analysis of resources.

4.9 From the analysis within the appendices it can be seen that there are ten projects with material slippage, these are listed as follows:

Project Name	**Current Slippage (£m)**
Red Projects	
Vehicle Replacement	3.537
Posties Park Sports Hub	0.728
Westbridgend Community Centre	0.635
Gruggies Burn Flood Prevention	4.155
A813 Road Improvements – phase 1	0.536
Depot Rationalisation	1.009
Regeneration Fund	0.810
Amber Projects	
A811 Lomond Bridge	1.800
Exxon City Deal	0.696
Town Centre Fund	0.829
Total	**14.735**

5. Option Appraisal

5.1 No option appraisal was required for this report.

6. People Implications

6.1 There are no people implications.

7. Financial and Procurement Implications

7.1 The report notes the projected in-year financial position for both General Services revenue and capital budgets.

8. Risk Analysis

8.1 The main risks are as follows:

(a) The present variances should be viewed in the knowledge that there are a number of variable factors which could arise between now and 31 March and which could affect the year end results for both the revenue and capital budgets; and

(b) As a consequence of current market conditions, capital receipts may either not be received or they may be less than anticipated. A shortfall in capital receipts may affect the revenue budget position due to the assumption within the budget of £4m capital receipts being used to reduce loan charges. Currently, it is anticipated that the £4m will be

achieved. This is being closely monitored and any issues will be reported to Council.

9. Equalities Impact Assessment (EIA)

9.1 No equalities impact assessment was required in relation to this report.

10. Environmental Sustainability

10.1 No assessment of environmental sustainability was required in relation to this report.

11. Consultation

11.1 All services involved in delivering the revenue and capital budgets have been consulted in the compilation of this report.

12. Strategic Assessment

12.1 Proper budgetary control and sound financial practice are cornerstones of good governance and support Council and officers to pursue the strategic priorities of the Council's current Strategic Plan. This report forms part of the financial governance of the Council.

Stephen West
Strategic Lead - Resources

Date: 20 January 2020

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Appendices:

Appendix 1 -	Revenue Budgetary Control 2019/20 – Corporate Summary
Appendix 2 -	Revenue Budgetary Control 2019/20 – Strategic Lead Summaries
Appendix 3 -	Analysis of Revenue Variances over £50,000
Appendix 4 -	2019/20 Savings and Management Adjustments Monitoring
Appendix 5 -	Overall Capital Programme Summary Financials
Appendix 6 -	Analysis of Projects at Red Status
Appendix 7 -	Analysis of Projects at Amber Status
Appendix 8 -	Analysis of Projects at Green Status
Appendix 9 -	Analysis of Resources

Background Papers: Ledger output – period 9
General Services Revenue Estimates 2019/20
General Services 10 Year Capital Plan Update - Council 27 March 2019

Wards Affected All Wards

WEST DUNBARTONSHIRE COUNCIL **APPENDIX 1**

REVENUE BUDGETARY CONTROL 2019/2020

SUMMARY

PERIOD END DATE **31/012/2019**

Department Summary	Total Budget 2019/20	Spend to Date 2019/20	Projected Spend	Variance 2019/20		Annual RAG Status
	£000	£000	£000	£000	%	
Strategic Director - Transformation & Public Sector Reform	615	763	585	(30)	-5%	↑
Resources	5,475	10,336	5,416	(59)	-1%	↑
Regulatory	2,585	1,792	2,513	(72)	-3%	↑
People & Technology	6,083	4,857	5,990	(93)	-2%	↑
Communications, Culture, Community and Facilities	17,086	13,099	17,120	34	0%	↓
Education, Learning and Attainment	97,162	71,451	97,363	202	0%	↓
Neighbourhood	14,507	15,598	14,487	(20)	0%	↑
Housing and Employability	4,820	3,325	4,780	(40)	-1%	↑
Regeneration	(2,767)	(2,340)	(2,800)	(33)	1%	↑
Miscellaneous Services	5,753	4,599	6,116	363	6%	↓
Loan Charges	6,897	5,172	6,897	0	0%	→
Requisition (VJB)	750	563	750	0	0%	→
Requisition (SPT)	1,592	1,194	1,592	0	0%	→
Requisition (CJP)	2,017	1,513	2,017	0	0%	→
Requisition (HSCP)	67,540	50,655	67,540	0	0%	→
Non GAE Allocation	(6,953)	(5,215)	(6,953)	0	0%	→
Contingency Fund	366	(180)	(180)	(546)	-149%	↑
Total Expenditure	**223,527**	**177,181**	**223,233**	**(294)**	**0%**	↑
Council Tax/CT Replacement Scheme	(34,797)	(26,615)	(34,797)	0	**0%**	→
Revenue Support Grant/ NDR	(187,755)	(150,474)	(187,755)	0	**0%**	→
Use of Reserves	(975)	(731)	(975)	0	**0%**	→
Total Resources	**(223,527)**	**(177,820)**	**(223,527)**	**0**	**0%**	→
Net Expenditure	**0**	**(639)**	**(294)**	**(294)**	**-0.13%**	↑

WEST DUNBARTONSHIRE COUNCIL **APPENDIX 2**

REVENUE BUDGETARY CONTROL 2019/2020

STRATEGIC DIRECTOR - TRANSFORMATION & PUBLIC SECTOR REFORM

PERIOD END DATE **31/012/2019**

Service / Subjective Summary	Total Budget 2019/20	Spend to Date 2019/20	Projected Spend	Variance 2019/20		Annual RAG Status
Service Summary	**£000**	**£000**	**£000**	**£000**	**%**	
Procurement	615	763	585	(30)	-5%	↑
Total Net Expenditure	**615**	**763**	**585**	**(30)**	**-5%**	↑

WEST DUNBARTONSHIRE COUNCIL
REVENUE BUDGETARY CONTROL 2019/2020
RESOURCES SUMMARY

APPENDIX 2

PERIOD END DATE **31/012/2019**

Service / Subjective Summary	Total Budget 2019/20	Spend to Date 2019/20	Projected Spend	Variance 2019/20		Annual RAG Status
Service Summary	**£000**	**£000**	**£000**	**£000**	**%**	
Audit	150	267	135	(15)	-10%	↑
Central Administration Support	2,389	1,658	2,329	(60)	-3%	↑
Finance	1,397	1,202	1,407	10	1%	↓
Rent Rebates & Allowances	(46)	5,435	(46)	0	0%	→
Revenues & Benefits	2,059	1,784	2,069	10	0%	↓
Finance Business Centre	284	203	285	1	0%	↓
Cost of Collection of Rates	24	0	24	0	0%	→
Cost of Collection of Council Tax	(782)	(213)	(787)	(5)	1%	↑
Total Net Expenditure	**5,475**	**10,336**	**5,416**	**(59)**	**-1%**	↑

WEST DUNBARTONSHIRE COUNCIL **APPENDIX 2**

REVENUE BUDGETARY CONTROL 2019/2020

REGULATORY SUMMARY

PERIOD END DATE **31/012/2019**

Service / Subjective Summary	Total Budget 2019/20	Spend to Date 2019/20	Projected Spend	Variance 2019/20		Annual RAG Status
Service Summary	**£000**	**£000**	**£000**	**£000**	**%**	
Democratic and Registration Service	733	509	718	(15)	-2%	↑
Environmental Health/ Trading Standards	668	519	700	32	5%	↓
Licensing	(120)	(91)	(94)	26	-22%	↓
Legal Services	879	717	879	0	0%	→
Planning	425	138	310	(115)	-27%	↑
Total Net Expenditure	**2,585**	**1,792**	**2,513**	**(72)**	**-3%**	↑

WEST DUNBARTONSHIRE COUNCIL
REVENUE BUDGETARY CONTROL 2019/2020
PEOPLE AND TECHNOLOGY

APPENDIX 2

PERIOD END DATE **31/012/2019**

Service / Subjective Summary	Total Budget 2019/20	Spend to Date 2019/20	Projected Spend	Variance 2019/20		Annual RAG Status
Service Summary	**£000**	**£000**	**£000**	**£000**	**%**	
Transactional Services	645	467	649	4	1%	↓
Human Resources (including risk)	1,214	818	1,196	(18)	-1%	↑
Information Services	3,859	3,301	3,843	(16)	0%	↑
Change Support	365	271	302	(63)	-17%	↑
Total Net Expenditure	**6,083**	**4,857**	**5,990**	**(93)**	**-2%**	↑

WEST DUNBARTONSHIRE COUNCIL **APPENDIX 2**

REVENUE BUDGETARY CONTROL 2019/2020

COMMUNICATIONS, CULTURE AND COMMUNITIES

PERIOD END DATE **31/012/2019**

Service / Subjective Summary	Total Budget 2019/20	Spend to Date 2019/20	Projected Spend	Variance 2019/20		Annual RAG Status
Service Summary	**£000**	**£000**	**£000**	**£000**	**%**	
Communications & Marketing	307	223	313	6	2%	↓
Citizen Services	1,363	937	1,327	(36)	-3%	↑
Performance & Strategy	347	236	348	1	0%	↓
Libraries, Museums, Culture	1,743	1,188	1,734	(9)	-1%	↑
Arts and Heritage	453	304	425	(28)	-6%	↑
Office Accommodation	1,491	1,109	1,539	48	3%	↓
Clydebank Town Hall	375	218	372	(3)	-1%	↑
Catering Services	4,121	2,753	4,144	22	1%	↓
Building Cleaning	1,473	1,079	1,493	20	1%	↓
Building Cleaning PPP	(261)	(230)	(257)	4	-2%	↓
Facilities Assistants	2,087	1,282	1,968	(119)	-6%	↑
Facilities Management	327	256	336	9	3%	↓
Leisure Management	3,141	3,657	3,271	130	4%	↓
Events	120	87	107	(12)	-10%	↑
Total Net Expenditure	**17,086**	**13,099**	**17,120**	**33**	**0%**	↓

WEST DUNBARTONSHIRE COUNCIL
REVENUE BUDGETARY CONTROL 2019/2020
EDUCATION, LEARNING AND ATTAINMENT

APPENDIX 2

PERIOD END DATE **31/012/2019**

Service / Subjective Summary	Total Budget 2019/20	Spend to Date 2019/20	Projected Spend	Variance 2019/20		Annual RAG Status
Service Summary	**£000**	**£000**	**£000**	**£000**	**%**	
Primary Schools	27,829	21,020	27,946	117	0%	↓
Secondary Schools	26,446	20,844	26,639	194	1%	↓
Specialist Educational Provision	14,853	10,883	15,354	501	3%	↓
Psychological Services	529	325	422	(107)	-20%	↑
Sport Development / Active Schools	579	442	579	0	0%	→
Early Education	8,694	2,434	8,474	(220)	-3%	↑
PPP	14,776	13,061	14,531	(245)	-2%	↑
Cultural Services	564	390	582	19	3%	↓
Curriculum for Excellence	182	99	182	(0)	0%	↑
Central Admin	252	230	297	46	18%	↓
Workforce CPD	341	198	263	(77)	-23%	↑
Performance & Improvement	430	297	424	(6)	-1%	↑
Education Development	1,687	1,228	1,669	(19)	-1%	↑
Raising Attainment - Primary	0	0	0	0	0%	→
Raising Attainment - Secondary	0	0	0	0	0%	→
Pupil Equity Fund (including LAC PEF)	0	0	0	0	0%	→
Total Net Expenditure	**97,162**	**71,451**	**97,363**	**202**	**0%**	↓

WEST DUNBARTONSHIRE COUNCIL
REVENUE BUDGETARY CONTROL 2019/2020
NEIGHBOURHOOD

APPENDIX 2

PERIOD END DATE **31/012/2019**

Service / Subjective Summary	Total Budget 2019/20	Spend to Date 2019/20	Projected Spend	Variance 2019/20		Annual RAG Status
Service Summary	**£000**	**£000**	**£000**	**£000**	**%**	
Roads Operations	(872)	4,610	(836)	36	-4%	↓
Roads Services	4,169	2,715	4,126	(43)	-1%	↑
Transport, Fleet & Maintenance Services	(428)	44	(424)	4	-1%	↓
Grounds Maintenance & Street Cleaning Client	7,363	5,522	7,363	0	0%	→
Outdoor Services	234	209	269	35	15%	↓
Burial Grounds	(139)	(291)	(140)	(2)	1%	↑
Crematorium	(948)	(525)	(903)	45	-5%	↓
Waste Services	7,674	5,031	7,577	(97)	-1%	↑
Depots	0	362	0	0	0%	→
Ground Maintenance & Street Cleaning Trading A/c	(2,547)	(2,080)	(2,545)	1	0%	↓
Total Net Expenditure	**14,507**	**15,598**	**14,487**	**(21)**	**0%**	↑

WEST DUNBARTONSHIRE COUNCIL **APPENDIX 2**

REVENUE BUDGETARY CONTROL 2019/2020

HOUSING AND EMPLOYABILITY

PERIOD END DATE **31/012/2019**

Service / Subjective Summary	Total Budget 2019/20	Spend to Date 2019/20	Projected Spend	Variance 2019/20		Annual RAG Status
Service Summary	**£000**	**£000**	**£000**	**£000**	**%**	
Working 4 U	2,892	2,166	2,891	(1)	0%	↑
Communities	860	472	846	(14)	-2%	↑
Homeless Persons	510	440	507	(3)	-1%	↑
Private Sector housing	43	13	46	3	7%	↓
Anti Social Behaviour	514	233	489	(25)	-5%	↑
Total Net Expenditure	**4,820**	**3,325**	**4,780**	**(40)**	**-1%**	↑

WEST DUNBARTONSHIRE COUNCIL **APPENDIX 2**

REVENUE BUDGETARY CONTROL 2019/2020

REGENERATION

PERIOD END DATE **31/012/2019**

Service / Subjective Summary	Total Budget 2019/20	Spend to Date 2019/20	Projected Spend	Variance 2019/20		Annual RAG Status
Service Summary	**£000**	**£000**	**£000**	**£000**	**%**	
Housing Maintenance Trading A/c	(1,239)	(900)	(1,270)	(31)	2%	↑
Housing Asset and Investment	49	4	5	(43)	-89%	↑
Corporate Assets and Capital Investment Programme	(2,227)	(1,613)	(2,166)	61	-3%	↓
Economic Development	368	183	375	7	2%	↓
Central Repairs & Maintenance	(238)	(130)	(243)	(5)	2%	↑
Private Sector Housing Grants	94	(102)	97	2	2%	↓
Consultancy Services	427	218	402	(25)	-6%	↑
Total Net Expenditure	**(2,767)**	**(2,340)**	**(2,800)**	**(33)**	**1%**	↑

WEST DUNBARTONSHIRE COUNCIL
REVENUE BUDGETARY CONTROL 2019/2020
MISCELLANEOUS

APPENDIX 2

PERIOD END DATE **31/012/2019**

Service / Subjective Summary	Total Budget 2019/20	Spend to Date 2019/20	Projected Spend	Variance 2019/20		Annual RAG Status
Service Summary	**£000**	**£000**	**£000**	**£000**	**%**	
Sundry Services	3,321	2,983	3,663	342	10%	↓
Members Allowances, etc	597	378	583	(14)	-2%	↑
European Employability	510	383	510	0	0%	→
Chief Executive, Directors and Strategic Leads	1,325	855	1,360	35	3%	↓
Total Net Expenditure	**5,753**	**4,599**	**6,116**	**363**	**6%**	↓

WEST DUNBARTONSHIRE COUNCIL **APPENDIX 3**
REVENUE BUDGETARY CONTROL 2019/2020
ANALYSIS FOR VARIANCES OVER £50,000

YEAR END DATE **31/012/2019**

Budget Details	Variance Analysis				
	Total Budget	Projected Spend	Variance		RAG Status
	£000	£000	£000	%	
Resources					
Central Administration Support	2,389	2,329	(60)	-3%	↑
Service Description	This services deals with administration functions within the Authority				
Main Issues / Reason for Variance	The main reason for the favourable variance is vacancies				
Mitigating Action	None required although the service will continually monitored throughout the year.				
Anticipated Outcome	It is anticipated that the underspend will continue throughout the year				
Regulatory					
Planning	425	310	(115)	-27%	↑
Service Description	This Service provides Building & Planning services				
Main Issues / Reason for Variance	There are two main reasons for the favourable variance, income is higher than budgeted and there are a number of vacant posts. This is a demand led budget for income and can fluctuate throughout the year.				
Mitigating Action	No action required				
Anticipated Outcome	Underspend is anticipated				
People & Technology					
Change Support	365	302	(63)	-17%	↑
Service Description	This Service enables transformational change and development, it also has the Central Training Budget for the Council.				
Main Issues / Reason for Variance	The main reason for the favourable variance is vacancies.				
Mitigating Action	None required				
Anticipated Outcome	Underspend is anticipated				
Communications, Culture, Community and Facilities					

WEST DUNBARTONSHIRE COUNCIL **APPENDIX 3**
REVENUE BUDGETARY CONTROL 2019/2020
ANALYSIS FOR VARIANCES OVER £50,000

YEAR END DATE **31/012/2019**

Budget Details	Variance Analysis				
	Total Budget	Projected Spend	Variance		RAG Status
	£000	£000	£000	%	
Facilities Assistants	2,087	1,968	(119)	-6%	↑
Service Description	This service provides Facility Assistants throughout WDC buildings				
Main Issues / Reason for Variance	There has been a reduction in Facilities Assistant costs for a variety of reasons - such as: rationalisation of schools estate and campus approach; timing of filling vacant posts; reduction in overtime due to less out of hours requests				
Mitigating Action	The vacancies have been managed throughout the year taking cognisance of demand from service users to ensure no effect on service delivery				
Anticipated Outcome	Underspend will be achieved				

Leisure Management	3,141	3,271	130	4%	↓
Service Description	Payment to West Dunbartonshire Leisure Trust for leisure services				
Main Issues / Reason for Variance	The reason for this variance is in relation to the projected non achievement in-year of the community facilities saving				
Mitigating Action	Limited action can be taken to reduce this overspend until a firm decision is made as to the future of the community facilities				
Anticipated Outcome	A year end overspend is currently anticipated				

WEST DUNBARTONSHIRE COUNCIL **APPENDIX 3**
REVENUE BUDGETARY CONTROL 2019/2020
ANALYSIS FOR VARIANCES OVER £50,000

YEAR END DATE **31/012/2019**

Budget Details	Variance Analysis				
	Total Budget	Projected Spend	Variance		RAG Status
	£000	£000	£000	%	
Education , Learning and Attainment					
Primary Schools	27,829	27,946	117	0%	↓
Service Description	This service area includes all Primary Schools.				
Main Issues / Reason for Variance	The main variances arise because teacher salaries are slightly greater than assumed in the budget and savings from teacher turnover and APT&C staff have not materialised . In addition there are adverse maternity costs of £115k. This has been partly offset by additional income, mainly from staff secondments (£125k).				
Mitigating Action	Management will continue to review the service and take action were appropriate to minimise the overspend				
Anticipated Outcome	An overspend is likely to continue				
Secondary Schools	26,446	26,639	194	1%	↓
Service Description	This service area includes all Secondary Schools.				
Main Issues / Reason for Variance	The main adverse variances are the significant drop in demand for school meals and vending machine income in the secondaries (£181k) and the school meals income budget being overstated (£62k). In addition teacher costs, including cover/maternity, are higher than budgeted (£68k) . Adverse variances are also partly offset by non-teaching vacancies and a projected underspend on the school bus contract.				
Mitigating Action	Management will continue to review the service and take action were appropriate to minimise the overspend				
Anticipated Outcome	An adverse variance is likely to continue				

WEST DUNBARTONSHIRE COUNCIL **APPENDIX 3**
REVENUE BUDGETARY CONTROL 2019/2020
ANALYSIS FOR VARIANCES OVER £50,000

YEAR END DATE **31/012/2019**

Budget Details	Variance Analysis				
	Total Budget	Projected Spend	Variance		RAG Status
	£000	£000	£000	%	
Specialist Educational Provision	14,853	15,354	501	3%	↓
Service Description	This service area covers all ASN Services.				
Main Issues / Reason for Variance	The overspend on day care placements (£273k) and residential placements (£267k) continues following the placement of several cases with needs that could not be accommodated within the existing schools' estate. The number of day care placements is 1.7% higher than at this time last year and average placement costs are 8.5% higher. Although the average residential cost placement is 3.4% less than last year (due to the cessation of a particularly high placement) the number of pupils placed in residential is 20% higher than last year.				
Mitigating Action	Management will continue to review placements with a view to minimising this overspend				
Anticipated Outcome	An adverse variance is likely to continue given the nature of the day care placements				

Psychological Services	529	422	(107)	-20%	↑
Service Description	This service provides Psychological Support to pupils within West Dunbartonshire.				
Main Issues / Reason for Variance	Employee costs are adverse as some posts were taken on which are to be recharged to other services/funding streams. This adverse variance is more than offset by income from reallocated salaries.				
Mitigating Action	No action required				
Anticipated Outcome	A favourable variance likely to continue.				

Early Education	8,694	8,474	(220)	-3%	↑
Service Description	This services area includes all Early Years establishments within West Dunbartonshire.				
Main Issues / Reason for Variance	Employee costs are showing an underspend mainly due to staff vacancies. Some of this is roll-related (and will change as roll numbers rise during the year) and some will be due to delays in the recruitment process.				
Mitigating Action	Staffing costs vary continuously due to statutory staff/children ratios. Vacancies will continue to be monitored to ensure the most efficient use of staffing and resources. Recruitment is ongoing and a number of new starts are anticipated to be in post by the end of January. This will ensure that the level of service - dictated by roll numbers - is not adversely affected.				
Anticipated Outcome	A favourable variance is projected at the year end.				

WEST DUNBARTONSHIRE COUNCIL **APPENDIX 3**
REVENUE BUDGETARY CONTROL 2019/2020
ANALYSIS FOR VARIANCES OVER £50,000

YEAR END DATE **31/012/2019**

Budget Details	Variance Analysis				
	Total Budget	Projected Spend	Variance		RAG Status
	£000	£000	£000	%	
PPP	14,776	14,531	(245)	-2%	↑
Service Description	This service area includes Vale of Leven, Clydebank High and St Peter the Apostle High Schools and St Eunan's Primary School. The costs charged to this service are Property costs and the Unitary charge.				
Main Issues / Reason for Variance	This favourable variance is attributable to lower than anticipated utility costs which reflect the 2018/19 outturn				
Mitigating Action	No action required				
Anticipated Outcome	A favourable variance is projected at the year end.				
Workforce CPD	341	263	(77)	-23%	↑
Service Description	This service covers teacher training and professional development				
Main Issues / Reason for Variance	Variance has arisen due to a post identified to be recharged to SAC (Children & Neighbourhood Co-ordinator)				
Mitigating Action	No action required				
Anticipated Outcome	A favourable variance is projected at the year end.				
Roads and Neighbourhood					
Waste Services	7,674	7,577	(97)	-1%	↑
Service Description	Waste Collection and Refuse disposal services				
Main Issues / Reason for Variance	This favourable variance is a combination of underspend on staffing due to reduced cover requirements and lower publicity/promotions costs				
Mitigating Action	No action required				
Anticipated Outcome	Underspend anticipated				
Regeneration					
Corporate Assets and Capital Investment Programme	(2,227)	(2,166)	61	-3%	↓
Service Description	This service provides asset and estate management				
Main Issues / Reason for Variance	The variance is linked with reduced rental income projected, additional property costs (NDR), partially offset due to staffing efficiencies				
Mitigating Action	Officers will review costs and take action where possible to minimise any overspend				
Anticipated Outcome	an overspend is anticipated				

WEST DUNBARTONSHIRE COUNCIL **APPENDIX 3**
REVENUE BUDGETARY CONTROL 2019/2020
ANALYSIS FOR VARIANCES OVER £50,000

YEAR END DATE **31/012/2019**

Budget Details	Variance Analysis				
	Total Budget	Projected Spend	Variance		RAG Status
	£000	£000	£000	%	
Miscellaneous					
Sundry Services	3,321	3,663	342	10%	↓
Service Description	This service area budgets for non departmental specific costs such as pensions costs, external grants and elderly welfare payments, external audit fees and insurance costs. The service heading also holds a number of general savings options which have still to be fully allocated				
Main Issues / Reason for Variance	The main variances identified were in relation to insurance excesses (based upon recent historic data), ongoing property costs following the closure of some HSCP properties (costs reverting back to the Council, rather than funded by HSCP) and pension costs				
Mitigating Action	Management will continue to monitor and maximise actions taken to achieve a level of savings, where appropriate				
Anticipated Outcome	An overspend is anticipated				
Other					
Contingency Fund	366	(180)	(546)	-149%	↑
Service Description	The contingency fund is used to accommodate externally influenced movements in service budgets				
Main Issues / Reason for Variance	The balance held in the contingency fund relates to a proportion of the budget for Carbon Reduction Commitment no longer being required and a balance of service budget following the annual recurring variance exercise				
Mitigating Action	No mitigating action required as variance is favourable.				
Anticipated Outcome	Underspend will be achieved				

WEST DUNBARTONSHIRE COUNCIL
MONITORING OF EFFICIENCIES AND MANAGEMENT ADJUSTMENTS 2019/20 **Appendix 4**

Efficiency reference	Efficiency Detail	budgeted Amount £	Projection of Total Saved £	Projection of Total Not Saved £	Comment
MA1	Corporate Review of Service Management structures	250,000	250,000	-	
MA2	Resources Service Restructure	317,879	317,879	-	
MA4	Expansion of Pest Control Service	1,500	1,500	-	
MA5	Reduce travel budget by use of pool cars (EH)	2,000	2,000	-	
MA6	Stop providing newspapers, tea, coffee, milk, etc to elected members.	2,000	2,000	-	
MA7	Regulatory Services - service restructure and appropriate capitalisation	105,723	105,723	-	
MA8	Reduce Training Budget	5,000	5,000	-	
MA9	Rationalise software systems within ICT estate to reduce areas of duplication - reducing ongoing licencing costs	30,000	30,000	-	
MA10	Restructure of People & Technology Service	35,041	35,041	-	
MA11	Reduce budget for upskilling of early years workforce - not required due to high levels of qualifications in place	19,319	19,319	-	
MA12	Reduce Payment to Other Bodies - Education due to improved in-house capacity and capabilities	80,000	80,000	-	
MA13	Education Service Rationalisation	81,000	81,000	-	
MA14	Provision of food hygiene training to Working from U and other parts of the Council (Facilities Management) EH	1,000	1,000	-	
MA15	Reduce revenue maintenance budget in Roads following capital investment	50,000	50,000	-	
MA16	Restructure Environment & Neighbourhood services across the 4 services to reflect current service delivery proposals	150,000	127,680	22,320	Not anticipated to be fully achieved due to the timing of VER/VS/redeployment
MA17	Reduction in management fee for WDLT	150,000	150,000	-	
MA18	Capitalisation of staff costs across Roads, Transport and Greenspace as a result of time required to manage the significant capital investment	350,000	350,000	-	
MA19	Undertake a proportion of asbestos surveys in void houses in-house rather than use an external contractor to deliver all survey work.	3,000	3,000	-	

WEST DUNBARTONSHIRE COUNCIL
MONITORING OF EFFICIENCIES AND MANAGEMENT ADJUSTMENTS 2019/20 **Appendix 4**

Efficiency reference	Efficiency Detail	budgeted Amount £	Projection of Total Saved £	Projection of Total Not Saved £	Comment
MA20	Undertake legionella risk assessments in house rather than using external contractor.	10,000	10,000	-	
MA21	Economic Development - Other Funding - end contribution towards Great Scottish Swim to zero	10,000	10,000	-	
MA22	Regeneration Services restructure	36,409	36,409	-	
MA23	Capitalise appropriate Regeneration team staff costs	50,663	50,663	-	
MA24	Increased capitalisation of staff costs across Asset Management following a review of time spent to manage the significant capital investment	433,000	433,000	-	
MA26	Increased charge to Renfrewshire Council for management of Empty Homes Service	3,000	3,000	-	
MA27	Budget for Internships reduced	15,000	15,000	-	
MA28	Homelessness (Housing Solutions) Service Redesign	25,000	25,000	-	
MA29	30+ employment grant to be incorporated into EU funded programme	35,000	35,000	-	
MA31	Restructure of Anti-Social Behaviour & Estate Caretaking Services	57,528	57,528	-	
SO	Overtime saving	150,000	150,000	-	
MA	Overtime saving	150,000	150,000	-	
SO25	Undertake a review of Community Facilities operated by WDLT	130,000	-	130,000	Savings options have been to Community Consultation and work to identify the best options for delivery of the saving whilst preserving local access to facilities is continuing.
		2,739,062	2,586,742	152,320	

WEST DUNBARTONSHIRE COUNCIL
GENERAL SERVICES CAPITAL PROGRAMME
OVERALL PROGRAMME SUMMARY

APPENDIX 5

MONTH END DATE 31 December 2019

PERIOD 9

Project Status Analysis	Project Life Status Analysis				Current Year Project Status Analysis			
	Number of Projects at RAG Status	% Projects at RAG Status	Spend to Date £000	% Project Spend at RAG Status	Number of Projects at RAG Status	% Projects at RAG Status	Spend to Date £000	% Project Spend at RAG Status
Red								
Projects are forecast to be overspent and/or experience material delay to completion	18	19.4%	77,745	40.4%	18	19.4%	11,491	33.3%
Amber								
Projects are either at risk of being overspent and/or delay in completion (although this is unquantifiable at present) or the project has any issues that require to be reported at this time	15	16.1%	6,421	3.3%	15	16.1%	1,039	3.0%
Green								
Projects are on target both in relation to overall budget and the forecast stages in the project life cycle and no issues are anticipated at this time	60	64.5%	108,145	56.2%	60	64.5%	21,965	63.7%
TOTAL EXPENDITURE	**93**	**100%**	**192,311**	**100%**	**93**	**100%**	**34,494**	**100%**

Project Status Analysis	Project Life Financials				Current Year Financials					
	Budget £000	Spend to Date £000	Forecast Spend £000	Forecast Variance £000	Budget £000	Spend to Date £000	Forecast Spend £000	Forecast Variance £000	Slippage £000	Over/ (Under) £000
Red										
Projects are forecast to be overspent and/or significant delay to completion	125,621	77,745	126,875	1,254	26,995	11,491	14,453	(12,542)	(12,953)	411
Amber										
Projects are either at risk of being overspent and/or delay in completion (although this is unquantifiable at present) or the project has any issues that require to be reported at this time	47,904	6,421	47,944	40	10,655	1,039	5,473	(5,181)	(5,551)	369
Green										
Projects are on target both in relation to overall budget and the forecast stages in the project life cycle and no issues are anticipated at this time	161,564	108,145	161,571	7	40,072	21,965	40,044	(29)	294	(323)
TOTAL EXPENDITURE	**335,089**	**192,311**	**336,390**	**1,301**	**77,724**	**34,494**	**59,970**	**(17,752)**	**(18,210)**	**457**
TOTAL RESOURCES	**(335,089)**	**(192,311)**	**(336,389)**	**(1,300)**	**(77,724)**	**(34,494)**	**(59,970)**	**17,752**		
NET EXPENDITURE	**0**	**0**	**0**	**0**	**0**	**0**	**0**	**0**		

WEST DUNBARTONSHIRE COUNCIL **APPENDIX 6**
GENERAL SERVICES CAPITAL PROGRAMME
ANALYSIS OF PROJECTS AT RED ALERT STATUS

MONTH END DATE **31 December 2019**

PERIOD **9**

	Budget Details	Project Life Financials					
		Budget	Spend to Date		Forecast Spend	Variance	
		£000	£000	%	£000	£000	%
1	**Heritage Capital Fund**						
	Project Life Financials	4,000	16	0%	4,000	0	0%
	Current Year Financials	597	16	0%	146	(451)	0%
	Project Description	Heritage Capital Fund.					
	Project Lifecycle	Planned End Date		31-Mar-22	Forecast End Date		31-Mar-22

Main Issues / Reason for Variance

Council approved a £4m investment in Cultural assets in March 2018. In February 2019 the Cultural Committee approved a £0.330m investment in Alexandria Library, a £0.015m investment in consultancy work to scope out a museum at Clydebank Library, and in April 2019 approved £0.252m to upgrade Clydebank Town Hall roof and stonework. In November 2019 Committee approved £1.408m investment at Clydebank Town Hall, £0.575m investment in a new museum in the basement of Clydebank Library, and £0.015m in consultancy work to scope out improvements at the Back Door Gallery. Forecast spend for 2019/20 is estimated to be £0.146m with £0.451m required to be rephased to 2020/21. Overall spend is behind forecast as a result of delays to the Alexandria Library project and the roof and stonework project at the Town Hall. This was the result of competing priorities and some unexpected absences amongst the asset project team. All projects are expected to be back on track in 2020/21.

Mitigating Action
None required.
Anticipated Outcome
Project to be delivered on budget and within revised timescale.

2	**Kilpatrick School - New Build**						
	Project Life Financials	10,571	10,687	101%	10,836	265	3%
	Current Year Financials	56	173	306%	321	265	469%
	Project Description	Design and build of construction of Additional Support Needs School.					
	Project Lifecycle	Planned End Date		31-Mar-20	Forecast End Date		31-Mar-20

Main Issues / Reason for Variance
The Final Account has yet to be agreed and will require to be negotiated as the contractor is claiming additional monies beyond the contract sum and available budget, which generates a financial risk that Officers are seeking to mitigate where possible. At present, the forecast outturn is anticipating an overspend in the region of £0.265m which constitutes the additional asbestos removal costs and the final 1.5% retention which there is a contractual obligation to pay. Previously an anticipated overspend has been reported but there is a risk this may increase because the contractor has applied for payment greater than the agreed contract sum and has also submitted a loss and expense claim which is currently being determined. Officers continue to work to fully agree the final account and determine the extent of overspend. Project was physically complete November 2017 with retentions due to be paid when all defects are rectified. At this time there are two defects still to be resolved, however it is anticipated retention release will occur this financial year.
Mitigating Action
Opportunities to mitigate are limited at this time. The Council are obligated to make substantiated payment, however continue to meet with Hubwest on a monthly basis with a view to agreeing the final account and resolving the financial position.
Anticipated Outcome
Project complete albeit over budget.

WEST DUNBARTONSHIRE COUNCIL **APPENDIX 6**
GENERAL SERVICES CAPITAL PROGRAMME
ANALYSIS OF PROJECTS AT RED ALERT STATUS

MONTH END DATE **31 December 2019**

PERIOD **9**

	Budget Details	Project Life Financials					
		Budget	Spend to Date		Forecast Spend	Variance	
		£000	£000	%	£000	£000	%
3	**OLSP - New Build**						
	Project Life Financials	3,677	4,084	111%	4,094	417	11%
	Current Year Financials	0	0	0%	10	10	0%
	Project Description	Design and construction of new Secondary School in Bellsmyre, Dumbarton.					
	Project Lifecycle	Planned End Date		31-Mar-19	Actual End Date		31-Mar-19

Main Issues / Reason for Variance

Project handed over and school opened on 25 October 2017, snagging process is complete and retentions have been released in relation to the new build. Originally £0.264m was contained within the budget for demolition but this was exceeded by £0.134m. Abnormals in respect of ground conditions also required to be paid from WDC Capital Contributions. Demolition is now complete and retentions of £0.010m on demolition project are due January 2020. The remaining overspend of £0.273m is in relation to late contractor change notices.

Mitigating Action

None available at this time due to cost of demolition being in excess of budgetary provision.

Anticipated Outcome

New Build opened to pupils on 25 October 2017 in line with the programme, however due to the tender for the demolition being in excess of budgetary provision and additional costs required to be paid from WDC capital contribution the project will report an overspend of £0.417m.

4	**New Balloch Campus**						
	Project Life Financials	16,464	16,691	101%	16,710	246	1%
	Current Year Financials	18	24	133%	43	25	140%
	Project Description	Construction of new primary school in Balloch to incorporate St Kessog's PS, Haldane PS and Jamestown PS and EE&CC.					
	Project Lifecycle	Planned End Date		31-Mar-20	Actual End Date		31-Mar-20

Main Issues / Reason for Variance

The main construction project reached the end of the defect rectification period on 9 February 2019, however retention of £0.016m is currently being held and will be released when the Making Good Defects certificate is issued which is forecast prior to the end of this financial year. The overall project is reporting an anticipated overspend of £0.246m at this time and is expected to be financially complete by 31 March 2020 with the release of the Haldane demolition figure.

Mitigating Action

None available.

Anticipated Outcome

Delivery of project on programme, however forecast overspend in the region of £0.246m.

5	**Vehicle Replacement**						
	Project Life Financials	4,571	632	14%	4,571	0	0%
	Current Year Financials	4,605	665	14%	1,068	(3,537)	-77%
	Project Description	Replacement of vehicles which have reached end of programmed lifespan (7 year heavy vehicles, 10 year light vehicles).					
	Project Lifecycle	Planned End Date		31-Mar-20	Forecast End Date		31-Mar-21

Main Issues / Reason for Variance

The Tendering Committee approved the Contract Authorisation Report for the Provision of Passenger Buses at its September 2019 meeting. Purchase orders have been placed with the successful tenderers. The replacement buses are currently being built to the Council's specification and deliveries of the buses are expected to commence in March 2020. A Contract Authorisation Report for the procurement of Light Commercial Vehicles and Cars will be submitted to the February 2020 Tendering Committee meeting. The Stop Safe safety enhancement installation programme for the Council's waste collection vehicle fleet is complete. At this time it is anticipated that £1.068m will be spent this year with £3.537m being rephased for completion of the project in 2020/21.

Mitigating Action

None available at this time due to build lead in times for HGV and buses.

Anticipated Outcome

Replacement of fleet later than anticipated.

WEST DUNBARTONSHIRE COUNCIL **APPENDIX 6**
GENERAL SERVICES CAPITAL PROGRAMME
ANALYSIS OF PROJECTS AT RED ALERT STATUS

MONTH END DATE **31 December 2019**

PERIOD **9**

	Budget Details	Project Life Financials					
		Budget	Spend to Date		Forecast Spend	Variance	
		£000	£000	%	£000	£000	%
6	**Kilmaronock Cemetery Extension**						
	Project Life Financials	217	0	0%	217	0	0%
	Current Year Financials	217	0	0%	20	(197)	-91%
	Project Description	Extension of existing cemetery at Kilmaronock.					
	Project Lifecycle	Planned End Date		31-Mar-20	Forecast End Date		31-Dec-19
	Main Issues / Reason for Variance						
	The original planned project to extend the current cemetery is no longer viable due to soil depth and land being unsuitable. Another field adjacent to the church has been identified as potentially being suitable, however this land is currently owned by Church of Scotland. Estates have now made final contact with Church of Scotland and are awaiting response and permission to carry out site investigation works to see if the ground is suitable to be used for the cemetery extension. Given the delay in Church of Scotland's response at this time it is estimated that only approx. £0.020m will be spent in 2019/20 with £0.197m required to be rephased to 2020/21.						
	Mitigating Action						
	Officers to engage with Church of Scotland regarding possible use of land in field adjacent to take the project forward.						
	Anticipated Outcome						
	To provide further burial space at Kilmaronock Cemetery although later than anticipated.						
7	**Posties Park Sports Hub - New sports hub to include Gym & running track**						
	Project Life Financials	1,802	79	4%	2,002	200	11%
	Current Year Financials	1,656	19	1%	928	(728)	-44%
	Project Description	Creation of a sports hub at Posties/Marinecraft to include a new changing pavilion/Gym, new					
	Project Lifecycle	Planned End Date		31-Mar-21	Forecast End Date		31-Oct-20
	Main Issues / Reason for Variance						
	Initial cost estimates indicated a budget shortfall of £0.300m due to ground conditions meaning that the foundations had to be designed to be more substantial than first anticipated and decontamination that was required due to asbestos discovered on site. As a result of this value engineering exercises have been undertaken which would have brought the project back within budget, however the revised plans were not approved by Planning. Following discussions with Planning a design has now been agreed which will result in a project overspend of £0.200m. Contract was awarded August 2019 and revised start date yet to be confirmed, however it is anticipated that £0.928m will be spent this financial year with £0.728m of this years budget being rephased to 2020/21. The revised forecast spend in 2020/21 is therefore £1.014m, (£0.086m base budget for 2020/21, £0.728m slippage from 2019/20, £0.200m anticipated over spend). Giving the revised overall forecasted spend for the life of the project at £2.002m.						
	Mitigating Action						
	None required at this time.						
	Anticipated Outcome						
	Creation of sports hub now anticipated during 2020.						
8	**New Westbridgend Community Centre**						
	Project Life Financials	675	41	6%	675	(0)	0%
	Current Year Financials	635	1	0%	0	(635)	-100%
	Project Description	New Westbridgend Community Centre.					
	Project Lifecycle	Planned End Date		31-Mar-20	Forecast End Date		31-Mar-21
	Main Issues / Reason for Variance						
	Feasibility designs are ongoing and various positive meetings have taken place with the group. Designs have now been approved to allow progression to planning application stage.						
	Mitigating Action						
	None available at this time.						
	Anticipated Outcome						
	Project to be delivered later than anticipated due to various factors.						

WEST DUNBARTONSHIRE COUNCIL **APPENDIX 6**
GENERAL SERVICES CAPITAL PROGRAMME
ANALYSIS OF PROJECTS AT RED ALERT STATUS

MONTH END DATE **31 December 2019**

PERIOD **9**

	Budget Details	Project Life Financials					
		Budget	Spend to Date		Forecast Spend	Variance	
		£000	£000	%	£000	£000	%
9	**New Sports Changing Facility at Duntocher**						
	Project Life Financials	300	3	1%	300	0	0%
	Current Year Financials	300	3	0%	3	(297)	-99%
	Project Description	New Sports Changing Facility at Duntocher.					
	Project Lifecycle	Planned End Date		31-Mar-20	Forecast End Date		31-Mar-21
	Main Issues / Reason for Variance						
	Work was anticipated to start December 2019 pending planning permission being granted, however planning application now requires to be reviewed and resubmitted following objections to original design. As a result £0.297m will now be rephased to 2020/21.						
	Mitigating Action						
	Continue to liaise with Planning to take the project forward and prevent further delay.						
	Anticipated Outcome						
	To deliver new sports changing facility.						
10	**Gruggies Burn Flood Prevention**						
	Project Life Financials	15,000	195	1%	15,000	0	0%
	Current Year Financials	4,305	0	0%	150	(4,155)	-97%
	Project Description	Commission of Gruggies Flood Prevention Scheme.					
	Project Lifecycle	Planned End Date		31-Mar-22	Forecast End Date		31-Mar-22
	Main Issues / Reason for Variance						
	Project design is ongoing as optioneering has produced alternative design solutions. Approval granted at August 2019 Tendering Committee and now awaiting sign off by Legal before the project can progress. Project expected to complete in 2022 with retentions due in 2023. At this time is anticipated that only £0.150m of the allocated budget for the current year will be spent with the remaining budget required to be rephased to 2020/21.						
	Mitigating Action						
	Once consultants report has been delivered, further survey works will be procured. Revised report with updated methodology will give results to topographic survey and will determine next course of action.						
	Anticipated Outcome						
	Project delivered within budget although later than anticipated.						
11	**A813 Road Improvement Phase 1**						
	Project Life Financials	2,325	739	32%	2,325	0	0%
	Current Year Financials	836	25	3%	300	(536)	-64%
	Project Description	A813 Road Improvement Phase 1.					
	Project Lifecycle	Planned End Date		31-Mar-21	Forecast End Date		31-Mar-21
	Main Issues / Reason for Variance						
	Further works have been identified as part of a project have commenced November 2019. Project works will include resurfacing Dumbarton to Lions Gate, and consultancy and potential local land purchase which is likely to occur 2020/21. At this time it is anticipated that an element of these works will be required to be rephased to 2020/21 due to works which were being carried out by Scottish Power and are now complete. This project will also be effected by the closure of Lomond Bridge therefore at this time it anticipated that only £0.300m of the budget will be spent in 2019/20 with £0.536m required to be rephased to 2020/21.						
	Mitigating Action						
	None required at this time.						
	Anticipated Outcome						
	To provide an improved A813.						

WEST DUNBARTONSHIRE COUNCIL **APPENDIX 6**
GENERAL SERVICES CAPITAL PROGRAMME
ANALYSIS OF PROJECTS AT RED ALERT STATUS

MONTH END DATE **31 December 2019**

PERIOD **9**

	Budget Details	Project Life Financials					
		Budget	Spend to Date		Forecast Spend	Variance	
		£000	£000	%	£000	£000	%
12	**New Sports Changing Facility at Lusset Glen in Old Kilpatrick**						
	Project Life Financials	150	0	0%	150	0	0%
	Current Year Financials	150	0	0%	0	(150)	-100%
	Project Description	New Sports Changing Facility at Lusset Glen in Old Kilpatrick					
	Project Lifecycle	Planned End Date		31-Mar-20	Forecast End Date		31-Mar-21
	Main Issues / Reason for Variance						
	Project has been delayed due to works being carried out at the site by Scottish Gas Networks. Planning permission has been submitted and start date of construction works is yet to be confirmed. It is therefore anticipated at this time that works will not be carried out until next financial year, therefore £0.150m is required to be rephased to 2020/21.						
	Mitigating Action						
	Continue to liaise with Planning to take the project forward and prevent further delay.						
	Anticipated Outcome						
	To deliver new sports changing facility.						
13	**Mandatory 20mph Residential communities**						
	Project Life Financials	500	11	2%	500	0	0%
	Current Year Financials	230	6	3%	6	(224)	-97%
	Project Description	Mandatory 20mph Residential communities.					
	Project Lifecycle	Planned End Date		31-Mar-21	Forecast End Date		31-Mar-21
	Main Issues / Reason for Variance						
	The Scottish Government are currently reviewing 20mph legislation and officers are currently awaiting clarity from them before works can resume. This review is taking longer than anticipated, with still no formal outcome from Scottish Government on how to proceed.						
	Mitigating Action						
	None available at this time as timing of review is out with Council control.						
	Anticipated Outcome						
	Project to be delivered within budget albeit later than first anticipated.						
14	**Office Rationalisation**						
	Project Life Financials	21,962	21,938	100%	22,089	127	1%
	Current Year Financials	490	466	95%	601	111	23%
	Project Description	Delivery of office rationalisation programme.					
	Project Lifecycle	Planned End Date		31-Mar-20	Forecast End Date		31-Dec-19
	Main Issues / Reason for Variance						
	New Dumbarton Office has been opened to staff from 21 May 2018. On completion of final defects, the final account and retention settlement of £0.250m was paid October 2019, thereafter the remaining HES grant of £0.50m will be awarded. Contractor was awarded following the December 2018 Tender Committee to carry out the demolition for Garshake. Demolition commenced 25 February with a 36 week programme, contractor has requested an extension of time and works will now not be complete until 24 January 2020. Retention for demolition works will be paid in financial year 2020/21. The project is currently forecasting an overspend of approx. £0.127m. The current overall project overspend is due to additional costs associated with the clearance of Garshake, unforeseen internal recharges, variations to project delivery, and asbestos removal.						
	Mitigating Action						
	None available.						
	Anticipated Outcome						
	Project delivered at a higher cost than budgeted.						

WEST DUNBARTONSHIRE COUNCIL **APPENDIX 6**
GENERAL SERVICES CAPITAL PROGRAMME
ANALYSIS OF PROJECTS AT RED ALERT STATUS

MONTH END DATE **31 December 2019**

PERIOD **9**

	Budget Details	Project Life Financials					
		Budget	Spend to Date		Forecast Spend	Variance	
		£000	£000	%	£000	£000	%
15	**Depot Rationalisation**						
	Project Life Financials	8,535	84	1%	8,535	0	0%
	Current Year Financials	1,084	33	3%	75	(1,009)	-93%
	Project Description	Depot Rationalisation.					
	Project Lifecycle	Planned End Date		31-Mar-23	Forecast End Date		31-Mar-23

Main Issues / Reason for Variance

A revised business case is in the process of being developed. Keppie Design have been appointed as the approved contractor and Project Boards have been set up. Stage 2 development of ideas is complete, however awaiting commercial status of potential vacant site. Managers and staff workshops are underway and briefing on developments will be reported at a later Project Board. In light of recent discussions around requirement for potential waste management station and change in service delivery for Greenspace, Roads and Waste & Transport services, the budget allocation of £1.084m will not now be fully committed by March 2020. Forecast spend in 2019/20 is £0.060m which will be allocated against cost modelling, site investigations, undertaking condition surveys, and therefore £1.009m is required to be rephased once a clearer timeline is understood.

Mitigating Action

None available at this time.

Anticipated Outcome

Project business case will be brought back to project board and Council when the implication of shared services is known.

16	**Leisure Energy projects - air handling units, upgrade lighting, circulating pumps, and draught proofing**						
	Project Life Financials	290	62	21%	290	0	0%
	Current Year Financials	231	3	1%	6	(225)	-97%
	Project Description	Measures to be installed at both Meadow Centre & Vale of Leven Swimming Pool; new pool hall Air Handling Units, upgrade lighting, circulating pumps Vale of Leven Swimming Pool, internal and external lighting and draught proofing.					
	Project Lifecycle	Planned End Date		31-Mar-20	Forecast End Date		31-Mar-21

Main Issues / Reason for Variance

Works to be completed relate to Air Handling Unit (AHU) upgrades at Meadow Centre. It was decided that installing an air handling unit outside would minimise disruption to customers, staff and business. Structural engineers were required to carry out soil samples to confirm the ground was suitable to build an external base for the unit however the positive result took a considerable amount of time to return. More recent delays relate to the design and details of the new foundation slab and requires to be re-configured due to the discovery of the unusually large projection of the foundation from the face of the main building. This altered the concept of the design of the proposed foundations so further works were required before it could go to tender. Tenders for the structure have now been evaluated and planning permission has been requested but not yet granted so appears unlikely that this element of the project will complete in 2019/20 and is required to be rephased to 2020/21. At this time forecast spend in 2019/20 is £0.06m with £0.225m required to be rephased to 2020/21.

Mitigating Action

None available at this time.

Anticipated Outcome

To install air handling units, upgrade lighting, circulating pumps and draught proofing to improve energy efficiency in leisure centres. Project expected to deliver within budget albeit later than first anticipated.

WEST DUNBARTONSHIRE COUNCIL **APPENDIX 6**
GENERAL SERVICES CAPITAL PROGRAMME
ANALYSIS OF PROJECTS AT RED ALERT STATUS

MONTH END DATE **31 December 2019**

PERIOD **9**

	Budget Details	Project Life Financials					
		Budget	Spend to Date		Forecast Spend	Variance	
		£000	£000	%	£000	£000	%
17	**Queens Quay District Heating Network**						
	Project Life Financials	19,500	18,221	93%	19,500	0	0%
	Current Year Financials	6,726	5,900	88%	6,726	0	0%
	Project Description	Queens Quay District Heating Network.					
	Project Lifecycle	Planned End Date		31-Mar-21	Forecast End Date		31-Jul-20

Main Issues / Reason for Variance

An additional £3m of capital funding approved by Members at 2018 June Council meeting has been phased into 2019/20 and tender negotiations have been finalised and Letters of Intent have been issued. The principle pipe network is complete. The energy centre shell has been handed over to WDC with external works due to complete February 2020. The internal fit out has commenced with a projected completion of August 2020. The £6m grant funding has been fully spent. Additional spend of £4.4m has now been approved at a Special Council meeting on 29 October 2019.

Mitigating Action

Opportunities to mitigate are limited.

Anticipated Outcome

Project will be delivered over original budget.

18	**Regeneration Fund**						
	Project Life Financials	15,082	4,262	28%	15,082	0	0%
	Current Year Financials	4,860	4,157	86%	4,050	(810)	-17%
	Project Description	Funding to implement major regeneration projects linked to community charrettes. (Created through underspend from Education).					
	Project Lifecycle	Planned End Date		31-Mar-24	Forecast End Date		31-Mar-24

Main Issues / Reason for Variance

Bowling Harbour development is on hold pending outcome of North Clyde Riverbank masterplan. Connecting Clydebank had been delayed due to issues that are now resolved with planning permission and funding - a contractor has been secured and the project will be underway during 2020. Although foreshore clearance work has now been completed at Dumbarton Waterfront accounting for spend on this budget, the overall Waterfront Pathway project is longer-term than first anticipated due to delays by the landowners. Balloch Village Square project was completed, and the remainder of the Balloch Charrette budget will be used to fund the Station Square project which is being scoped and developed during 2020. A special Council on 29 October 2019 sought and secured approval of an additional £2.609m for District Heating Network commercial costs from the existing Regeneration budget, accelerating budget from future years. This increase brings the District Heating budget from Regeneration Fund to £3.509m (£0.9m previously approved). As a result of the works required to be rephased, £0.810m of budget is required to be rephased to 2020/21.

Mitigating Action

Project complexity and the need to programme some works to avoid busy tourism periods and reliance on third parties means that mitigation is challenging.

Anticipated Outcome

Progress towards delivery of planned projects from Economic Development Strategy and Charrette Action Plans albeit later than originally anticipated. Expenditure on District Heating will be completed in Period 9.

WEST DUNBARTONSHIRE COUNCIL **APPENDIX 7**
GENERAL SERVICES CAPITAL PROGRAMME
ANALYSIS OF PROJECTS AT AMBER ALERT STATUS

MONTH END DATE **31 December 2019**

PERIOD **9**

	Budget Details	Project Life Financials					
		Budget	Spend to Date		Forecast Spend	Variance	
		£000	£000	%	£000	£000	%
1	**Making Tax Digital**						
	Project Life Financials	40	0	0%	40	0	0%
	Current Year Financials	40	0	0%	0	(40)	-100%
	Project Description	Making Tax Digital.					
	Project Lifecycle	Planned End Date		31-Mar-20	Forecast End Date		31-Mar-21
	Main Issues / Reason for Variance						
	No spend is now expected this financial year. Makiing Tax Digital deliverables/ guidance have changed since this capital bid was submitted. Officers need to reassess WDC plans for Making Tax Digital to ensure that the Council remain compliant, therefore £0.040m is therefore required to be rehapsed to 2020/21.						
	Mitigating Action						
	None required.						
	Anticipated Outcome						
	Making Tax Digital.						
2	**Telephone System Upgrade**						
	Project Life Financials	15	0	0%	15	0	0%
	Current Year Financials	15	0	0%	0	(15)	-100%
	Project Description	To improve Housing Repairs telephone platform for incoming calls, providing improved					
	Project Lifecycle	Planned End Date		31-Mar-20	Forecast End Date		31-Mar-21
	Main Issues / Reason for Variance						
	A successful upgrade of Repairs telephony was completed without requirement to commit this capital funding. ICT and Citizen Services will enhance the user journey further by delivering an improvement to the Out of Hours Emergency telephone lines and explore the installation of “time to wait” technology. This technology will inform citizens at the start of their call the expected wait time to speak with a member of staff. These upgrades will be delivered in 2020/21, therefore £0.015m is required to be rephased to next financial year.						
	Mitigating Action						
	None required at this time.						
	Anticipated Outcome						
	To upgrade telephone system.						
3	**Allotment Development**						
	Project Life Financials	400	0	0%	400	0	0%
	Current Year Financials	400	0	0%	20	(380)	-95%
	Project Description	To develop an allotment site.					
	Project Lifecycle	Planned End Date		31-Mar-20	Forecast End Date		30-Sep-20
	Main Issues / Reason for Variance						
	Initial meetings of the project team have successfully taken place and services are currently being procured to undertake detailed soil investigations and topographic surveys in preparation for tender development in line with SEPA requirements. This is taking longer than expected so at this time it is unlikely this project will be completed this year as anticipated. Forecast spend for the year has been reduced to £0.020m with £0.380m required to be rephased to 2020/21.						
	Mitigating Action						
	None available at this time.						
	Anticipated Outcome						
	Development of allotments to take pressure off current 10 year waiting list.						

WEST DUNBARTONSHIRE COUNCIL **APPENDIX 7**
GENERAL SERVICES CAPITAL PROGRAMME
ANALYSIS OF PROJECTS AT AMBER ALERT STATUS

MONTH END DATE **31 December 2019**

PERIOD **9**

	Budget Details	Project Life Financials					
		Budget	Spend to Date		Forecast Spend	Variance	
		£000	£000	%	£000	£000	%
4	**Clydebank Community Sports Hub**						
	Project Life Financials	3,865	3,813	99%	3,905	40	1%
	Current Year Financials	52	0	0%	92	40	76%
	Project Description	Creation of a community and sport hub.					
	Project Lifecycle	Planned End Date		31-Mar-20	Forecast End Date		30-Nov-19

Main Issues / Reason for Variance

The forecast outturn is an overspend in the region of £0.040m. The reason for the forecast overspend relates to site de-watering costs incurred during the construction that were not originally captured within the scope of the project and contract sum. Officers continue to work with contractor to agree the Final Account and forecast spend in 2019/20 relates to the final retention release and pitch maintenance payments.

Mitigating Action

WDC is contractually obliged to pay the projected overspend. Officers continue to meet with the project Quantity Surveyor, Gardiner & Theobald and contractor CBC, Central Building Contractors (Glasgow) Ltd. regularly, with a view to agreeing the final account at the earliest opportunity.

Anticipated Outcome

New facility has been operational since October 2018 and final retention due to be released this financial year when all defects have been rectified. Project reporting a forecasted overspend.

5	**Sports Facilities Upgrades**						
	Project Life Financials	182	10	6%	182	0	0%
	Current Year Financials	180	8	5%	60	(120)	-67%
	Project Description	Project is part of wider investment in sporting facilities and is dependent on match funding					
	Project Lifecycle	Planned End Date		31-Mar-20	Forecast End Date		31-May-20

Main Issues / Reason for Variance

The budget has been allocated for construction of 3 All weather tennis courts at Argyll Park. This project was estimated to cost £0.165m and it was hopeful that with application to The Lawn Tennis Association, match funding would be received, however following discussions with The Lawn Tennis Association in April 2018 they have only offered 25% funding. Match funding of 25% has also been provided by Sports Scotland. The project has now been tendered, and despite a value engineering exercise the project cost is excepted to total £0.020m over allocated budget. As a result Sports Scotland have agreed to increase their funding by £0.020m to allow the project to progress. The contract is expected to be awarded after the tender committee on 21 January with an anticipated start date of mid February with completion end of May 2020. £0.060m is forecast to be spent before the end of this financial year with £0.120m required to be rephased to 2020/21 for completion of the project.

Mitigating Action

None available at this time.

Anticipated Outcome

To deliver project within budget albeit later than first anticipated.

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MONTH END DATE **31 December 2019**

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	Budget Details	Project Life Financials					
		Budget	Spend to Date		Forecast Spend	Variance	
		£000	£000	%	£000	£000	%
6	**Vale of Leven Cemetery Extension**						
	Project Life Financials	650	165	25%	650	0	0%
	Current Year Financials	485	0	0%	40	(445)	-92%
	Project Description	Extension of existing cemetery in Vale of Leven.					
	Project Lifecycle	Planned End Date		31-Mar-20	Forecast End Date		31-Dec-20

Main Issues / Reason for Variance

Difficulties in purchasing the preferred site resulted in early delays to this project. Site investigation works on the preferred site are now complete and the land was deemed suitable in October 2018. Negotiations are now complete and approval granted at February 2019 IRED committee to purchase land. Legal are now in the process of working through a legal issue that has surfaced to conclude the purchase. Once purchase is complete the project will go to tender. It is anticipated that only professional fees of £0.040m will be incurred this financial year with £0.445m required to be rephased to 2020/21.

Mitigating Action

Opportunities to mitigate are limited due to ongoing discussions with regards to purchase of land.

Anticipated Outcome

A suitable site has been identified and is in the process of being purchased to provide a sustainable burial environment, the project will be delivered on budget albeit later than originally planned.

7	**New Sports Changing Facility Dumbarton West (Old OLSP site)**						
	Project Life Financials	350	3	1%	350	0	0%
	Current Year Financials	333	3	0%	3	(330)	0%
	Project Description	New Sports Changing Facility Dumbarton West (Old OLSP site).					
	Project Lifecycle	Planned End Date		31-Mar-21	Forecast End Date		31-Mar-21

Main Issues / Reason for Variance

Demolition and site investigation works are complete. Planning permission has been submitted and start date of construction works to be confirmed, it is therefore unlikely that works will be complete this financial year. As a result £330k is required to be rephased into 2020/21.

Mitigating Action

Continue to liaise with Planning to take the project forward and minimise delay.

Anticipated Outcome

To deliver new sports changing facility.

8	**Free School Meals**						
	Project Life Financials	199	73	36%	199	0	0%
	Current Year Financials	131	4	3%	31	(100)	-76%
	Project Description	Provision of Capital Funding from Scottish Government to implement free school meal					
	Project Lifecycle	Planned End Date		31-Mar-20	Forecast End Date		30-Apr-20

Main Issues / Reason for Variance

Programme of works approved and planned to commence March 2020, however it is expected that an element of the works will be required to be carried out during Easter recess, in April 2020 due to the nature of the works.

Mitigating Action

Opportunities to mitigate are limited due to need for work to be carried out around school holidays and building services resources therefore engagement with subcontractor has now taken place to minimise further delay.

Anticipated Outcome

Project completed within budget albeit later than anticipated.

WEST DUNBARTONSHIRE COUNCIL **APPENDIX 7**
GENERAL SERVICES CAPITAL PROGRAMME
ANALYSIS OF PROJECTS AT AMBER ALERT STATUS

MONTH END DATE **31 December 2019**

PERIOD **9**

	Budget Details	Project Life Financials					
		Budget	Spend to Date		Forecast Spend	Variance	
		£000	£000	%	£000	£000	%
9	**Flood Risk Management**						
	Project Life Financials	781	155	20%	781	0	0%
	Current Year Financials	781	155	20%	500	(281)	-36%
	Project Description	Enhancement of drainage infrastructure to ensure compliance with Flood Risk Management					
	Project Lifecycle	Planned End Date		31-Mar-20	Forecast End Date		31-Mar-21
	Main Issues / Reason for Variance						
	Contractor has been engaged to take on flood risk management. Flood modelling of River Leven and other projects are under way along with works to improve water courses Balloch and Dumbarton. These will continue throughout 2019/20, however at this time it is expected that only £0.500m of the current year budget will be spent in 2019/20, with £0.281m required to be rephased to 2020/21.						
	Mitigating Action						
	Ability to mitigate is limited due to time lost due to SEPA restrictions and delay to contractual arrangements.						
	Anticipated Outcome						
	Project completed within budget albeit later than anticipated.						
10	**A811 Lomond Bridge**						
	Project Life Financials	3,900	57	1%	3,900	0	0%
	Current Year Financials	3,653	41	1%	1,853	(1,800)	-49%
	Project Description	Upgrade of Lomond Bridge.					
	Project Lifecycle	Planned End Date		31-Mar-21	Forecast End Date		30-Jun-19
	Main Issues / Reason for Variance						
	Project contractor was approved at August 2019 Tendering Committee and physical works were expected to commence October 2019 but this has since been revised to November 2019, due to time taken with planning consents. These works have now commenced however as a result of the delay the estimated completion has been revised to June 2020. £1.8m is therefore required to be rephased to 2020/21.						
	Mitigating Action						
	None Required at this time.						
	Anticipated Outcome						
	Upgrade of Lomond Bridge.						
11	**Oil to Gas Conversion**						
	Project Life Financials	187	18	10%	187	0	0%
	Current Year Financials	187	18	10%	19	(168)	-90%
	Project Description	Oil to Gas Conversion in council buildings.					
	Project Lifecycle	Planned End Date		31-Mar-20	Forecast End Date		30-Apr-20
	Main Issues / Reason for Variance						
	Remaining Carleith main boiler house replacement works to be rephased to 2020/21 with works to be carried out School April 2020 recess, dependent on approval from Tender committee February. Tenders have been received with financial analysis and quality assessment complete. Meeting was held with Procurement 8 January to finalise. Braehead Primary boiler plant design complete and next stage is to commence procurement strategy.						
	Mitigating Action						
	None available at this time due.						
	Anticipated Outcome						
	Project will be delivered later than anticipated.						

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GENERAL SERVICES CAPITAL PROGRAMME
ANALYSIS OF PROJECTS AT AMBER ALERT STATUS

MONTH END DATE **31 December 2019**

PERIOD **9**

	Budget Details	Project Life Financials					
		Budget	Spend to Date		Forecast Spend	Variance	
		£000	£000	%	£000	£000	%
12	**Solar Panel Installation**						
	Project Life Financials	135	0	0%	135	0	0%
	Current Year Financials	135	0	0%	20	(115)	-85%
	Project Description	Installation of Solar Panels on Council buildings.					
	Project Lifecycle	Planned End Date		31-Mar-20	Forecast End Date		30-Apr-20
	Main Issues / Reason for Variance						
	Original project no longer viable. St Peter the Apostle is now being considered for PV system instead. At present a feasibility study is being worked up by BAM FM. If the financials stack up then this project will progress.						
	Mitigating Action						
	Should St Peter the Apostle not be feasible other projects will look to be brought forward.						
	Anticipated Outcome						
	Project will be delivered later than anticipated.						
13	**Regeneration/Local Economic Development**						
	Project Life Financials	2,642	703	27%	2,642	0	0%
	Current Year Financials	2,642	703	27%	2,408	(233)	-9%
	Project Description	Budget to facilitate the delivery of Regeneration throughout West Dunbartonshire , aligned to the Economic Strategy 2015-20. External funding will be sought to maximise opportunities for redevelopment of these sites.					
	Project Lifecycle	Planned End Date		31-Mar-20	Forecast End Date		31-Mar-21
	Main Issues / Reason for Variance						
	The spend profile accounts for a range of Economic Development projects underway this financial year including the biodiversity park in St Eunan's, there has been a requirement for increased expenditure on the site of £0.033m and more recent additional costs associated with the northern boundary fence which requires unforeseen works due to its poor structural condition that equate to approximately £0.190m. The development for the Dumbarton Waterfront Path continues, project management of Queens Quay development, design development for the Town Centre Fund projects which is well advanced, Alexandria Masterplan and North Clyde Riverbank (Bowling) infrastructure planning, final payments for the Balloch improvement projects and public realm improvements in Alexandria. The delay by the Mitchell Way, Alexandria, developer has meant that the funds allocated to the relocation of the gas pipe to prepare the currently-Council owned site for development will slip into next financial year.						
	Mitigating Action						
	Ongoing discussions with the Lidl developer to determine timescale for development, which impacts the Mitchell Way, Alexandria progression.						
	Anticipated Outcome						
	Significant progress with transformational projects including Dumbarton Waterfront, strategic disposal sites, Alexandria town centre and further progress with implementing Charrette Action Plans.						

WEST DUNBARTONSHIRE COUNCIL **APPENDIX 7**
GENERAL SERVICES CAPITAL PROGRAMME
ANALYSIS OF PROJECTS AT AMBER ALERT STATUS

MONTH END DATE **31 December 2019**

PERIOD **9**

	Budget Details	Project Life Financials					
		Budget	Spend to Date		Forecast Spend	Variance	
		£000	£000	%	£000	£000	%
14	**Exxon City Deal**						
	Project Life Financials	34,050	1,427	4%	34,050	0	0%
	Current Year Financials	1,096	110	10%	400	(696)	-63%
	Project Description	As part of the City Deal project the WDC Exxon site at Bowling regeneration with alternative A82 route included.					
	Project Lifecycle	Planned End Date		31-Mar-25	Forecast End Date		31-Mar-25

Main Issues / Reason for Variance

Regular updates are provided at every Council meeting, with City Deal papers presented at each meeting. The main issues contained within the new Council's approved Outline Business Case are still valid, which include Exxon's remediation strategy, land transfer arrangements and issues relating to adjoining owners. Until Exxon remediation strategy is approved and land transfer arrangements are resolved, only limited work can be undertaken (e.g. EIA) As the remediation strategy is being led by Exxon, at this time it is difficult to determine when it will be approved. Exxon is still in discussions with SEPA and WDC-Environmental Health to agree several items before it can be approved, however the timescales of this are outwith Council control. Prior to a commercial deal being concluded, approx. spend will be £400k this financial year.

Mitigating Action

Contained within Risk register monitored by Exxon Management Board. Technical reviews are being carried between our consultant PBA and Exxon consultants WSP to assess the proposed remediation strategy and to review historical data and reports. WDC Officers are engaged with Exxon representatives in order to assess any programme implications. Exxon is progressing with discussions with the planning department and SEPA as well as providing any technical information required.

Anticipated Outcome
Delivery of the project on time and within the increased budget.

15	**Town Centre Fund**						
	Project Life Financials	859	0	0%	859	0	0%
	Current Year Financials	859	0	0%	30	(829)	-97%
	Project Description	Scottish Government funding to help improve local town centres.					
	Project Lifecycle	Planned End Date		31-Mar-20	Forecast End Date		31-Mar-21

Main Issues / Reason for Variance

Four projects were approved at August 2019 IRED committee, three Regeneration team-led, one Asset-led. All projects are on track to be legally committed this financial year which will secure funding. However no significant actual spend is anticipated until 2020/21, when physical progression expected during spring and summer 2020.

Mitigating Action
None required.
Anticipated Outcome
To provide improved town centres in West Dunbartonshire.

WEST DUNBARTONSHIRE COUNCIL **APPENDIX 8**
GENERAL SERVICES CAPITAL PROGRAMME
ANALYSIS OF PROJECTS AT GREEN ALERT STATUS

MONTH END DATE **31 December 2019**

PERIOD **9**

	Budget Details	Project Life Financials					
		Budget	Spend to Date		Forecast Spend	Variance	
		£000	£000	%	£000	£000	%
1	**Electronic Insurance System - claim/incident management system**						
	Project Life Financials	50	43	86%	50	0	0%
	Current Year Financials	7	0	0%	7	0	0%
	Project Description	Acquisition of a claims/incident management system supported by an electronic document management system.					
	Project Lifecycle	Planned End Date		31-Mar-20	Forecast End Date		31-Mar-20
	Main Issues / Reason for Variance						
	Works are ongoing and anticpated to be complete this financial year with full budget spend.						
	Mitigating Action						
	None available at this time.						
	Anticipated Outcome						
	Upgraded Electronic Insurance System delivered on budget.						
2	**Valuation Joint Board - Requisition of ICT Equipment**						
	Project Life Financials	3	0	0%	3	0	0%
	Current Year Financials	3	0	0%	3	0	0%
	Project Description	Requisition re ICT Equipment.					
	Project Lifecycle	Planned End Date		31-Mar-20	Forecast End Date		31-Mar-20
	Main Issues / Reason for Variance						
	The purchase of laptops and PCs were delayed into 2019/20 due to issues with the approved supplier. Full spend anticipated in 2019/20.						
	Mitigating Action						
	None available at this time.						
	Anticipated Outcome						
	Requisition re ICT Equipment.						
3	**Replacement GIS system and upgrade to eDevelopment Planning system.**						
	Project Life Financials	51	25	50%	51	0	0%
	Current Year Financials	51	25	50%	51	0	0%
	Project Description	Replacement GIS system and upgrade to eDevelopment Planning system.					
	Project Lifecycle	Planned End Date		31-Mar-20	Forecast End Date		31-Mar-20
	Main Issues / Reason for Variance						
	Project is progressing with the software company on site carrying out installation, data migration and testing of the application. Project expected to be fully delivered in 2019/20.						
	Mitigating Action						
	None Required.						
	Anticipated Outcome						
	GP/GIS in Planning.						
4	**Legal Case Management System**						
	Project Life Financials	33	0	0%	33	0	0%
	Current Year Financials	33	0	0%	33	0	0%
	Project Description	Legal Case Management System.					
	Project Lifecycle	Planned End Date		31-Mar-20	Forecast End Date		31-Mar-20
	Main Issues / Reason for Variance						
	Tenders have been returned and are currently being evaluated and full spend in 2019/20 is anticipated at this time.						
	Mitigating Action						
	None required at this time.						
	Anticipated Outcome						
	New legal case management system.						

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		Budget	Spend to Date		Forecast Spend	Variance	
		£000	£000	%	£000	£000	%
5	**Air Quality Monitoring**						
	Project Life Financials	30	29	98%	29	(1)	-2%
	Current Year Financials	2	1	63%	1	(1)	-37%
	Project Description	Air Quality Monitoring.					
	Project Lifecycle	Planned End Date		31-Mar-20	Forecast End Date		31-Mar-19
	Main Issues / Reason for Variance						
	Project complete.						
	Mitigating Action						
	None required at this time.						
	Anticipated Outcome						
	Upgrade to air quality monitoring.						
6	**ICT Modernisation**						
	Project Life Financials	1,101	671	61%	1,101	0	0%
	Current Year Financials	1,101	671	61%	1,101	0	0%
	Project Description	This budget is to facilitate ICT infrastructure and modernise working practices.					
	Project Lifecycle	Planned End Date		31-Mar-20	Forecast End Date		31-Mar-20
	Main Issues / Reason for Variance						
	This project will deliver a fit for purpose device estate across WDC as well as technology improvements for device and ICT Service management. This will include automating device management, license management and enhancing current processes through automation as well as the resources to deliver these projects. This budget is expected to be fully spent in 2019/20.						
	Mitigating Action						
	The ability to mitigate is limited as retentions held until resilience test is successfully completed.						
	Anticipated Outcome						
	To update ICT systems within budget albeit later than first anticipated.						
7	**ICT Security & DR**						
	Project Life Financials	450	212	47%	450	0	0%
	Current Year Financials	450	212	47%	450	0	0%
	Project Description	The project is for the enhancement of security systems, server replacement and the update of corporate applications to ensure compliance with 15/16 PSN requirements, to enhance the Disaster recovery capabilities of WDC.					
	Project Lifecycle	Planned End Date		31-Mar-20	Forecast End Date		31-Mar-20
	Main Issues / Reason for Variance						
	This project is for the enhancement of security systems, processes and associated resourcing to deliver the improvements. Replacing and improving technologies such as servers, switches and telephony are included within this work programme as well as additional technologies to enhance current resilience capabilities. The target is to ensure compliance with Public Services Network (PSN).						
	Mitigating Action						
	None Required.						
	Anticipated Outcome						
	To deliver enhanced security systems.						

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		Budget	Spend to Date		Forecast Spend	Variance	
		£000	£000	%	£000	£000	%
8	**AV Equipment - Education**						
	Project Life Financials	150	0	0%	150	0	0%
	Current Year Financials	150	0	0%	150	0	0%
	Project Description	AV Equipment - Education					
	Project Lifecycle	Planned End Date		31-Mar-20	Forecast End Date		31-Mar-20
	Main Issues / Reason for Variance						
	Plans for budget are progressing and is currently at procurement stage. Full spend is anticipated in 2019/20.						
	Mitigating Action						
	None required.						
	Anticipated Outcome						
	Purchase of AV Equipment for Education.						
9	**Multi-Channel Queries -Webchat Technology**						
	Project Life Financials	33	16	48%	16	(17)	-52%
	Current Year Financials	0	(17)	0%	(17)	(17)	0%
	Project Description	Introduction of Automated Switchboard Technology.					
	Project Lifecycle	Planned End Date		31-Mar-19	Forecast End Date		31-Mar-20
	Main Issues / Reason for Variance						
	The Interactive Voice Response (IVR) element of the project had significant technical problems matching postcodes for addresses to our systems to allow identifcation of the property. As a result it has been agreed with Firmstep and WDC for a full refund on the IVR element of the project. No alternatives are available at this time so the project will report a project life underspend of £0.017m.						
	Mitigating Action						
	No further action required.						
	Anticipated Outcome						
	Upgraded System provided within budget albeit later than originally anticipated.						
10	**Civic Heart Works - Refurbishment of Clydebank Town Hall**						
	Project Life Financials	3,341	3,317	99%	3,341	0	0%
	Current Year Financials	24	0	0%	24	0	0%
	Project Description	Refurbishment of Clydebank Town Hall.					
	Project Lifecycle	Planned End Date		31-Mar-20	Forecast End Date		31-Mar-20
	Main Issues / Reason for Variance						
	The remaining Civic Heart Works will be used to fund a kitchen upgrade to allow full utilisation of the asset for more efficient service provision especially around larger events and the provision of in-house bars. Asset Management are working with the service to deliver this financial year (2019/20) with physical works expected to begin at the end of January and last 8-10 weeks.						
	Mitigating Action						
	None required at this time.						
	Anticipated Outcome						
	Project to be delivered on budget and within revised timescale.						

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		Budget	Spend to Date		Forecast Spend	Variance	
		£000	£000	%	£000	£000	%
11	**Upgrade of Clydebank Library**						
	Project Life Financials	500	499	100%	500	0	0%
	Current Year Financials	13	12	93%	13	0	0%
	Project Description	Refurbishment of the existing Clydebank Library to deliver a fit for purpose modern library designed around the needs of the customer. This will protect a historic landmark and the original Carnegie library whilst offering the potential to build upon the development of a 'cultural quarter' focused around Clydebank Town Hall.					
	Project Lifecycle	Planned End Date		31-Mar-20	Forecast End Date		31-Mar-20
	Main Issues / Reason for Variance						
	No issues to report.						
	Mitigating Action						
	None required at this time.						
	Anticipated Outcome						
	Full refurbishment of library delivered within budget and within amended timescales.						
12	**Transformation of Infrastructure Libraries and Museums**						
	Project Life Financials	421	18	4%	421	0	0%
	Current Year Financials	416	13	3%	416	0	0%
	Project Description	To improve performance and efficiency of Council's Libraries and Cultural Services.					
	Project Lifecycle	Planned End Date		31-Mar-20	Forecast End Date		31-Mar-20
	Main Issues / Reason for Variance						
	The capital project to transform the infrastructure of Libraries and Museums slipped from 2018/9 due to unplanned absences in the senior management team, and competing priorities within other Council teams supporting the project. Installation of self-service issue equipment across the Library network has now taken place. Regarding the larger spend of replacement shelving and staff desks, the new Library Team Leader is currently working with Procurement to progress a preferred methodology to ensure maximum lasting impact of this investment. For Alexandria and Clydebank Libraries, work will be undertaken in tandem with the major improvements being progressed during 2019/20.						
	Mitigating Action						
	None available at this time.						
	Anticipated Outcome						
	Project will be delivered although will be later than originally planned.						
13	**Trading Standards Scam Prevention**						
	Project Life Financials	10	7	68%	10	0	0%
	Current Year Financials	4	1	14%	4	0	0%
	Project Description	Call blocking devices to be fitted to the phones of WDC's most vulnerbale residents which will block unknown numbers from connecting and limiting incoming calls to only known and trusted numbers, for vulnerable consumers who may be susceptible to hard selling techniques, scams and other frauds.					
	Project Lifecycle	Planned End Date		31-Mar-19	Forecast End Date		31-Mar-20
	Main Issues / Reason for Variance						
	The project is continuing with a steady stream of installations being carried out for vulnerable residents as referrals are received from carers and the various care partners who have been made aware of this Trading Standards initiative. Full budget spend is anticipated in 2019/20.						
	Mitigating Action						
	None required at this time.						
	Anticipated Outcome						
	To protect WDC's most vulnerable residents from phone calls from which they may fall victim of hard selling techniques, scams and other frauds.						

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	Budget	Spend to Date		Forecast Spend	Variance	
	£000	£000	%	£000	£000	%
14 Supporting the mobilisation of environmental health and trading standards officers						
Project Life Financials	25	24	95%	24	(1)	-5%
Current Year Financials	1	0	0%	0	(1)	-100%
Project Description	New IT equipment to enable environmental health and trading standards officers to work more efficiently.					
Project Lifecycle	Planned End Date		31-Mar-20	Forecast End Date		31-Mar-20
Main Issues / Reason for Variance						
Project complete.						
Mitigating Action						
None required.						
Anticipated Outcome						
To enable environmental health and trading standards officers to work more efficiently.						
15 Choices Programme - to assist young people who require additional support						
Project Life Financials	750	750	100%	750	0	0%
Current Year Financials	185	185	100%	185	0	0%
Project Description	Bringing together Central Support Services which will include relocation of Choices Programme.					
Project Lifecycle	Planned End Date		31-Mar-20	Forecast End Date		31-Aug-19
Main Issues / Reason for Variance						
Work was completed in August 2019 and school opened to pupils.						
Mitigating Action						
None required.						
Anticipated Outcome						
Project delivered.						
16 Aitkenbar PS, St Peters PS, Andrew Cameron EE&CC						
Project Life Financials	10,426	10,318	99%	10,426	0	0%
Current Year Financials	108	0	0%	108	0	0%
Project Description	Design and construction of new co-located school to replace 3 separate establishments.					
Project Lifecycle	Planned End Date		31-Mar-20	Actual End Date		31-Mar-20
Main Issues / Reason for Variance						
Officers will release the Making Good Defects certificate following the recent rectification of the final 2 defects and in turn the remaining 1.5% retention will be released. The project is still forecasting an underspend of £0.210m, which was recognised in 2018/19.						
Mitigating Action						
None required.						
Anticipated Outcome						
Delivery of project on programme and under budget. Underspend was removed from budget in 2019/20.						

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		£000	£000	%	£000	£000	%
17	**Schools Estate Improvement Plan**						
	Project Life Financials	20,000	1,575	8%	20,000	0	0%
	Current Year Financials	1,442	1,367	95%	1,442	0	0%
	Project Description	New Build Renton Campus and St Mary's & St Martin's refurbishment works.					
	Project Lifecycle	Planned End Date		31-Mar-24	Forecast End Date		31-Mar-22

Main Issues / Reason for Variance

At the Educational Services Committee on 06 June 2018, members approved appraisal which includes the new build Renton Primary School and the refurbishment of St Marys' Primary School in Alexandria. The new build of Renton PS includes the new language & communication unit, and Riverside ELCC new build. Renton Campus (new building) is due to be complete and operational by August 2021. The remainder of the works, including demolition of the existing Renton PS, construction of MUGA pitch and staff car park are due to be complete in April 2022. Project life forecast to end with release of the final retention April 2023. The professional services contract concluded as planned in December 2019 with Project Board approval to proceed and award the construction contract in accordance with tendering committee approval from December 2018. The pre-start meeting is scheduled for 20 January 2020 with construction site start due 3 February 2020. With regards to St Marys, works commenced October 2018 with completion of the internal upgrade anticipated to complete March 2020. Dinning hall extension and MUGA are being progressed and anticipated delivery will be during financial year 2020/21 for both of these projects. Due to the nature of some of the works, they cannot take place while the school is in operation so are required to be carried out during the school recess. At this time £4m remains unallocated until a revised school estate management plan is approved.

Mitigating Action
Project monitored regularly with site meetings and reported to Schools Estate Project Board.
Anticipated Outcome
Project delivered within budget and on time.

18	**Schools Estate Refurbishment Plan**						
	Project Life Financials	5,508	5,478	99%	5,508	0	0%
	Current Year Financials	22	(7)	-33%	22	0	0%
	Project Description	Completion of condition surveys has been carried out to identify works required to bring various schools from Condition C to Condition B.					
	Project Lifecycle	Planned End Date		31-Mar-20	Forecast End Date		31-Mar-20

Main Issues / Reason for Variance

All works complete at October 2019 and now awaiting final recharges.

Mitigating Action
None required as project now complete.
Anticipated Outcome
To improve the condition of schools within budget albeit later than first anticipated.

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		Budget	Spend to Date		Forecast Spend	Variance	
		£000	£000	%	£000	£000	%
19	**Early Years Early Learning and Childcare Funding**						
	Project Life Financials	8,562	2,647	31%	8,562	0	0%
	Current Year Financials	2,977	562	19%	2,977	0	0%
	Project Description	Early learning and childcare funding awarded to West Dunbartonshire Council to facilitate the expansion in entitlement to funded ELCC to 1140 hours from August 2020.					
	Project Lifecycle	Planned End Date	31-Mar-22		Forecast End Date	31-Mar-22	
	Main Issues / Reason for Variance						
	This budget relates to early learning and childcare funding awarded to West Dunbartonshire Council to facilitate the expansion in entitlement to funding ELCC to 1140 hours from August 2020. Implementation plan has been approved and works are now progressing. Andrew B Cameron, Braehead, Whitecrook, Levenvale and St Ronan's are all complete with Meadowview, Our Lady Of Loretto, Dalmonach and Ladyton all on site. Various works commenced on Legacy ELCC and are ongoing throughout the financial year. Works to upgrade kitchens to deal with capacity for 1140 implementation ongoing and will continue throughout the financial year.						
	Mitigating Action						
	None available at this time.						
	Anticipated Outcome						
	The project will be completed to deliver the requirements of the Early Years expansion plans.						
20	**New Levenvale Primary School All Weather Pitch**						
	Project Life Financials	263	254	97%	263	0	0%
	Current Year Financials	0	4	0%	13	13	0%
	Project Description	New Levenvale Primary School All Weather Pitch.					
	Project Lifecycle	Planned End Date	31-Mar-20		Forecast End Date	28-Feb-19	
	Main Issues / Reason for Variance						
	Works complete with retentions of £0.013m due in 2019/20.						
	Mitigating Action						
	None required.						
	Anticipated Outcome						
	Project delivered on budget but later than originally planned.						
21	**St. Patrick's PS New Play Upgrades**						
	Project Life Financials	200	209	105%	209	9	5%
	Current Year Financials	94	104	110%	104	9	10%
	Project Description	New MUGA for St. Patricks Primary School and playground improvements.					
	Project Lifecycle	Planned End Date	31-Mar-20		Forecast End Date	30-Jun-19	
	Main Issues / Reason for Variance						
	Works complete with retentions due 2020/21.						
	Mitigating Action						
	None required.						
	Anticipated Outcome						
	Project delivered.						

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		Budget	Spend to Date		Forecast Spend	Variance	
		£000	£000	%	£000	£000	%
22	**Community Capital Fund**						
	Project Life Financials	3,610	3,542	98%	3,610	0	0%
	Current Year Financials	568	500	88%	568	0	0%
	Project Description	Upgrade and improve recreational facilities throughout West Dunbartonshire.					
	Project Lifecycle	Planned End Date		31-Mar-20	Forecast End Date		31-Mar-20
	Main Issues / Reason for Variance						
	There was slippage from 2018/19 and this relates to works at Inler Park, Balloch Park Slipway, Castlehill MUGA, and Dillichip Park. The planned end date has been amended to reflect this. With regards to Inler Park, this project was completed in August 2019. Castlehill upgrade to MUGA is now complete as is Dillichip Park. The contracts for the four play parks at Levengrove Park, Balloch Park, Moss o Balloch and Central Alexandria have now been awarded and work will commence in February, with an anticipated completion date of 31st March 2020.						
	Mitigating Action						
	None required at this time.						
	Anticipated Outcome						
	Improved recreational facilities throughout WDC.						
23	**Community Sports Fund**						
	Project Life Financials	472	406	86%	472	0	0%
	Current Year Financials	92	26	28%	92	0	0%
	Project Description	Match funding of up to 75% for local sports clubs to develop business cases to improve facilities.					
	Project Lifecycle	Planned End Date		31-Mar-20	Forecast End Date		31-Mar-20
	Main Issues / Reason for Variance						
	2017/18 was the final year of a project to fund external/community sports groups which is now closed to new applications. Officers have evaluated submissions received within the final round of applications. Full spend has been committed, however the timing of spend is dependent on external groups proceeding with projects as planned.						
	Mitigating Action						
	Officers will continue to liaise with community groups to ensure progress is made with projects.						
	Anticipated Outcome						
	Improve sport facilities to a wide range of organisations WDC.						
24	**Holm Park & Yoker Athletic FC**						
	Project Life Financials	750	740	99%	750	0	0%
	Current Year Financials	314	304	97%	314	0	0%
	Project Description	Develop a new 3G pitch to act as a home venue for Clydebank FC with extensive community access.					
	Project Lifecycle	Planned End Date		31-Mar-20	Forecast End Date		31-Oct-19
	Main Issues / Reason for Variance						
	Works commenced on site December 2018 and project complete at October 2019 and awaiting final recharges. Retentions due 2020/21.						
	Mitigating Action						
	None required.						
	Anticipated Outcome						
	Project delivered on budget but later than expected.						

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		£000	£000	%	£000	£000	%
25	**Environmental Improvement Fund**						
	Project Life Financials	1,690	1,580	93%	1,690	0	0%
	Current Year Financials	614	503	82%	590	(24)	-4%
	Project Description	This fund has been created to deliver environmental improvement projects for communities throughout West Dunbartonshire.					
	Project Lifecycle	Planned End Date		31-Mar-20	Forecast End Date		31-Mar-20
	Main Issues / Reason for Variance						
	Delayed works at Mountblow are now complete with retentions of £0.024m required to be rephased to 2020/21. Officers continue to engage with community groups to take forward the remainder of the projects under the Environmental Improvement Fund budget.						
	Mitigating Action						
	None available at this time.						
	Anticipated Outcome						
	Mountblow 3G pitch completed within available budget albeit later than anticipated.						
26	**New Clydebank Leisure Centre**						
	Project Life Financials	23,758	23,755	100%	23,758	0	0%
	Current Year Financials	3	0	5%	3	0	0%
	Project Description	Provision of new leisure centre.					
	Project Lifecycle	Planned End Date		31-Mar-20	Actual End Date		31-Mar-17
	Main Issues / Reason for Variance						
	No issues to report, final minor expenditure to be incurred in 2019/20.						
	Mitigating Action						
	None required at this time.						
	Anticipated Outcome						
	Project delivered on time and under budget. Underspend removed from budget in 2018/19.						
27	**Dalmonach CE Centre**						
	Project Life Financials	1,150	1,075	93%	1,150	0	0%
	Current Year Financials	806	806	100%	806	0	0%
	Project Description	To create new community facilities with additional space for early years provisions.					
	Project Lifecycle	Planned End Date		31-Mar-21	Forecast End Date		29-Feb-20
	Main Issues / Reason for Variance						
	Dalmonach works on-site however contractor has experienced delays on site and requested an extension of time which will delay completion to February 2020.						
	Mitigating Action						
	None required at this time.						
	Anticipated Outcome						
	To create new community facilities with additional space for early years provisions.						
28	**Levengrove Park - Restoration & Regeneration**						
	Project Life Financials	3,639	3,581	98%	3,639	0	0%
	Current Year Financials	209	151	72%	209	0	0%
	Project Description	Restoration and Regeneration of Levengrove Park.					
	Project Lifecycle	Planned End Date		31-Mar-20	Forecast End Date		31-Jul-19
	Main Issues / Reason for Variance						
	Early delays due to timescales involved in securing external funding which impacted the procurement timescales. The planned end date was updated to reflect this. The project started August 2017 and the Pavilion is now complete and handed over. Minor works at the depot are now also complete.						
	Mitigating Action						
	None required.						
	Anticipated Outcome						
	Project delivered later than originally anticipated.						

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		£000	£000	%	£000	£000	%
29	**Public non-adopted paths and roads**						
	Project Life Financials	629	336	53%	629	0	0%
	Current Year Financials	548	255	46%	548	0	0%
	Project Description	Upgrades to drainage and lighting to enhance the lifespan of paths and roads within facilities in public parks, cemeteries and civic spaces.					
	Project Lifecycle	Planned End Date		31-Mar-20	Forecast End Date		31-Mar-20
	Main Issues / Reason for Variance						
	Numerous projects have been identified that will be carried out using a combination of in-house resource and the Minor Civils Framework. Works anticipated to start winter 2019 including projects at Milton Park, Chappleton Gardens and North Dalnottar Cemetery. Full spend is expected in 2019/20.						
	Mitigating Action						
	Works to be complete as soon as possible.						
	Anticipated Outcome						
	Upgraded footpaths.						
30	**Online Payment System for Education Establishments**						
	Project Life Financials	52	0	0%	52	0	0%
	Current Year Financials	52	0	0%	52	0	0%
	Project Description	Cashless Catering within Primary Schools.					
	Project Lifecycle	Planned End Date		31-Mar-20	Forecast End Date		31-Mar-20
	Main Issues / Reason for Variance						
	The Funding was allocated to roll out cashless catering in a number of primary schools which is being co-ordinated and led by Educational Services. The contract for the provision of online school payments was approved at the Tendering Committee on 15 November 2017. Due to the timescales for contract initiation it wasn't possible to make progress in 2017/18 resulting in the budget being rephased into 2018/19. Education have progressed with the pilot of their Parentpay system, however there appears to be some issues in relation to integrating the Parentpay system with the existing cashless modules provided by supplier CRB. Until such time as this has been resolved there will be no further spend on rollout of the CRB system. As a result the budget has been rephased from 2018/19.						
	Mitigating Action						
	None available at this time.						
	Anticipated Outcome						
	To provide payment system for schools within budget albeit later than first anticipated.						
31	**Auld Street Clydebank - Bond**						
	Project Life Financials	400	214	53%	400	0	0%
	Current Year Financials	188	2	1%	188	0	0%
	Project Description	Completion of roadworks associated with Auld Street housing development.					
	Project Lifecycle	Planned End Date		31-Mar-20	Forecast End Date		31-Jan-20
	Main Issues / Reason for Variance						
	The timing of further works have been dependent on the progress of the builder on site. Builder has now confirmed their completion and Council works commenced mid- November and expected to complete 31 January 2020.						
	Mitigating Action						
	None available at this time.						
	Anticipated Outcome						
	Works complete within budget albeit later than anticipated.						

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		Budget	Spend to Date		Forecast Spend	Variance	
		£000	£000	%	£000	£000	%
32	**Cycling, Walking and Safer Streets**						
	Project Life Financials	147	0	0%	147	0	0%
	Current Year Financials	147	0	0%	147	0	0%
	Project Description	Introduction of enhanced walking routes and traffic calming schemes to introduce safer streets within West Dunbartonshire.					
	Project Lifecycle	Planned End Date		31-Mar-20	Forecast End Date		31-Mar-20
	Main Issues / Reason for Variance						
	Works progressing with crossing facility at Balloch and cycle path works in Dumbarton. At this time it is anticipated the budget will be fully spent in 2019/20.						
	Mitigating Action						
	Aiming to complete works as soon as possible.						
	Anticipated Outcome						
	Works complete within budget albeit later than anticipated.						
33	**Footways/Cycle Path Upgrades**						
	Project Life Financials	90	5	6%	90	0	0%
	Current Year Financials	90	5	6%	90	0	0%
	Project Description	Renewal and/or enhancement of failed footpaths/cycle paths through West Dunbartonshire.					
	Project Lifecycle	Planned End Date		31-Mar-20	Forecast End Date		31-Mar-20
	Main Issues / Reason for Variance						
	Proposed works at Park Street were postponed due to Network Rail road closure. Works now planned for 2019/20 include part of the A82 footway and the resurface of Glasgow Road from St James Retail Park to Greenhead Road. This work will be programmed in this financial year.						
	Mitigating Action						
	None required at this time.						
	Anticipated Outcome						
	Project completed within budget.						
34	**River Leven Flood Prevention Scheme**						
	Project Life Financials	800	0	0%	800	0	0%
	Current Year Financials	100	0	0%	220	120	120%
	Project Description	River Leven Flood Prevention Scheme.					
	Project Lifecycle	Planned End Date		31-Mar-23	Forecast End Date		31-Mar-23
	Main Issues / Reason for Variance						
	Consultant engaged and currently working on options for River Leven Flood Prevention Scheme. At this time £0.220m is anticipated to be spent this year, accelerating £0.120m from 2020/21 budget allocation.						
	Mitigating Action						
	None required at this time.						
	Anticipated Outcome						
	Project completed within budget.						

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	Budget Details	Project Life Financials					
		Budget	Spend to Date		Forecast Spend	Variance	
		£000	£000	%	£000	£000	%
35	**Infrastructure - Flooding**						
	Project Life Financials	161	59	37%	161	0	0%
	Current Year Financials	161	59	37%	161	0	0%
	Project Description	Essential renewal of failed drainage assets to minimise flood risk within West Dunbartonshire.					
	Project Lifecycle	Planned End Date		31-Mar-20	Forecast End Date		31-Mar-20
	Main Issues / Reason for Variance						
	Livingstone Street flooding works due to be carried out prior to scheduled resurfacing, which is dependent on the permission being granted by new filling station. Several other schemes have been identified to utilise budget, including Drymen Road Balloch drainage works, and A810 drainage works at Fairways. Works packages to be issued through civil framework and quick quote as required.						
	Mitigating Action						
	None required at this time.						
	Anticipated Outcome						
	Project completed within budget.						
36	**Infrastructure - Roads**						
	Project Life Financials	5,478	2,710	49%	5,478	0	0%
	Current Year Financials	5,478	2,710	49%	5,478	0	0%
	Project Description	Infrastructure - Roads.					
	Project Lifecycle	Planned End Date		31-Mar-20	Forecast End Date		31-Mar-20
	Main Issues / Reason for Variance						
	There is a full programme of resurfacing works for 2019/20 which will utilise this budget, with works progressing to plan.						
	Mitigating Action						
	None required at this time.						
	Anticipated Outcome						
	Project completed on time and within budget.						
37	**A811 Infrastructure Works**						
	Project Life Financials	1,500	1,393	93%	1,500	0	0%
	Current Year Financials	274	167	61%	274	0	0%
	Project Description	A811 Infrastructure Works.					
	Project Lifecycle	Planned End Date		31-Mar-20	Forecast End Date		31-Mar-20
	Main Issues / Reason for Variance						
	Road reconstruction requirements spanning Drymen Road, Balloch to Kilmaronock Church have been identified with infrastructure improvement with new drainage. Project was underway in 2018 and resurfacing works have now been completed. Signage review also now completed and remainder of the works will be carried out in winter 2019/20.						
	Mitigating Action						
	None required at this time.						
	Anticipated Outcome						
	Project will be delivered on time and within budget with slippage related to retention payments only.						

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	Budget Details	Project Life Financials					
		Budget	Spend to Date		Forecast Spend	Variance	
		£000	£000	%	£000	£000	%
38	**Protective overcoating to 4 over bridges River Leven**						
	Project Life Financials	1,080	0	0%	1,080	0	0%
	Current Year Financials	270	0	0%	320	50	19%
	Project Description	To overcoat 4 bridges over River Leven.					
	Project Lifecycle	Planned End Date		31-Mar-25	Forecast End Date		31-Mar-25

Main Issues / Reason for Variance

Remedial work required to Bonhill Bridge, Dumbarton Bridge, Artizan Bridge and Footbridge from Renton to Vale of Leven Industrial Estate. Works have been delayed due to a longer than expected procurement process with preparation of tender documentation taking longer than anticipated and the time taken to prepare the work packages required for the structures. Principal Inspection works to establish precise scope of works commenced late November with £0.050m required to be accelerated from the 2020/21 allocation. Retentions due 2021/22.

Mitigating Action

None available at this time.

Anticipated Outcome

Project to be delivered in line with capital plan refresh and within budget.

39	**Strathclyde Partnership for Transport - Bus, cycling and walking infrastructure improvements & Park and Rides**						
	Project Life Financials	550	48	0%	550	0	0%
	Current Year Financials	550	48	0%	550	0	0%
	Project Description	Strathclyde Partnership for Transport - Bus, cycling and walking infrastructure improvements.					
	Project Lifecycle	Planned End Date		31-Mar-20	Forecast End Date		31-Mar-20

Main Issues / Reason for Variance

With regards to A814 congestion reduction measures, works planned for 2019/20 include congestion measures on the A814 which are now physically complete. With regards to Bus infrastructure improvements, high borders at bus stops works progressing throughout WDC and are due to complete by year end March 2019 district wide. Plans for works for Dalreoch and Balloch park and rides ongoing.

Mitigating Action

None Required at this time.

Anticipated Outcome

To deliver improved specified, bus, cycling and walking routes within West Dunbartonshire.

40	**Strathleven Park and Ride Car Park**						
	Project Life Financials	285	290	102%	302	17	6%
	Current Year Financials	35	40	113%	52	17	48%
	Project Description	Provision of additional car parking off Strathleven Place adjoining Church car Park. To be utilised as park and ride and overflow for town centre parking.					
	Project Lifecycle	Planned End Date		31-Mar-20	Forecast End Date		30-Apr-19

Main Issues / Reason for Variance

Project now complete with retentions paid 2019/20.

Mitigating Action

None Required at this time.

Anticipated Outcome

Project completed albeit later than anticipated.

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	Budget Details	Project Life Financials					
		Budget	Spend to Date		Forecast Spend	Variance	
		£000	£000	%	£000	£000	%
41	**Street lighting and associated electrical infrastructure**						
	Project Life Financials	209	82	39%	209	0	0%
	Current Year Financials	187	60	32%	187	0	0%
	Project Description	Street lighting and associated electrical infrastructure.					
	Project Lifecycle	Planned End Date		31-Mar-20	Forecast End Date		31-Mar-20
	Main Issues / Reason for Variance						
	Work is being carried out in house and will be supplemented via external contractors through quick quote in 2019/20.						
	Mitigating Action						
	None available.						
	Anticipated Outcome						
	Project completed on time and within budget.						
42	**Turnberry Homes - traffic calming/ management at Turnberry housing development off Castle Road**						
	Project Life Financials	60	53	88%	60	0	0%
	Current Year Financials	7	0	0%	7	0	0%
	Project Description	Funding has been received from Turnberry Homes and will be used to introduce traffic calming					
	Project Lifecycle	Planned End Date		31-Mar-20	Forecast End Date		31-Mar-20
	Main Issues / Reason for Variance						
	Plans have been re-phased to carry out works in conjunction with Park St to Dumbarton East Train Station, which have now been rescheduled to 2019/20 due to prioritisation of resources.						
	Mitigating Action						
	Officers will continue to work with Procurement regarding contractual issues.						
	Anticipated Outcome						
	Project to be finalised in conjunction with Dumbarton East - Park St to Dumbarton East Train Station pathway.						
43	**Electrical Charging Points - Rapid Charge**						
	Project Life Financials	170	0	0%	170	0	0%
	Current Year Financials	170	0	0%	170	0	0%
	Project Description	Funding has been awarded from Transport Scotland for the Installation of electrical charging points					
	Project Lifecycle	Planned End Date		31-Mar-20	Forecast End Date		31-Mar-20
	Main Issues / Reason for Variance						
	Funding of £0.170m has been awarded from Transport Scotland for the installation of Rapid Charge electrical car charging points. Locations have been confirmed for Kilbowie Road Clydebank Park & Ride and Woodyard Road in Dumbarton, with consideration to an electrical charging point at Vale of Leven Park & Ride. The intention is also to install a charging point at a Richmond Street Depot. Procurement options are being explored in conjunction with shared service partners and full spend anticipated in 2019/20.						
	Mitigating Action						
	None required at this time.						
	Anticipated Outcome						
	Installation of rapid charge electrical car charging points.						

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	Budget Details	Project Life Financials					
		Budget	Spend to Date		Forecast Spend	Variance	
		£000	£000	%	£000	£000	%
44	**New Play & Recreation at Radnor Park, including MUGA**						
	Project Life Financials	260	212	81%	260	0	0%
	Current Year Financials	162	113	70%	144	(18)	-11%
	Project Description	New Play & Recreation at Radnor Park, including MUGA.					
	Project Lifecycle	Planned End Date		31-Mar-20	Forecast End Date		31-Dec-19

Main Issues / Reason for Variance

Both Phase 1 (play area) and Phase 2 (MUGA) are now complete. Retentions of £0.018m are due to be paid December 2020 and are therefore required to be rephased to 2020/21.

Mitigating Action

None required at this time.

Anticipated Outcome

Provision of new Play & Recreation at Radnor Park, including MUGA delivered within budget.

45	**Invest in "Your Community Initiative"**						
	Project Life Financials	1,000	688	69%	1,000	0	0%
	Current Year Financials	158	116	73%	158	0	0%
	Project Description	Capital budget to support the roll out of Your Community, an initiative designed to achieve coordinated service delivery in response to community need. This is complimented by community capacity building, empowering WD citizens to do more for their own communities (leading to less reliance on council). Also included is the implementation of participatory budgeting to support and build capacity in communities.					
	Project Lifecycle	Planned End Date		31-Mar-23	Forecast End Date		31-Mar-23

Main Issues / Reason for Variance

The main element of this budget relates to the Improvement Fund which is subject to the work of a tactical group who meets every 6 weeks and are working to identify suitable projects (the focus being on environmental projects in response to individual neighbourhood needs). There is also a review ongoing of the Your Community Initiative the aim of which is to streamline processes and deliver projects in a more timely fashion. Delay in spend is due to the fact that to date many of the improvements delivered via the Your Community approach have been funded from existing service budgets, resulting in insufficient spend from the Improvement Fund. Officers are actively researching investment opportunities in which this capital budget can be spent in the most beneficial way for the communities, with latest investment being in the new MUGA's at Castlehill in partnership with the Community Capital Fund. Other various proposals to utilise this budget are being presented and being evaluated at present to take the project forward.

Mitigating Action

Opportunities to mitigate have been limited due to the need to liaise with communities. The group continues to liaise with groups regularly to ensure funds are utilised for the benefit of the communities.

Anticipated Outcome

Full budget spend anticipated albeit later than originally planned.

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	Budget Details	Project Life Financials					
		Budget	Spend to Date		Forecast Spend	Variance	
		£000	£000	%	£000	£000	%
46	**Integrated Housing Management System**						
	Project Life Financials	624	534	86%	624	0	0%
	Current Year Financials	187	97	0%	187	0	0%
	Project Description	This is a budget to support the necessary development and on-going requirements of implementing the Council's Integrated Housing Management System.					
	Project Lifecycle	Planned End Date		31-Mar-20	Forecast End Date		31-Mar-20
	Main Issues / Reason for Variance						
	No issues, the system went live on 6th November 2019.						
	Mitigating Action						
	None required at this time.						
	Anticipated Outcome						
	Project is to delivered in-line with rephased project timeline and within project life budget.						
47	**Pappert Woodland Wind Farm**						
	Project Life Financials	6,900	37	1%	6,900	0	0%
	Current Year Financials	4	0	0%	0	(4)	0%
	Project Description	Provision of new wind farm.					
	Project Lifecycle	Planned End Date		31-Mar-24	Forecast End Date		31-Mar-24
	Main Issues / Reason for Variance						
	Following IRED Committee in August 2019, it was agreed not to pursue a Wind Farm Development on WDC land. WDC is still in negotiations with the potential new development partner, however until this arrangement can be finalised, no spend can progress. It is therefore the intention to remove this project at the next capital refresh until a clear proposal can be developed.						
	Mitigating Action						
	Project team will continue to consult with Planning and other statutory consultees once revised scheme has been finalised.						
	Anticipated Outcome						
	Project completion generates savings in line with revised financial analysis.						
48	**Depot Urgent Spend**						
	Project Life Financials	207	206	100%	207	0	0%
	Current Year Financials	1	0	0%	1	0	0%
	Project Description	Depot upgrades.					
	Project Lifecycle	Planned End Date		31-Mar-20	Forecast End Date		31-Mar-20
	Main Issues / Reason for Variance						
	Final minor expenditure in 2019/20 to complete project.						
	Mitigating Action						
	None required at this time.						
	Anticipated Outcome						
	To achieve condition B from condition C.						
49	**Building Upgrades and H&S - lifecycle & reactive building upgrades**						
	Project Life Financials	4,078	3,232	79%	4,078	0	0%
	Current Year Financials	4,078	3,232	79%	4,078	0	0%
	Project Description	Lifecycle and reactive building upgrades.					
	Project Lifecycle	Planned End Date		31-Mar-20	Forecast End Date		31-Mar-20
	Main Issues / Reason for Variance						
	Budget expected to be fully allocated with Officers having identified a number of projects which they anticipate will be complete by end March 2020.						
	Mitigating Action						
	Ongoing regular meetings seeking to mitigate any possible delays to projects.						
	Anticipated Outcome						
	Project delivered within budget and amended timescales.						

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	Budget Details	Project Life Financials					
		Budget	Spend to Date		Forecast Spend	Variance	
		£000	£000	%	£000	£000	%
50	**Upgrade Lighting**						
	Project Life Financials	95	0	0%	95	0	0%
	Current Year Financials	95	0	0%	95	0	0%
	Project Description	Upgrade Lighting					
	Project Lifecycle	Planned End Date		31-Mar-20	Forecast End Date		31-Mar-20
	Main Issues / Reason for Variance						
	Whitecrook is complete . Carleith - minor works still to complete. Costs for Dumbarton Academy have been received and work will be complete by end of financial year. Budget will be fully utilised this financial year.						
	Mitigating Action						
	None available at this time.						
	Anticipated Outcome						
	Delivery of project on time and on budget.						
51	**Water Meter Downsize**						
	Project Life Financials	16	2	13%	16	0	0%
	Current Year Financials	16	2	13%	16	0	0%
	Project Description	Water Meter Downsize					
	Project Lifecycle	Planned End Date		31-Mar-20	Forecast End Date		31-Mar-20
	Main Issues / Reason for Variance						
	Dumbarton Academy, Bonhill Primary, Goldenhill Primary and Clydebank High school are all complete and awaiting invoices. Budget expected to be fully utilised in 2019/20.						
	Mitigating Action						
	None required at this time.						
	Anticipated Outcome						
	Delivery of project on time and on budget.						
52	**Urinal Controls**						
	Project Life Financials	45	0	0%	45	0	0%
	Current Year Financials	45	0	0%	30	(15)	-33%
	Project Description	Urinal Controls					
	Project Lifecycle	Planned End Date		31-Mar-20	Forecast End Date		31-Mar-21
	Main Issues / Reason for Variance						
	Service level Agreement to be amended and agreed with WDC and Wave, December 2019. Anticipated spend in 2019/20 £0.030m with £0.015 required to be rephased to 2020/21.						
	Mitigating Action						
	None required at this time.						
	Anticipated Outcome						
	Delivery of project on time and on budget.						
53	**Electricity Automatic meters**						
	Project Life Financials	28	18	64%	28	0	0%
	Current Year Financials	28	18	64%	18	(10)	-36%
	Project Description	Electricity Automatic meters					
	Project Lifecycle	Planned End Date		31-Mar-20	Forecast End Date		30-Apr-20
	Main Issues / Reason for Variance						
	48 meters installed to date. Completion of works totally dependant on site access and permission to switch power off for up to one hour. It is forecast that there will be carry forward to next year. April schools holiday possible opportunity for full completion of works.						
	Mitigating Action						
	None required at this time.						
	Anticipated Outcome						
	Delivery of project on time and on budget.						

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	Budget Details	Project Life Financials					
		Budget	Spend to Date		Forecast Spend	Variance	
		£000	£000	%	£000	£000	%
54	**Energy Projects quick wins**						
	Project Life Financials	60	3	6%	60	0	0%
	Current Year Financials	10	3	34%	10	0	0%
	Project Description	Energy Projects quick wins					
	Project Lifecycle	Planned End Date		31-Mar-22	Forecast End Date		31-Mar-22
55	**Automatic Meter Readers**						
	Project Life Financials	48	17	35%	48	0	0%
	Current Year Financials	48	17	35%	28	(20)	-42%
	Project Description	Automatic Meter Readers.					
	Project Lifecycle	Planned End Date		31-Mar-20	Forecast End Date		30-Apr-20
56	**Queens Quay - Regeneration**						
	Project Life Financials	15,620	14,538	93%	15,620	0	0%
	Current Year Financials	4,279	3,197	75%	4,279	0	0%
	Project Description	Queens Quay regeneration.					
	Project Lifecycle	Planned End Date		31-Mar-20	Forecast End Date		31-Mar-19

54 Energy Projects quick wins

Main Issues / Reason for Variance

Work to upgrade heating controls in Lennox Primary, Dumbarton Academy and Whitecrook now complete and awaiting charges.

Mitigating Action
None required at this time.
Anticipated Outcome
Delivery of project on time and on budget.

55 Automatic Meter Readers

Main Issues / Reason for Variance
With regards to Water Automatic Meters, all large sites complete, and on track to complete remainder by end of financial year. With regards to the Electricity Automatic Meters element of this project, completion of works totally dependant on site access and permission to switch power off for up to one hour. It is forecast that there will be slippage of £0.020m to next year for works in April schools holiday being the next possible opportunity for full completion of works.
Mitigating Action
None required at this time.
Anticipated Outcome
Delivery of project on time and on budget.

56 Queens Quay - Regeneration

Main Issues / Reason for Variance
This project has experienced delays compared to the original timetable in order to coordinate and deliver the benefits of New Clydebank Health Centre, Care Home, and District Heating, resulting in forecast end date being extended to March 2020. However the spend related to these works is now well underway. There is a potential that costs currently associated with the District Heating project may be apportioned to the infrastructure project, however this is uncertain at this time and will be closely monitored and concluded in January 2020.

Mitigating Action
A number of mitigating actions are being monitored through the risk register by the Management Group. Fortnightly meetings with the development partner take place to progress the project and make every attempt to reduce delays and slippage.
Anticipated Outcome
Regeneration of Clydebank Waterfront in line with budget, but delayed to co-ordinate with District Heating project.

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	Budget Details	Project Life Financials					
		Budget	Spend to Date		Forecast Spend	Variance	
		£000	£000	%	£000	£000	%
57	**Purchase of 3 Welfare Units**						
	Project Life Financials	78	0	0%	78	0	0%
	Current Year Financials	78	0	0%	78	0	0%
	Project Description	At Council meeting on 30th August 2017 it was agreed to purchase 3 Welfare Units as a spend-to-save proposal.					
	Project Lifecycle	Planned End Date		31-Mar-20	Forecast End Date		31-Mar-20
	Main Issues / Reason for Variance						
	Due to a change in requirements officers are potentially now looking to buy mobile units rather then fixed units with options to come back to a future DLO project board therefore budget was required to be rephased from 2018/19. It is anticipated the required units will be purchased in 2019/20 and full budget spend is expected.						
	Mitigating Action						
	None required at this time.						
	Anticipated Outcome						
	Project will be delivered later than anticipated.						
58	**Replace Elderly Care Homes and Day Care Centres**						
	Project Life Financials	27,463	22,053	80%	27,463	0	0%
	Current Year Financials	8,824	5,757	65%	8,824	0	0%
	Project Description	Design and construction of replacement elderly care homes and day care centres in Dumbarton and Clydebank areas.					
	Project Lifecycle	Planned End Date		31-Mar-22	Forecast End Date		30-May-20
	Main Issues / Reason for Variance						
	Dumbarton Care Home achieved practical completion on 28 April 2017. There is one outstanding recorded defect yet to be rectified relating to the CHP engine and accordingly a small amount of retention has been withheld but forecast to be released this financial year. With regards to Clydebank Care Home, CCG have been in possession of the site since the end of October 2018 and have submitted an Extension of Time Programme, adding a further 5 weeks, giving a potential revised completion date of 26 June 2020. Officers are currently reviewing the extension of time request and at the moment the contract completion date is still noted as 22 May 2020, although this is likely to change. The use of the construction haul road is to cease on 22 May 2020 and the land area must be handed back to satisfy the handback conditions of the NHS licence to occupy, prior to the Health Centre contractor taking possession of this area on 26 June 2020. The critical co-ordination aspects at present are the gas, power and district heating connections. The target opening date for the new facility remains late summer 2020, allowing for circa 8 week transition period from construction completion.						
	Mitigating Action						
	Due to the complexity of working within a Masterplan development, our ability to mitigate issues is restricted to only those within our direct project control. We are maintaining regular communications with the District Heating & Queens Quay Masterplan Teams, specifically regarding energy centre heat-on date and utility connections.						
	Anticipated Outcome						
	New Care home provision in Clydebank currently delayed as indicated by the overall forecast end date above.						
59	**Special Needs - Aids & Adaptations for HSCP clients**						
	Project Life Financials	757	502	66%	757	0	0%
	Current Year Financials	757	502	66%	757	0	0%
	Project Description	Reactive budget to provide adaptations and equipment for HSCP clients.					
	Project Lifecycle	Planned End Date		31-Mar-20	Forecast End Date		31-Mar-20
	Main Issues / Reason for Variance						
	Reallocation of expenditure currently coded through HSCP Revenue Aids & Adaptations budget.						
	Mitigating Action						
	None required.						
	Anticipated Outcome						
	Provision of adaptations and equipment to HSCP clients as anticipated.						

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	Budget Details	Project Life Financials					
		Budget	Spend to Date		Forecast Spend	Variance	
		£000	£000	%	£000	£000	%
60	**Direct Project Support**						
	Project Life Financials	3,022	140	100%	3,022	0	100%
	Current Year Financials	3,022	140	100%	3,224	202	100%
	Project Description	Business support cost such as reallocation of architects and project support at year end.					
	Project Lifecycle	Planned End Date		31-Mar-20	Forecast End Date		31-Mar-20
	Main Issues / Reason for Variance						
	Forecast for 2019/20 increased to £3.224m based on latest information on time spent on capital projects.						
	Mitigating Action						
	None required.						
	Anticipated Outcome						
	Direct project support costs allocated as appropriate.						

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ANALYSIS OF RESOURCES

APPENDIX 9

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	Budget Details	Project Life Financials					
		Budget	Spend to Date		Forecast Spend	Forecast Variance	
		£000	£000	%	£000	£000	%
1	**Resources Carried Forward**						
	Project Life Financials	(821)	(199)	24%	(439)	382	-47%
	Current Year Financials	(685)	0	0%	(240)	445	-65%
	Project Description	These are resources that have been received in previous years relating to ICT Modernisation, Insurance receipts, HRA contribution re Dalmuir works, Turnberry Homes, Posties Park Sports Hub and Auld Street Bond					
	Project Lifecycle	Planned End Date		31-Mar-20	Forecast End Date		31-Mar-20
	Main Issues / Reason for Variance						
	No issues to report						
	Mitigating Action						
	None required at this time						
	Anticipated Outcome						
	Application of resources held on balance sheet as at 31 March 2019 as appropriate.						
2	**General Services Capital Grant**						
	Project Life Financials	(87,854)	(36,002)	41%	(87,841)	13	0%
	Current Year Financials	(9,913)	(8,390)	85%	(9,900)	13	0%
	Project Description	This is a general grant received from the Scottish Government in relation to General Services capital spend					
	Project Lifecycle	Planned End Date		31-Mar-26	Forecast End Date		31-Mar-26
	Main Issues / Reason for Variance						
	General services capital grant is anticipated to be received as forecast						
	Mitigating Action						
	None required at this time						
	Anticipated Outcome						
	General services capital grant is anticipated to be received as forecast						
3	**Ring Fenced Government Grant Funding**						
	Project Life Financials	(45,584)	(5,241)	11%	(42,001)	3,583	-8%
	Current Year Financials	(8,699)	(2,799)	32%	(5,079)	3,620	-42%
	Project Description	This is ring fenced grant funding which is primarily anticipated to be received from the Scottish Government and relates to Cycling, Walking, Safer Streets, Early Years, Gruggies Burn Flood works, Early Years funding, City Deal and Town Centre Fund.					
	Project Lifecycle	Planned End Date		31-Mar-26	Forecast End Date		31-Mar-26
	Main Issues / Reason for Variance						
	Main variance relates to Early Years Funding and Exxon City Deal grant not anticipated to be applied in 2019/20 due to spend levels.						
	Mitigating Action						
	Mitigating actions are detailed within the appropriate status updates.						
	Anticipated Outcome						
	Specific grants applied in year will be less than anticipated in 2019/20						
4	**Capital Receipts**						
	Project Life Financials	(28,879)	(2,814)	10%	(30,174)	(1,295)	4%
	Current Year Financials	(8,392)	(760)	9%	(9,687)	(1,295)	15%
	Project Description	These are capital receipts that are anticipated from sales of land and buildings both as part of the normal disposal programme and also as part of the business case investment in office rationalisation, new school building and new care home development					
	Project Lifecycle	Planned End Date		31-Mar-26	Forecast End Date		31-Mar-26
	Main Issues / Reason for Variance						
	No issues.						
	Mitigating Action						
	While market conditions are out with officers control all potential receipts will be explored.						
	Anticipated Outcome						
	Capital receipts received.						

WEST DUNBARTONSHIRE COUNCIL
GENERAL SERVICES CAPITAL PROGRAMME
ANALYSIS OF RESOURCES

APPENDIX 9

MONTH END DATE **31 December 2019**

PERIOD **9**

Budget Details	Project Life Financials					
	Budget	Spend to Date		Forecast Spend	Forecast Variance	
	£000	£000	%	£000	£000	%
5 Prudential Borrowing						
Project Life Financials	(155,716)	(138,503)	89%	(160,264)	(4,547)	3%
Current Year Financials	(44,821)	(22,639)	51%	(29,939)	14,881	-33%

Project Description: Prudential borrowing is long term borrowing from financial institutions that has been approved for the purposes of funding capital expenditure

Project Lifecycle: Planned End Date 31-Mar-26 Forecast End Date 31-Mar-26

Main Issues / Reason for Variance

Prudential borrowing in 2019/20 is likely to be less than budgeted due to programme re-phasing

Mitigating Action

Prudential borrowing is impacted by programme delivery therefore mitigating action is detailed in the red and amber analysis

Anticipated Outcome

While prudential borrowing requirement is likely to be less than budgeted in the current financial year this is anticipated to catch up over the programme life.

WEST DUNBARTONSHIRE COUNCIL

Report by the Strategic Lead Housing and Employability

Council : 29 January 2020

Subject: Housing Revenue Account Budgetary Control Report to 31 December 2019 (Period 9)

1. Purpose

1.1 The purpose of the report is to provide members with an update on the financial performance to 31 December 2019 (Period 9) of the HRA revenue and capital budgets for 2019/20.

2. Recommendations

2.1 Members are asked to:

i) note the contents of this report which shows the revenue budget forecast to underspend against budget by £0.039m (0.09%) at the year-end; and

ii) note the net projected annual position in relation to relevant capital projects which is highlighting a variance of £12.441m (20.0%) due to projected net slippage of £13.394m (21.5%) and an overspend of £0.953m (-1.5%).

3. Background

Revenue

3.1 At the meeting of West Dunbartonshire Council on 14 February 2019, Members agreed the revenue estimates for 2018/2019 and a total budget of £43.204m.

Capital

3.2 At the meeting of Council on 14 February 2019, Members also agreed the updated Capital Plan for 2019/20 which has been augmented by slippage from 2018/19 to produce a total planned spend for 2019/20 of £62.316m.

4. Main Issues

Revenue

4.1 The budgetary position for HRA Revenue is provided in Appendix 1 with information on projected variances valued at more than £0.050m being provided as Appendix 2, and shows a projected underspend of £0.039m.

Capital

4.2 The HRA capital summary position is shown in Appendix 3. Information on projects that are highlighted as being within the red and amber categories are provided in Appendices 4 & 5. Appendix 6 provides information on all the remaining projects which are categorised as being within the Green category. A summary of anticipated resources is shown in Appendix 7. The analysis shows that for the in-year planned spend there is currently a projected in-year variance of £12.441m which relates to net anticipated slippage of £13.394m and an overspend of £0.953m. The net slippage comprises £18.394m delayed spend offset by £5.000m accelerated spend.

4.3 From the analysis within appendix 4, it can be seen that there are four projects with forecast material slippage, as listed as follows:

Project Name	**Slippage (£m)**
Doors/Window Component Renewals	0.648
Statutory/regulatory compliance works (lifts/electrical/legionella/fire etc)	0.535
Projects to deliver housing policies/strategies (Buy Backs)	1.479
Affordable Housing Supply Programme	10.733

4.4 The Strategic Housing Investment Plan (SHIP) 2018-2023, outlining West Dunbartonshire's Affordable Housing Supply Programme (AHSP) through the More Homes West Dunbartonshire approach was approved by the Housing and Communities Committee in November 2018 with an updated budget being approved at Council on 14 February 2019. An update on the programme and progress on each site was provided to the Housing and Communities Committee on the 6 November 2019. At this point costings for the various sites within the AHSP are being finalised as part of the procurement processes for these sites.

4.5 Current projections of costs are showing that the St Andrews site is expected to be over budget by around £1.417m, Aitkenbar to be under budget by £0.431m, Creveul Court to be under budget by £0.014m, Haldane to be over budget by £0.447m and Dumbarton Harbour to be over by £0.921m. Officers have been successful in securing additional Scottish Government grant income totalling £0.339m for the Aitkenbar and Haldane sites which partially offsets some of this overspend. Currently the underspends and additional grant income projected don't match the projected overspend. Officers will pursue, where appropriate, additional grant funding for future sites. Once further costings are available and confirmation of final grant allocations are known, it may be necessary to seek Council permission to vire funds between budgets within the AHSP and/or to accelerate funds from planned future years spend within the AHSP.

5. People Implications

5.1 There are no people implications.

6. Financial and Procurement Implications

6.1 Other than the financial position noted above, there are no financial implications of the budgetary control report. There are no procurement implications arising from this report.

7. Risk Analysis

7.1 The main financial risks to the ongoing financial position relate to unforeseen cost being identified between now and the end of the financial year. This can affect all service areas.

8. Equalities Impact Assessment (EIA)

8.1 The report is for noting and therefore no Equalities Impact Assessment was completed for this report.

9. Consultation

9.1 The views of both Finance and Legal services have been requested on this report and both have advised there are neither any issues nor concerns with the proposal. As the report is for noting no further consultation is envisaged.

10. Strategic Assessment

10.1 Proper budgetary control and sound financial practice are cornerstones of good governance and support Council and officers to pursue the five strategic priorities of the Council's Strategic Plan. This report forms part of the financial governance of the Council. This report is for noting and, therefore, does not directly affect any of the strategic priorities.

Peter Barry
Strategic Lead – Housing and Employability
Date: 20 January 2020

Person to Contact: Janice Rainey - Business Unit Finance Partner (HEED), 16 Church Street, Dumbarton, G82 1QL, telephone: 01389 737704, e-mail janice.rainey@west-dunbarton.gov.uk

Appendices:
Appendix 1 - Budgetary Position (Revenue)
Appendix 2 - Variance analysis (Revenue)
Appendix 3 - Budgetary Position (Capital)

Appendix 4 - Variance analysis Red Projects (Capital)
Appendix 5 - Variance analysis Amber Projects (Capital)
Appendix 6 - Variance analysis Green Projects (Capital)
Appendix 7 - Resources (Capital)

Background Papers: None

Wards Affected: All

WEST DUNBARTONSHIRE COUNCIL
HRA REVENUE BUDGETARY CONTROL 2019/2020

Appendix 1

PERIOD END DATE **31/12/2019**

Subjective Summary	Total Budget 2019/20 £000	Spend to Date 2019/20 £000	Forecast Spend £000	Forecast Variance 2019/20 £000	%	Annual RAG Status
Employee Costs	5,232	4,084	5,202	(30)	-1%	↑
Property Costs	1,776	1,330	1,809	33	2%	↓
Transport Costs	83	46	78	(5)	0%	↑
Supplies, Services And Admin	308	164	350	42	14%	↓
Support Services	2,557	1,939	2,585	28	1%	↓
Other Expenditure	404	318	450	46	11%	↓
Repairs & Maintenance	12,088	7,478	12,130	42	0%	↓
Bad Debt Provision	1,060	797	1,060	0	0%	→
Void Loss (Council Tax/Lost Rents)	870	556	715	(155)	-18%	↑
Loan Charges	18,826	14,120	18,826	0	0%	→
Total Expenditure	**43,204**	**30,832**	**43,205**	**1**	**0%**	↓
House Rents	41,517	31,141	41,522	(5)	0%	↑
Lockup Rents	231	169	225	6	3%	↓
Factoring/Insurance Charges	1,170	892	1,189	(19)	-2%	↑
Other rents	123	74	114	9	7%	↓
Interest on Revenue Balance	62	69	93	(31)	-50%	↑
Miscellaneous income	101	31	101	0	0%	→
Total Income	**43,204**	**32,376**	**43,244**	**(40)**	**0%**	↑
Net Expenditure	**0**	**(1,544)**	**(39)**	**(39)**		

WEST DUNBARTONSHIRE COUNCIL **APPENDIX 2**
HRA REVENUE BUDGETARY CONTROL 2019/2020
ANALYSIS FOR VARIANCES OVER £50,000

MONTH END DATE	**31/12/2019**
PERIOD	**9**

Budget Details	**Variance Analysis**				
Subjective Analysis	**Budget**	**Forecast Spend**	**forecast Variance**		**RAG Status**
	£000	**£000**	**£000**	**%**	
VOID LOSS	870	715	(155)	-18%	↑

Service Description	
This budget covers the rents lost on void houses and lockups and the cost of council tax on void properties.	
Variance Narrative	
Main Issues	One of the main reasons for this favourable variance relates to an underspend on the cost of void council tax. This budget has been set too high, since it included properties which were previously identified for demolition.
Mitigating Action	No mitigating action is required.
Anticipated Outcome	A year end underspend is anticipated.

APPENDIX 3

WEST DUNBARTONSHIRE COUNCIL
HRA CAPITAL PROGRAMME
OVERALL PROGRAMME SUMMARY

MONTH END DATE 31 December 2019

PERIOD 9

Project Status Analysis	Project Life Status Analysis				Current Year Project Status Analysis			
	Number of Projects at RAG Status	% Projects at RAG Status	Spend to Date £000	% Project Spend at RAG Status	Number of Projects at RAG Status	% Projects at RAG Status	Spend to Date £000	% Project Spend at RAG Status
Red								
Projects are forecast to be overspent and/or experience material delay to completion	5	20.0%	19,733	38.6%	5	20.8%	13,710	49.6%
Amber								
Projects are either at risk of being overspent and/or delay in completion (although this is unquantifiable at present) or the project has any issues that require to be reported at this time	2	8.0%	7,214	14.1%	2	8.3%	2,357	8.5%
Green								
Projects are on target both in relation to overall budget and the forecast stages in the project life cycle and no issues are anticipated at this time	18	72.0%	24,235	47.4%	17	70.8%	11,553	41.8%
TOTAL EXPENDITURE	**25**	**100%**	**51,182**	**100%**	**24**	**100%**	**27,620**	**100%**

Project Status Analysis	Project Life Financials				Current Year Financials					
	Budget £000	Spend to Date £000	Forecast Spend £000	Forecast Variance £000	Budget £000	Spend to Date £000	Forecast Spend £000	Forecast Variance £000	Re-Phasing £000	Over/ (Under) £000
Red										
Projects are forecast to be overspent and/or significant delay to completion	116,869	19,733	116,869	0	40,464	13,710	27,663	(12,801)	(13,394)	593
Amber										
Projects are either at risk of being overspent and/or delay in completion (although this is unquantifiable at present) or the project has any issues that require to be reported at this time	12,700	7,214	12,406	(294)	2,800	2,357	3,160	360	0	360
Green										
Projects are on target both in relation to overall budget and the forecast stages in the project life cycle and no issues are anticipated at this time	79,210	24,235	79,204	(6)	19,052	11,553	19,052	0	0	0
TOTAL EXPENDITURE	**208,779**	**51,182**	**208,479**	**(300)**	**62,316**	**27,620**	**49,875**	**(12,441)**	**(13,394)**	**953**
TOTAL RESOURCES	**208,779**	**51,182**	**208,479**	**300**	**62,316**	**27,620**	**49,875**	**12,441**		
NET EXPENDITURE	**0**	**0**	**0**	**(0)**	**(0)**	**(0)**	**0**	**0**		

WEST DUNBARTONSHIRE COUNCIL **APPENDIX 4**
HRA CAPITAL PROGRAMME
ANALYSIS OF PROJECTS AT RED STATUS

MONTH END DATE **31 December 2019**

PERIOD **9**

	Budget Details	Project Life Financials					
		Budget	Spend to Date		Forecast Spend	Variance	
		£000	£000	%	£000	£000	%
1	**Doors/window component renewals**						
	Project Life Financials	9,000	1,854	21%	9,000	0	0%
	Current Year Financials	2,148	1,001	47%	1,500	(648)	-30%
	Project Description	Doors/Windows Component Renewals					
	Project Lifecycle	Planned End Date		31-Mar-24	Forecast End Date		31-Mar-24
	Main Issues / Reason for Variance						
	Programme behind target. Windows supply is now meeting expectations, fitting team now needs to catch up with programme which had dropped due to materials availability.						
	Mitigating Action						
	Building Services to focus on labour resources to catch up with programme, % against target is increasing monthly and needs to continue.						
	Anticipated Outcome						
	Project to complete as planned and on budget over the project life.						
2	**Statutory/regulatory compliance works**						
	Project Life Financials	3,931	125	3%	3,931	0	0%
	Current Year Financials	1,535	29	2%	1,000	(535)	-35%
	Project Description	This budget will be used to upgrade / replace components / installations in order to comply with the relevant standards / legislation / health and safety in relation to housing stock.					
	Project Lifecycle	Planned End Date		31-Mar-24	Forecast End Date		31-Mar-24
	Main Issues / Reason for Variance						
	The majority of the budget (£1.4m) relates to the installation of smoke detectors and carbon monoxide detectors. Due to a resourcing issue within Building Services, the work was behind schedule. However, the installations have now been incorporated into the gas maintenance contract, with installations being carried out at the same time as the annual safety check.						
	Mitigating Action						
	Officers will continue to manage this programme.						
	Anticipated Outcome						
	Project to complete as planned and on budget over the project life.						
3	**Heating Improvement Works**						
	Project Life Financials	3,300	1,356	41%	3,300	0	0%
	Current Year Financials	600	738	123%	1,115	515	86%
	Project Description	Carry out works to renew inefficient boilers/full systems as identified from the stock condition survey and renewal of obsolete/damaged boilers.					
	Project Lifecycle	Planned End Date		31-Mar-24	Forecast End Date		31-Mar-24
	Main Issues / Reason for Variance						
	A larger number of boilers are being renewed following referral for renewal after annual maintenance. These referrals have accelerated budget spend ahead of the originally planned phasing for 2019/20, however there will be no overspend on the project life budget.						
	Mitigating Action						
	Overall, it is anticipated that the project will complete on budget by the end of the project life.						
	Anticipated Outcome						
	Project to complete on budget as planned.						

WEST DUNBARTONSHIRE COUNCIL **APPENDIX 4**
HRA CAPITAL PROGRAMME
ANALYSIS OF PROJECTS AT RED STATUS

MONTH END DATE **31 December 2019**

PERIOD **9**

	Budget Details	Project Life Financials					
		Budget	Spend to Date		Forecast Spend	Variance	
		£000	£000	%	£000	£000	%
4	**Projects to deliver housing policies/strategies (Buy Backs)**						
	Project Life Financials	3,714	1,000	13%	3,714	0	0%
	Current Year Financials	2,197	483	0%	718	(1,479)	-67%
	Project Description	This is a budget to undertake specific projects that will deliver housing policies/strategies, example: Ex local authority and mortgage to rent buy-back scheme					
	Project Lifecycle	Planned End Date		31-Mar-21	Forecast End Date		31-Mar-21

Main Issues / Reason for Variance

The main objective of the Buy Back Scheme is to bring former council properties that were sold through the RTB scheme, back into council use. These properties must assist the council with reducing housing need on the waiting list and where appropriate assist with external capital works. For these reasons, any purchase is subject to stringent criteria to ensure accountability and value for money for existing tenants. With several key stakeholders involved, this does mean that there is potential for slippage.

Mitigating Action
Additional staffing resources are now in place which will allow officers to increase efforts to maximise buy-backs, in an effort to increase delivery of the scheme and positively impact and minimise slippage. The policy has been refreshed and expanded to help achieve the key strategic aim.

Anticipated Outcome

Budget unlikely to meet full spend. Officers will endeavour to maximise spend and minimise slippage. Remaining balance will be required to be rephased into 2020-21 for planned buy-backs unable to complete within the current financial year.

5	**Affordable Housing Supply Programme**						
	Project Life Financials	96,924	15,398	16%	96,924	0	0%
	Current Year Financials	33,984	11,459	34%	23,330	(10,654)	-31%
	Project Description	Affordable Housing Supply Programme					
	Project Lifecycle	Planned End Date		31-Mar-24	Forecast End Date		31-Mar-24

Main Issues / Reason for Variance

The delivery of the Council's Affordable Housing Supply Programme has a number of complexities and interdependent actions including statutory which impact on the delivery programme specifically in advance of the construction site start. In addition, the delivery of all the new homes through each of the specific projects will always straddle two financial years and therefore is not always consistent with the format of annual reporting. Current projections for sites where the contract price has been received, are showing that the St Andrews site is expected to be over budget by around £1.417m, Aitkenbar to be under budget by £0.431m, Creveul under by £0.014m, Haldane over by £0.447m and Dumbarton Harbour to be over by £0.921m. Officers have been successful in securing additional grant income totalling £0.339m for the Aitkenbar and Haldane sites which partially offset some of this overspend. Currently the underspends and additional grant income projected don't match the overspend. Once further costings are known it may be necessary to seek Council permission to vire funds between budgets within the AHSP and/or to accelerate funds from planned future years spend within the AHSP. Site update are as follows:

St Andrews School - This development will provide 126 units in total. This site will now fully be developed by the Council and all units used for council housing. This project is on site and is anticipated to complete in March 2021. Due to unforeseen ground conditions and the requirement for a detailed remediation strategy, the costs for this project will be £1.417m above budget. Works are progressing quickly on site so it is likely that payments due prior to 31/3/20 will be £5m higher than anticipated when budget was phased.

WEST DUNBARTONSHIRE COUNCIL **APPENDIX 4**
HRA CAPITAL PROGRAMME
ANALYSIS OF PROJECTS AT RED STATUS

MONTH END DATE **31 December 2019**

PERIOD **9**

Budget Details	Project Life Financials					
	Budget	Spend to Date		Forecast Spend	Variance	
	£000	£000	%	£000	£000	%

Dumbarton Harbour - The Housing Development Team are engaged with Turner Townsend and have agreed the design specification for the delivery of the 45 units. In meetings with Cullross and Turner Townsend, it emerged that a number of project costs had increased by an additional £0.921m, significantly in relation to the market conditions at present being higher now due to the level of demand on services. Following an options appraisal and discussion at the More Homes West Dunbartonshire Project Board it was considered that it was still viable to proceed as the cost per unit is still lower than the average cost per unit across our other new build projects. This has had the effect of delaying the site start to November 2019 with anticipated slippage into 20/21 of £2.159m.

Creveul Court, Alexandria Town Centre - This development will see a 22 unit development of flats with lifts and bungalows. A key principle for the design is to build on the housing need already satisfied through the adjacent Caledonia/Dunbritton Housing Association's Kippen Dairy development and meet any unmet need particularly in relation to older person's accommodation. Completing demolition and actions to get onsite took longer than anticipated, however the development is now progressing quickly and spend will be incurred within the next 5 months. Slippage of £0.705m will be required to be carried forward into 20/21.

Haldane Development - The project is on-site since October 2019. Site demolition and subsequent site investigation took longer than expected however the development is now progressing well. It is anticipated that slippage of £4.374m will be required to be carried forward into 20/21.

Clydebank East - Burnfield Demolition started on-site in June 2019 and work is progressing well. The demolition is programmed to take until June 2020. This is a difficult site with a substation relocation and complex underground connections running through it. It is also in close proximity to the new Yoker-Renfrew bridge and to ensure it is complimentary to this, the design stage for new build housing is still ongoing. For this reason, slippage of £2.451m is anticipated to be carried forward into 20/21.

Aitkenbar Primary School - The project is now on-site as of 14 October 2019. Project is now progressing well, however delays to getting on site and need for relocation of two community groups using the community hut, will mean that slippage of £6.041m will be required to be carried forward into 20/21.

Queens Quay, Site B, Clydebank - A Planning Application for Site A and B comprising of a total of 146 flatted units (29 WDC units included within this) was made in December 2018 and planning approval was received from the March 2019 Planning Committee. Council, at the 26 June 2019 meeting, approved the use of the Council's General Services Capital Regeneration Fund to fund the construction of the four commercial units within the affordable housing development to assist with the delivery of this project. This is a complex project involving a range of stakeholders including WDC, CRL, CCG, Wheatley Group, Cube Housing Association, Clydebank Housing Association and Scottish Government. It is anticipated that slippage of £0.786m will be required to be carried forward into 20/21.

Queens Quay, Site C, Clydebank - The Council is currently developing a design to deliver a projected 31 family type homes on this site to complement the housing mix within sites A and B. It is anticipated more detail will be available for the Nay meeting of the Housing and Communities Committee.

Mitigating Action

The process of getting on-site with a new build project is highly complex and reliant on a number of interdependent actions, therefore it has not been possible to mitigate this slippage. Progress of projects are regularly reported to the More Homes Project Board and discussed in detail with the aim to achieve best outcome achievable while mindful of the importance of delivering projects timeously. The majority of the budget is spent once projects are onsite so spend should now start to pick up significantly but slippage will be unavoidable.

Anticipated Outcome

The Affordable Housing Supply Programme will be delivered on time and within the overall project life budget.

TOTAL RED						
Project Life Financials	116,869	19,733	17%	116,869	0	0%
Current Year Financials	40,464	13,710	34%	27,663	(12,801)	-32%

WEST DUNBARTONSHIRE COUNCIL **APPENDIX 5**
HRA CAPITAL PROGRAMME
ANALYSIS OF PROJECTS AT AMBER STATUS

MONTH END DATE **31 December 2019**

PERIOD **9**

	Budget Details	Project Life Financials					
		Budget	Spend to Date		Forecast Spend	Variance	
		£000	£000	%	£000	£000	%
1	**Void Capital**						
	Project Life Financials	11,500	7,208	63%	11,500	0	0%
	Current Year Financials	2,500	2,351	94%	3,054	554	22%
	Project Description	Spend on Void Properties to bring them up to letting standard					
	Project Lifecycle	Planned End Date		31-Mar-24	Forecast End Date		31-Mar-24
	Main Issues / Reason for Variance						
	Demand has been greater than originally budgeted, resulting in an overspend of £0.554m. However, the spend this year is significantly less than last year and it is anticipated that this pattern will continue into future years, with a reduction in spend.						
	Mitigating Action						
	Officers will continue to manage this programme.						
	Anticipated Outcome						
	Project to complete on budget as planned.						
2	**Targeted SHQS Compliance Works**						
	Project Life Financials	1,200	6	1%	906	(294)	-25%
	Current Year Financials	300	6	2%	106	(194)	-65%
	Project Description	This budget is to focus on work required to maintain the SHQS compliance with WDC housing					
	Project Lifecycle	Planned End Date		31-Mar-24	Forecast End Date		31-Mar-24
	Main Issues / Reason for Variance						
	This budget is to address work required for those properties in SHQS abeyance. Despite efforts to engage, owner refusals are impacting on progress and tenant response/accessibility is an issue also. For this reason, it is likely that an in-year underspend of £0.194m will occur. This is in addition to the £0.100m underspend identified in 2018/19 which totals £0.294m of an overall underspend across the project life.						
	Mitigating Action						
	Officers will continue to pursue those propoerties in abeyance in an effort to maximise spend.						
	Anticipated Outcome						
	Project to complete under budget.						
	TOTAL AMBER						
	Project Life Financials	12,700	7,214	57%	12,406	(294)	-2%
	Current Year Financials	2,800	2,357	84%	3,160	360	13%

WEST DUNBARTONSHIRE COUNCIL **APPENDIX 6**
HRA CAPITAL PROGRAMME
ANALYSIS OF PROJECTS AT GREEN STATUS

MONTH END DATE **31 December 2019**

PERIOD **9**

	Budget Details	Project Life Financials					
		Budget	Spend to Date		Forecast Spend	Variance	
		£000	£000	%	£000	£000	%
1	**Special Needs Adaptations**						
	Project Life Financials	2,400	792	33%	2,400	0	0%
	Current Year Financials	400	390	98%	400	0	0%
	Project Description	Adaptations to Housing for Special Needs					
	Project Lifecycle	Planned End Date		31-Mar-24	Forecast End Date		31-Mar-24
	Main Issues / Reason for Variance						
	No issues, projected to complete and meet spend target.						
	Mitigating Action						
	No issues						
	Anticipated Outcome						
	Required Adapatations completed						
2	**Housing Asset Management**						
	Project Life Financials	694	99	14%	694	0	0%
	Current Year Financials	86	40	47%	86	0	0%
	Project Description	Priority projects as advised by Housing Management					
	Project Lifecycle	Planned End Date		31-Mar-24	Forecast End Date		31-Mar-24
	Main Issues / Reason for Variance						
	Overall, it is anticipated that the project will complete on budget by the end of the project life.						
	Mitigating Action						
	None Required						
	Anticipated Outcome						
	Required Works completed						

WEST DUNBARTONSHIRE COUNCIL **APPENDIX 6**
HRA CAPITAL PROGRAMME
ANALYSIS OF PROJECTS AT GREEN STATUS

MONTH END DATE **31 December 2019**

PERIOD **9**

	Budget Details	Project Life Financials					
		Budget	Spend to Date		Forecast Spend	Variance	
		£000	£000	%	£000	£000	%
3	**Targeted EESSH compliance works**						
	Project Life Financials	25,750	7,153	28%	25,750	0	0%
	Current Year Financials	7,330	4,183	57%	7,330	0	0%
	Project Description	This budget enables the council's continued commitment to achieving the Government's standards in relation to energy efficiency.					
	Project Lifecycle	Planned End Date	31-Mar-24		Forecast End Date	31-Mar-24	
	Main Issues / Reason for Variance						
	No issues, projected to complete and meet spend target. Monthly numbers are tracking targets and progressing satisfactorily.						
	Mitigating Action						
	None required at this time.						
	Anticipated Outcome						
	Project to complete as planned.						
4	**External stores/garages/bin stores/drainage component renewals**						
	Project Life Financials	374	107	29%	374	0	0%
	Current Year Financials	90	14	16%	90	0	0%
	Project Description	This budget is to focus on external stores/garages/bin stores etc component renewals as identified and recommended from the housing stock condition survey.					
	Project Lifecycle	Planned End Date	31-Mar-24		Forecast End Date	31-Mar-24	
	Main Issues / Reason for Variance						
	No issues, projected to complete and meet spend target.						
	Mitigating Action						
	None required at this time.						
	Anticipated Outcome						
	Project to complete as planned.						

WEST DUNBARTONSHIRE COUNCIL **APPENDIX 6**
HRA CAPITAL PROGRAMME
ANALYSIS OF PROJECTS AT GREEN STATUS

MONTH END DATE **31 December 2019**

PERIOD **9**

	Budget Details	Project Life Financials					
		Budget	Spend to Date		Forecast Spend	Variance	
		£000	£000	%	£000	£000	%
5	**Integrated Housing Management System**						
	Project Life Financials	460	436	95%	460	0	0%
	Current Year Financials	110	87	79%	110	0	0%
	Project Description	Integrated Housing Management System					
	Project Lifecycle	Planned End Date		31-Mar-20	Forecast End Date		31-Mar-20
	Main Issues / Reason for Variance						
	No issues, the system went live on 6th November 2019						
	Mitigating Action						
	None required at this time.						
	Anticipated Outcome						
	Project to complete as planned.						
6	**Building external component renewals**						
	Project Life Financials	17,654	4,197	24%	17,654	0	0%
	Current Year Financials	3,280	1,342	41%	3,280	0	0%
	Project Description	Building external component renewals					
	Project Lifecycle	Planned End Date		31-Mar-24	Forecast End Date		31-Mar-24
	Main Issues / Reason for Variance						
	No issues, projected to complete and meet spend target.						
	Mitigating Action						
	None required at this time.						
	Anticipated Outcome						
	Project to complete as planned.						
7	**Energy Improvements**						
	Project Life Financials	329	122	37%	329	0	0%
	Current Year Financials	81	73	90%	81	0	0%
	Project Description	Energy improvements/ efficiency works (e.g. loft insulation, pipe/tank insulation, draught exclusion)					
	Project Lifecycle	Planned End Date		31-Mar-24	Forecast End Date		31-Mar-24
	Main Issues / Reason for Variance						
	No Issues, projected to complete and meet overall spend target.						
	Mitigating Action						
	None required.						
	Anticipated Outcome						
	Project to complete as planned.						

WEST DUNBARTONSHIRE COUNCIL **APPENDIX 6**
HRA CAPITAL PROGRAMME
ANALYSIS OF PROJECTS AT GREEN STATUS

MONTH END DATE **31 December 2019**

PERIOD **9**

	Budget Details	Project Life Financials					
		Budget	Spend to Date		Forecast Spend	Variance	
		£000	£000	%	£000	£000	%
8	**Secure Entry Component Renewals**						
	Project Life Financials	390	82	21%	390	0	0%
	Current Year Financials	134	16	12%	134	0	0%
	Project Description	This budget is to focus on secure door entry component renewals as identified and recommended from the housing stock condition survey and appropriate council officer referrals.					
	Project Lifecycle	Planned End Date		31-Mar-24	Forecast End Date		31-Mar-24
	Main Issues / Reason for Variance						
	No Issues, projected to complete and meet overall spend target.						
	Mitigating Action						
	None required.						
	Anticipated Outcome						
	Project to complete as planned.						
9	**Modern Facilities and Services**						
	Project Life Financials	3,560	877	25%	3,560	0	0%
	Current Year Financials	690	328	47%	690	0	0%
	Project Description	New Kitchens, Bathrooms and Showers					
	Project Lifecycle	Planned End Date		31-Mar-24	Forecast End Date		31-Mar-24
	Main Issues / Reason for Variance						
	No Issues, projected to complete and meet overall spend target.						
	Mitigating Action						
	None required.						
	Anticipated Outcome						
	Project to complete as planned.						

WEST DUNBARTONSHIRE COUNCIL **APPENDIX 6**
HRA CAPITAL PROGRAMME
ANALYSIS OF PROJECTS AT GREEN STATUS

MONTH END DATE **31 December 2019**

PERIOD **9**

	Budget Details	Project Life Financials					
		Budget	Spend to Date		Forecast Spend	Variance	
		£000	£000	%	£000	£000	%
10	**Defective Structures/Component renewals**						
	Project Life Financials	3,063	1,018	33%	3,063	0	0%
	Current Year Financials	500	363	73%	500	0	0%
	Project Description	Defective structures					
	Project Lifecycle	Planned End Date		31-Mar-24	Forecast End Date		31-Mar-24
	Main Issues / Reason for Variance						
	No Issues, projected to complete and meet overall spend target.						
	Mitigating Action						
	None required.						
	Anticipated Outcome						
	Project to complete as planned.						
11	**Environmental renewal works, paths/fences/walls/parking areas**						
	Project Life Financials	6,385	2,145	34%	6,385	0	0%
	Current Year Financials	1,641	1,000	61%	1,641	0	0%
	Project Description	Environmental renewal works, paths/fences/walls/parking areas					
	Project Lifecycle	Planned End Date		31-Mar-24	Forecast End Date		31-Mar-24
	Main Issues / Reason for Variance						
	No Issues, projected to complete and meet overall spend target.						
	Mitigating Action						
	None required.						
	Anticipated Outcome						
	Project to complete as planned.						
12	**Asbestos Management works**						
	Project Life Financials	1,200	451	38%	1,200	0	0%
	Current Year Financials	200	166	83%	200	0	0%
	Project Description	This budget is to fund work associated with the management of current asbestos legislation and the Council's asbestos policy within housing stock.					
	Project Lifecycle	Planned End Date		31-Mar-24	Forecast End Date		31-Mar-24
	Main Issues / Reason for Variance						
	No Issues, projected to complete and meet overall spend target.						
	Mitigating Action						
	None required.						
	Anticipated Outcome						
	Project to complete as planned.						

WEST DUNBARTONSHIRE COUNCIL **APPENDIX 6**
HRA CAPITAL PROGRAMME
ANALYSIS OF PROJECTS AT GREEN STATUS

MONTH END DATE **31 December 2019**

PERIOD **9**

	Budget Details	Project Life Financials					
		Budget	Spend to Date		Forecast Spend	Variance	
		£000	£000	%	£000	£000	%
13	**Risk Street**						
	Project Life Financials	2,452	2,215	90%	2,452	0	0%
	Current Year Financials	1,881	1,724	92%	1,881	0	0%
	Project Description	Risk Street Over clad					
	Project Lifecycle	Planned End Date		31-Mar-21	Forecast End Date		31-Mar-21
	Main Issues / Reason for Variance						
	No issues, projected to complete and meet spend target. First two blocks complete. Works progressing well on remaining blocks, Contractor is progressing well and quality of work is good. Positive feedback from all involved and residents.						
	Mitigating Action						
	None required.						
	Anticipated Outcome						
	Project to complete as planned.						
14	**Community Safety Projects**						
	Project Life Financials	98	81	83%	98	0	0%
	Current Year Financials	17	0	0%	17	0	0%
	Project Description	Community Safety Projects					
	Project Lifecycle	Planned End Date		31-Mar-20	Forecast End Date		31-Mar-20
	Main Issues / Reason for Variance						
	No Issues, projected to complete and meet overall spend target.						
	Mitigating Action						
	None required.						
	Anticipated Outcome						
	Project to complete as planned.						
15	**Support Services Costs**						
	Project Life Financials	10,800	3,340	31%	10,800	0	0%
	Current Year Financials	1,800	1,350	75%	1,800	0	0%
	Project Description	Allocation of costs from other WDC services who support the HRA capital programme					
	Project Lifecycle	Planned End Date		31-Mar-24	Forecast End Date		31-Mar-24
	Main Issues / Reason for Variance						
	No Issues, projected to complete and meet overall spend target.						
	Mitigating Action						
	None required.						
	Anticipated Outcome						
	Project to complete as planned.						

WEST DUNBARTONSHIRE COUNCIL **APPENDIX 6**
HRA CAPITAL PROGRAMME
ANALYSIS OF PROJECTS AT GREEN STATUS

MONTH END DATE **31 December 2019**

PERIOD **9**

	Budget Details	Project Life Financials					
		Budget	Spend to Date		Forecast Spend	Variance	
		£000	£000	%	£000	£000	%
16	**Contingencies**						
	Project Life Financials	600	187	31%	600	0	0%
	Current Year Financials	100	32	32%	100	0	0%
	Project Description	This is a contingent budget for unforeseen matters which may arise during the year.					
	Project Lifecycle	Planned End Date		31-Mar-24	Forecast End Date		31-Mar-24
	Main Issues / Reason for Variance						
	No Issues						
	Mitigating Action						
	None required						
	Anticipated Outcome						
	Project to complete as planned and within overall project life budget.						
17	**Capitalised Minor Works**						
	Project Life Financials	3,000	932	31%	3,000	0	0%
	Current Year Financials	713	445	62%	713	0	0%
	Project Description	This is a budget to undertake specific minor ad hoc capital projects that arise on demand throughout the financial year.					
	Project Lifecycle	Planned End Date		31-Mar-24	Forecast End Date		31-Mar-24
	Main Issues / Reason for Variance						
	No issues.						
	Mitigating Action						
	None Required						
	Anticipated Outcome						
	Required Works completed						
	TOTAL GREEN						
	Project Life Financials	79,210	24,235	31%	79,209	0	0%
	Current Year Financials	19,052	11,553	61%	19,052	0	0%

WEST DUNBARTONSHIRE COUNCIL **APPENDIX 7**
HRA CAPITAL PROGRAMME
ANALYSIS OF RESOURCES

MONTH END DATE **31 December 2019**

PERIOD **9**

	Budget Details	Project Life Financials					
		Budget	Income to Date		Forecast Income	Forecast Variance	
		£000	£000	%	£000	£000	%
1	**New Build Grant**						
	Project Life Financials	(35,623)	(10,203)	29%	(35,735)	(112)	0%
	Current Year Financials	(20,175)	(6,429,019)	31866%	(22,363)	(2,188)	11%
	Project Description	Grant to facilitate the building of new build housing					
	Project Lifecycle	Planned End Date		31-Mar-24	Forecast End Date		31-Mar-24

Main Issues / Reason for Variance

The Scottish Government grant funding is awarded based upon the cost of providing the properties required by those most in housing need. In general, as a Local Authority we are providing properties that directly deal with housing need and there are approximately 4,000 applicants currently on the housing list. There is an under supply of larger family accommodation, however most of the projects will increase the number of 3, 4 and 5 bedroom properties. These properties cost more to develop and take up a greater proportion of each site thus reducing the number of units on each site and therefore the grant income. The effect of this has been more than offset however by higher grant level achieved for Aitkenbar and Haldane sites .

Mitigating Action

Progress on the programme will be closely monitored on a regular basis and reported to the Housing and Communities Committee on a quarterly basis.

Anticipated Outcome

Grant income expected to be available earlier than anticipated so more will be received in 19/20 offset by less in 20/21. The project life overall variance will be a small over recovery of £0.112m.

TOTAL RESOURCES						
Project Life Financials	(208,779)	(51,182)	25%	(208,479)	300	0%
Current Year Financials	(62,316)	(27,620)	44%	(49,874)	(12,442)	20%

WEST DUNBARTONSHIRE COUNCIL

Report by Strategic Lead - Resources

Council: 29 January 2020

Subject: General Services Budget Preparation 2020/21 to 2022/23 – Budget Update

1. Purpose

1.1 To provide Members with an update in relation to setting the General Fund budget for 2020/21 and gap projections to 2022/23.

2. Recommendations

2.1 Members are asked to:

(a) Note the update provided regarding the Scottish Government settlement position;

(b) Note the update of the gaps following the budget alterations and management adjustments as detailed at 4.2 and 4.3 of the report that have been applied to the draft budget position;

(c) Note the outcome of the further Loans Fund Review and agree the option identified to reschedule loans fund advances to generate and use a revenue reserve within the General Fund detailed at 4.12 of the report;

(d) Note the position regarding anticipated capital receipts which may be used to assist in closing future funding gaps and agree the proposed approach identified at 4.12 of the report;

(e) Note the Corporate Management Team (CMT) are finalising a set of savings options for potential future consideration by Members in closing the projected gaps should these be required; and

(f) Note that this projected position is subject to amendment as the settlement implications for the Council are identified and as all other assumptions are finalised between now and the Council meeting in March 2020.

3. Background

3.1 In the refresh of the Long Term Finance Strategy and budget update reported to Council on 27 November 2019 the following cumulative budget gaps were reported:

- 2020/21 £5.644m;

- 2021/22 £10.621m; and
- 2022/23 £16.871m.

3.2 Council on 27 November 2019 noted that the Corporate Management Team were in the process of generating efficiencies which would be reported to a future Council meeting.

4. Main Issues

Settlement

4.1 On 13 January 2020 the Scottish Government (SG) announced that it would set its budget for 2020/21 on 6 February 2020. It is understood that the SG will also advise of the local government finance settlement for 2020/21 on the same date. Until the settlement is issued the assumptions used within the updated Long Term Finance Strategy, reported to November 2019 Council, continue to be used.

Update

4.2 Since the November 2019 Council report officers have continued to check the budget submissions from service areas and have identified alterations valued at -£0.315m in 2020/21; £0.291m in 2021/22; and £1.414m in 2022/23 (cumulative values). These have been applied to the previously reported position. The changes are as follows:

	2020/21 (£m)	**2021/22 (£m)**	**2022/23 (£m)**
Community Centre saving removed in error	-0.130	-0.130	-0.130
Education – errors in Early Years budget	0.113	0.716	0.742
HSCP requisition miscalculation	0	0	1.100
Budget Book Review - Various corrections	-0.298	-0.295	-0.298
Total	**-0.315**	**0.291**	**1.414**

4.3 As reported to November 2019 Council, the CMT has been working on options to assist in closing the projected funding gaps and have identified a number of management adjustments which will be implemented from 2020/21 onwards as detailed at Appendix 1. These are valued at £0.910m in 2020/21; £1,220m in 2021/22; and £1.295m in 2022/23 (cumulative).

4.4 The application of the budget adjustments noted at 4.2 above and the management adjustments noted at 4.3 above has changed the projected gaps to:

- 2020/21 £4.418m;
- 2021/22 £9.691m; and
- 2022/23 £16.989m.

4.5 As reported to November 2019 Council, Members may wish to consider use of reserves when setting the budget and an up-to-date position on reserves will be provided in the budget-setting report to March 2020 Council. This is considered later in the report.

Loans Fund Review

4.6 As reported to November 2019 Council, a further review of options for the management of the Loans Fund and loan charges to revenue has been undertaken.

4.6.1 The outcome of this is review has identified that an opportunity exists to extend the Loans Fund Review to adjust retrospectively loans fund payments going back to 1996. What this means is that the assets which have been funded from the loans fund since 1996 have been reviewed to consider the appropriate period of loan write-off to better reflect the anticipated asset life. This review has used the same parameters as were used in the Loans Fund Review agreed on 27 March 2019.

4.6.2 The retrospective review has generated lower historic loans fund repayments (as a number of assets have had their repayment period lengthened, in line with expected asset life). This change effectively generates refunds from the Loans Fund to the General Fund which would be placed into a reserve. The value of this reserve is £19.763m. By agreeing the change in 2019/20 an in-year net benefit on principal repayment element of the loans charges, offset by additional interest on the higher loans fund balance, within the General Services revenue budget is also generated of £0.968m which would also be placed into a reserve, giving a total reserve of £21.731m. However by implementing in 2019/20 this reduces the loans charges principal payment value available to be able to be funded from the planned use of capital receipts by £0.901m. This reduction can be funded from the £0.968m from the in-year effect resulting in an available capital reserve of £19.830m at 31 March 2020 and therefore available for use in closing future year funding gaps.

It should be noted that the application of the review has also been applied to the Housing Revenue Account (HRA) part of the Loans Fund – as we require to be consistent in practice. This has generated a reserve within the HRA of £9.162m and annual financial benefits. The detail on this will be reported to Council when setting the rent on 4 March 2020.

4.6.3 The Loans Fund Review sees the repayment of principal aligned to the expected asset lives as advised by the relevant professional officers within the Council, and therefore, in my view is a prudent approach as this aligns the write-off of the asset value against the future service users. The change generally spreads the principal payments over a longer period of time which does mean that interest payments over the lives of the assets will be higher than through the previous approach – this being subject to future interest rates.

4.6.4 In order for this reserve to be created Council requires to agree to the application of the Loans Fund review retrospectively.

Capital Receipts

4.7 As reported to February 2019 Council, under legislation and guidance councils are able to use capital receipts in different ways:

- As currently used in the capital plan;
- Use to pay debt; and
- Use to fund debt repayments.

Of the three options above, the third option has the largest immediate revenue impact.

4.7.1 Members will be aware of a number of reports presented to Infrastructure, Regeneration & Economic Development Committee in November 2019 which agreed the sale of a number of sites and properties. Many of these were expected to be completed and receipts attained in financial year 2019/20. However two of the agreed sales: Garshake site; and former Our Lady & St. Patrick's (OLSP) school site are expected to be completed and receipts attained within financial year 2020/21. The sale values for these two sites were reported as being £6.055m for Garshake and £3.205m for OLSP, both subject to site abnormals and planning conditions. These two receipts, together with other planned site sales are expected to provide Members with the opportunity to use some capital receipts to fund debt repayments. An update on this option will be provided to the March 2020 Council meeting.

4.7.2 It should be noted that the value of this option will be linked to the rescheduled loans fund advances payments that remain following the implementation of the loans fund review (already agreed in March 2019 and the effect of the further loans fund review as detailed at 4.6 above, if agreed). The value of the annual advance repayments element of the loan charges in 2020/21 remaining after the implementation of the further Loans Fund Review is £2.526m; £2.170m in 2021/22; and £2.471m in 2022/23; and these are the maximum values that can be funded from the capital receipts in these years.

The General Election and Brexit

4.8 Following the December 2019 General Election there is more clarity as to UK Government policy in relation to Brexit, however there remains significant uncertainty as to how Brexit will progress and how this affects the UK economy and whether the UK budget requires to be revisited. It is also unclear as to what impact and approach the new UK Government will have to funding local government and the implications of this on the funding for the SG and from there onto local government in Scotland through the settlement. From previous UK Government funding announcements analysts have advised that, subject to the next UK budget, the SG will have more funding than available in 2019/20 (estimated to be £1.2b additional from Barnett consequentials arising from the UK

Government's spending plans for 2020/21 announced in September 2019). However the SG position is tempered by the fact that it has a short-term funding issue arising from it not having collected as much in Scottish taxation as had been budgeted. How these issues work through into Council settlements will not be known until the settlement is provided.

Capital Plan Update

4.9 Officers are in the process of updating the capital plan to ensure all aspects are up to date, phasing of projects is appropriate, etc. Part of this process is to check whether any further projects are required to be considered by Members for approval. An update on the capital plan will be reported to members at the Council meeting on 4 March 2020.

Historic Child Abuse: Financial Redress

4.10 Members will be aware of the SG approach to this issue and it is likely that this Council, along with all others, will receive claims arising from recent legislation. This issue is complex and the numbers of potential claims are not known, nor the likely outcome of such claims in terms of whether the Council will require to fund compensation payments or whether insurers will be covering all or some of any such successful claims. It is recommended that in order to be prudent that a sum of £2m is set aside as an earmarked reserve to mitigate the future risk of such claims.

European Union (EU) Suspension on European Social Fund (ESF) claims

4.11 Members will be aware that the EU has notified the SG that it has suspended payment to them for projects part-funded by the ESF. This issue has arisen due to SG guidance to Councils as to how to maintain financial records in support of the funding claims was not in line with EU guidance to SG. This situation is being worked-on by the SG and local government in order to present claims to the EU in a manner and format that will allow the EU to remove the suspension and provide payment on these projects. As a result of the suspension all claims made by Councils to the SG under ESF are subject to risk of non payment by the EU to the SG and then from SG to Councils. The projected value of grant claims under ESF for WDC to 31 March 2020 is estimated at around £2.275m and this sum is at risk. The position on this issue will be updated to the Council meeting on 4 March 2020, however at this point the expectation is that this situation will be resolved.

Potential Use of Reserves

4.12 The following approaches have been identified to utilise reserves arising from capital receipts and the Loans Fund Review:

4.12.1 It is recommended that the capital receipts (as detailed in 4.7.1) are placed in to a reserve for future use in assisting the Council smooth future financial planning processes with the sums noted in 4.7.2 being used in the next three financial years to assist in closing the gaps.

4.12.2 Based on the above changes to 4.12.1 above the remaining gaps for the next three years would be:

- 2020/21 £1.893m;
- 2021/22 £7.522m; and
- 2022/23 £14.518m

4.12.3 Based on the information provided in this report in relation to the outcome of the further Loans Fund Review, Capital Receipts, Historic Child Abuse the following approach is recommended:

- Based on the information provided at 4.10 and 4.11 above, it is recommended that the £19.830m reserve from the Loans Fund Review is top-sliced to earmark £2.000m for potential claims arising from Historic Child Abuse claims; and

- The remainder of the Loans Fund Review reserve (£17.830m) be earmarked and used over a 10 year period to reduce principal repayments for the next 10 financial years, with the first year being sufficient to close the remainder of the projected funding gap in 2021/22 (values here are shown below at 4.13, but subject to change depending on the settlement) and the remaining balance being used evenly for the subsequent nine financial years from 2021/22, this will assist the Council in maintaining service provision and provide time to consider the state of public finances and Council finances as the approach of the new UK Government becomes clearer over the next financial year. The purpose of this reserve should also be to cover for any cashflow timing shortages on capital receipts not received on time when budgeted for use in funding principal payments.

Summary of Changes to Gap Projections

4.13 Taking into account the changes notified in this report on budget adjustments, management adjustments and should the steps described at 4.12 be implemented then, based on current assumptions around the settlement, the projected funding gaps are as follows:

	2020/21 (£m)	**2021/22 (£m)**	**2022/23 (£m)**
Gap - Council report November 2019	**5.644**	**10.621**	**16.871**
Budget Adjustments	-0.315	0.291	1.414
Management Adjustments	-0.910	-1.220	-1.295
Use of Capital Receipts	-2.526	-2.170	-2.471
Loans Fund Review	-1.893	-1.771	-1.771
Remaining Gaps	**Nil**	**5.751**	**12.747**

4.14 It should be noted that the above position is a scenario based on current assumptions on the settlement for future years and that it is likely that the settlement to be provided on 6 February 2020 will be a single year settlement and will vary to some extent from the current assumptions. Depending on the settlement it may be possible or a requirement to vary the level of use of loans fund review reserve in 2020/21 to maintain the gap at a nil balance for 2020/21.

4.15 The CMT will finalise a list of potential savings options for Members to consider in setting the budget on 4 March 2020 for use should the settlement position turn out to be worse than currently projected.

Sustainability

4.16 Members will be aware that the approaches described at 4.12 provide short-term solutions for the 2020/21 gap and that going forward it is anticipated that costs will continue to rise (through ongoing pay awards and continued increasing demand for services, whilst the Long-term Financial Strategy expect SG funding to the Council to continue to reduce year-on-year). For this reason Members should consider taking decisions on the savings options which will be provided to a future Council meeting to allow future gaps to be closed through early decision-making, delays to making such decisions will (based on current projections) see the Council face significant financial challenges at a future point.

4.17 In terms of Council Tax increases, the current assumption is that Council Tax will increase in 2020/21 onwards by 3%. In 2019/20 there was an opportunity to increase Council Tax by 4.79%, and a similar opportunity may exist once the settlement is provided by the SG. Members should consider the longer-term projections and the potential cumulative opportunity when setting of the Council Tax on 4 March 2020.

5. People Implications

5.1 The potential staffing implications are shown within the management adjustments appended to this report and will be subject to consultation processes where appropriate and managed in accordance with the Council's Switch Policy (Organisational Change).

6. Financial and Procurement Implications

6.1 The main variables within the current projections will be refined throughout the budgeting process as more information becomes available.

6.2 Financial implications arising from the budget process are detailed in the report and appendices. There are no direct procurement implications arising from this report.

7. Risk Analysis

7.1 There are a number of assumptions within the gaps reported above. The gaps could vary if the Council identifies further burdens, or mitigates some. The number of significant variables identified in the report that are outwith the Council's control will also almost certainly vary the gaps between now and the March 2020 Council report. These will be reviewed prior to the March 2020 Council meeting to ensure all assumptions are treated appropriately based on the most up to date information.

8. Equalities Impact Assessment (EIA)

8.1 Equality impact screening has identified no issues.

9. Consultation

9.1 The development of the management adjustments were considered by the Corporate Management Team during November and December 2019 and the views of Legal Services have been requested on this report and have advised there are neither any issues nor concerns with the report.

10. Strategic Assessment

10.1 Proper budgetary control and sound financial practice are cornerstones of good governance and support Council and officers to pursue the 5 strategic priorities of the Council's Strategic Plan.

10.2 The General Services revenue budget contributes to all categories by providing funding in specific areas to help the Council achieve and develop these priorities.

Stephen West
Strategic Lead - Resources
Date: 23 January 2020

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Appendix: Management Adjustments

Background Papers: Council Draft Budget Book 2020/21 to 2022/23;
Minute of Council meeting – 27 November 2019;
Minute of Council meeting 27 March 2019; and
Equalities Impact Screening.

Wards Affected: All

APPENDIX - MANAGEMENT ADJUSTMENTS 2020/21

Ref	Option	Strategic Lead	Savings 2020/21 (£)	Savings 2021/22 (£)	Savings 2022/23 (£)	Staffing implications (FTE)	Investment Required - Change Fund (£)
MA1	Streamlining of processes within Resources Service, through investment in appropriate resource to speed-up changes to ways of working, processes, etc.	S West	80,000	168,000	227,000	8.06	100,000
MA2	Reduce Leadership Support Team	P Hessett	33,073	33,073	33,073	1.00	0
MA3	Citizen Service and Library Restructure	M Bennie	0	61,000	61,000	3.00	0
MA4	Arts & Heritage restructure	M Bennie	30,000	30,000	46,000	1.20	0
MA5	For probationers allocated to schools the contribution from the schools' core staffing allowance would increase: 0.6 for 1 probationer; 1FTE for 2 probationers; 3 FTE for 6 probationers. This means that the probationer teachers would no longer be an additionality	L Mason	263,000	394,000	394,000	0.00	0
MA6	Reducing Creative Learning Team	L Mason	23,745	23,745	23,745	1.00	0
MA7	Review reactive roads repairs workforce	G McFarlane	60,000	60,000	60,000	2.00	0
MA8	Capitalisation of Salaries	J McAloon	278,337	278,337	278,337	0.00	0
MA9	Reduction in hours for Compliance posts	J McAloon	18,498	18,498	18,498	0.50	0
MA10	Consolidate staff structure through retirements	P Barry	123,574	123,574	123,574	3.67	0
MA11	Reduce employee costs through anticipated future staff retirements	P Barry	0	30,000	30,000	2.00	0
			910,227	**1,220,227**	**1,295,227**	**22.43**	**100,000**