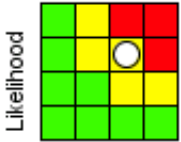
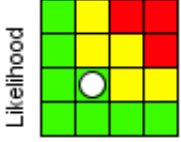
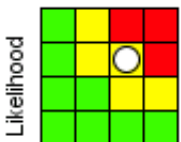
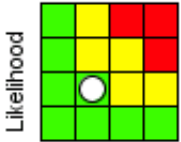



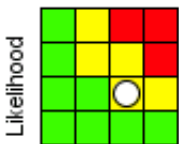





# Appendix 6. Departmental Risk Report: Chief Executives Dept


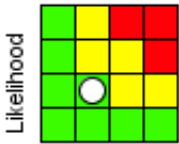
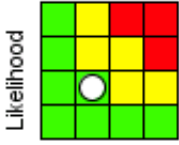
Report Author: David Webster

Generated on: 19 May 2008


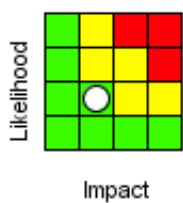
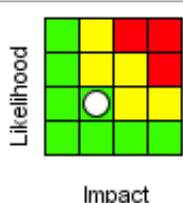
	SR003 Corporate Governance	Current Risk Matrix
<b>Description</b>	The Council may fail to ensure that it fulfils its obligations regarding transparency and accountability (Corporate Governance) and in managing and developing its activities, it may fail to control threats to achieving its objectives	
<b>Target Date</b>	20/08/2008	<b>Target Risk Matrix</b>
<b>Potential Effect</b>		
<b>Internal Controls</b>	The council has established and implemented a corporate performance management framework, Whistleblowing policy and is currently integrating corporate governance and risk management into the culture of the authority. The Council also has an Audit Performance Review Committee which is responsible for reviewing the control environment generally.	
<b>Linked Actions</b>		
2	The Council may fail to ensure that it fulfils its obligations regarding transparency and accountability (Corporate Governance) and in managing and developing its activities, it may fail to control threats to achieving its objectives	Uncontrolled


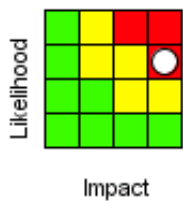
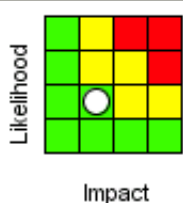

	SR006 Major fraud or corruption	Current Risk Matrix
<b>Description</b>	The Council subjected to a major fraud or corruption by employees, contractors or others.	
<b>Target Date</b>	20/08/2008	<b>Target Risk Matrix</b>
<b>Potential Effect</b>		
<b>Internal Controls</b>	There are financial and audit procedures in place. Whistle blowing policy in place.	
<b>Linked Actions</b>		
2	The Council subjected to a major fraud or corruption by employees, contractors or others.	Uncontrolled


	<b>CO2002 Developing the Role of West Dunbartonshire Council as a Health Promoting Organisation.</b>	<b>Current Risk Matrix</b>
<b>Description</b>	The council fails to establish a working relationship with its partners in promoting health and opportunities to all groups within West Dunbartonshire.	 <p>Likelihood</p> <p>Impact</p>
<b>Target Date</b>	20/08/2008	<b>Target Risk Matrix</b>
<b>Potential Effect</b>		 <p>Likelihood</p> <p>Impact</p>
<b>Internal Controls</b>	The council has established a partnership with the community health partnership and developed a Health improvement strategy.	
<b>Linked Actions</b>	CE/PU Contribute to monitoring and development of Choose Life Local Action Plan	
	CE/PU Ensure WDC Departmental Contributions to National Sexual Health Strategy Respect and Responsibility	
	CE/PU Provide Health Report update briefing to Elected Members on Health Improvement related issues every 2 months	
	CE/PU Provide reports to SWHI Committee on the impact and implications of National and Local Health Policies and Guidelines	
3	The council fails to establish a working relationship with its partners in promoting health and opportunities to all groups within West Dunbartonshire.	Uncontrolled

	<b>SR004 Lack of dialogue</b>	<b>Current Risk Matrix</b>
<b>Description</b>	The Council does not adequately make clear to its employees, the community or its partners what it wants to achieve and how it wants to achieve it. It fails to meet its objectives due to lack of dialogue.	 <p>Likelihood</p> <p>Impact</p>
<b>Target Date</b>	20/08/2008	<b>Target Risk Matrix</b>
<b>Potential Effect</b>	Has the	 <p>Likelihood</p> <p>Impact</p>
<b>Internal Controls</b>	The council produces and delivers to 44, 000 homes the West Dunbartonshire News, also produces the Westlife magazine which is circulated to every employee. The Chief Executive and Council Leader produce leaflets and Intranet messages to staff. The Council also has a web site that is updated on an ongoing basis.	

Linked Actions		
4	The Council does not adequately make clear to its employees, the community or its partners what it wants to achieve and how it wants to achieve it. It fails to meet its objectives due to lack of dialogue.	Controlled

	<b>SR005 Corporate Plan</b>	<b>Current Risk Matrix</b>
<b>Description</b>	The Corporate Plan & Performance Management Agenda etc, set out the Councils objectives to meet local needs. Failure to achieve these declared objectives will have a significant impact in the councils reputation.	
<b>Target Date</b>	20/08/2008	<b>Target Risk Matrix</b>
<b>Potential Effect</b>		
<b>Internal Controls</b>	Each Executive Director and Head of Services are responsible for setting performance management targets and realistic objectives and are accountable to the Chief Executive and Council. The Corporate Plan has been developed in consultation with its community partners who are fully supportive in delivering community plan targets.	
<b>Linked Actions</b>		
4	The Corporate Plan & Performance Management Agenda etc, set out the Councils objectives to meet local needs. Failure to achieve these declared objectives will have a significant impact in the councils reputation.	Controlled

	<b>CO1004 Tackling the Effects of Poverty.</b>	<b>Current Risk Matrix</b>
<b>Description</b>	The council fails to implement fully or meet its targets set out in its anti-poverty strategy. Failure to tackle the effects of poverty will have an impact on the councils reputation.	
<b>Target Date</b>	20/08/2008	<b>Target Risk Matrix</b>
<b>Potential Effect</b>		
<b>Internal Controls</b>		
<b>Linked Actions</b>	CE/PU Contribute to development of the Scottish Governments Economic Strategy	

	CE/PU Develop and oversee implementation of a new Anti Poverty Strategy linked to the GES	
1	The council fails to implement fully or meet its targets set out in its anti-poverty strategy. Failure to tackle the effects of poverty will have an impact on the councils reputation.	Uncontrolled