

West Dunbartonshire Council
Review Of Internal Audit Reports
Audit & Performance Review Committee - 13 May 2009

APPENDIX B

| Ref | Title of Report | Report Issue Date | Details on Report Actions | | | | | Department | Comments | Follow Up in Next Review Yes/No |
|-----|---|-------------------|---|---------------------|----------------------------------|---|---|---|---|---------------------------------|
| | | | No. Of Outstanding Actions b/fwd From / Agreed Since Last A&PR Report | No. Now Implemented | No. Of Outstanding Actions c/fwd | No. Of O/S Actions With Planned Implementation Date Reached | No. Of O/S Actions With Planned Implementation Date Not Yet Reached | | | |
| 1 | Review of Corporate Governance Arrangements | Dec-05 | 1 | 0 | 1 | 1 | 0 | Corporate Services - Finance & ICT | A review of the managerial responsibility for the Council's risk management strategy and processes is currently being carried out. When completed, this will enable the delayed action to be implemented. | Yes |
| 2 | Payroll (see note 1 below) | Jul-07 | 1 | 0 | 1 | 1 | 0 | Corporate Services - Finance & ICT | The one outstanding action, scheduled for implementation by 31 March 2009 will be delayed until October 2009 as procedures will be changing as a result of single status. | Yes |
| 3 | Building Standards | Mar-08 | 1 | 0 | 1 | 1 | 0 | Housing, Environmental & Economic Development | A software update from the supplier is awaited in order to implement this action. Meanwhile other routines are being used to extract the required data. | Yes |
| 4 | Main Accounting | Apr-08 | 2 | 0 | 2 | 1 | 1 | Corporate Services - Finance & ICT | Management have advised that the one delayed action will be implemented by 30 June 2009. | Yes |
| 5 | Commercial Properties | Jun-08 | 2 | 0 | 2 | 2 | 0 | Housing, Environmental & Economic Development | Management have advised that these actions will be implemented during 2009/10. | Yes |
| 6 | Treasury Management | May-08 | 1 | 0 | 1 | 1 | 0 | Corporate Services - Finance & ICT | Management have advised that the one delayed action will be implemented by 30 June 2009. | Yes |
| 7 | Energy Management | Jun-08 | 17 | 2 | 15 | 13 | 2 | Housing, Environmental & Economic Development | Implementation of the outstanding recommendations requires consultation and cooperation across the Council. | Yes |
| 8 | Council Tax Liability | Aug-08 | 2 | 1 | 1 | 0 | 1 | Corporate Services - Finance & ICT | The one outstanding action is on target for completion by the due date. | Yes |
| 9 | NDR Recovery and Enforcement | Sep-08 | 1 | 0 | 1 | 0 | 1 | Corporate Services - Finance & ICT | The one outstanding action is on target for completion by the due date. | Yes |
| 10 | Main Accounting (08/09) | Sep-08 | 1 | 0 | 1 | 1 | 0 | Corporate Services - Finance & ICT | It is anticipated that the one remaining action will be implemented by 31 December 2009. | Yes |
| 11 | Housing Right To Buy (S/025) | Sep-08 | 2 | 1 | 1 | 1 | 0 | Corporate Services- Finance & ICT and Housing, Environmental & Economic Development | It is anticipated that the one remaining action will be implemented by 31 May 2009. | Yes |
| 12 | Improvement Grants | Jan-09 | 3 | 0 | 3 | 0 | 3 | Housing, Environmental & Economic Development | The three outstanding actions are on target for completion by the due date. | Yes |
| 13 | Council Tax | Mar-09 | 8 | 2 | 6 | 0 | 6 | Corporate Services - Finance & ICT | The six outstanding actions are on target for completion by the due date. | Yes |

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| 14 | Stores | Mar-09 | 10 | 1 | 9 | 0 | 9 | Housing, Environmental & Economic Development | The nine outstanding actions are on target for completion by the due date. | Yes |
| 15 | NDR Liability | Mar-09 | 3 | 2 | 1 | 1 | 0 | Corporate Services - Finance & ICT | One outstanding action has gone beyond its scheduled implementation date as a tender process has not yet been completed. | Yes |
| 16 | Maximising Attendance | Mar-09 | 8 | 6 | 2 | 0 | 2 | Corporate Services - OD & HR and Social Work & Health | The two outstanding actions are on target for completion by the due date. | Yes |

| | | | | | |
|---------------|-----------|-----------|-----------|-----------|-----------|
| Totals | 63 | 15 | 48 | 23 | 25 |
|---------------|-----------|-----------|-----------|-----------|-----------|

Note 1:

Internal Audit work performed by PricewaterhouseCoopers as part of pilot partnership arrangement with the WDC Internal Audit Section.